# On partially wellfounded generic ultrapowers

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Dedicated to Boaz Trakhtenbrot on the occasion of his 85-th Birthday.

#### Abstract

We construct a model without precipitous ideals but so that for each  $\tau < \aleph_3$  there is a normal ideal over  $\aleph_1$  with generic ultrapower wellfounded up to the image of  $\tau$ .

### 1 Introduction.

Let  $\kappa$  be a regular uncountable cardinal. For  $f, g \in {}^{\kappa}On$  set

 $f <^* g$  iff  $\{\alpha < \kappa \mid f(\alpha) < g(\alpha)\}$  contains a closed unbounded subset .

The Galvin -Hajnal rank ||g|| of a function  $g \in {}^{\kappa}On$  is defined as follows

$$||g|| = \sup\{||f|| + 1 \mid f <^{*} g\}.$$

By induction on  $\alpha$ , the  $\alpha$ th canonical function  $h_{\alpha}$  is defined (if it exists) as the <\*-least function greater than each  $h_{\beta}, \beta < \alpha$ . If  $h_{\alpha}$  exists then it is unique modulo the nonstationary ideal over  $\kappa$ . First  $\kappa^+$  canonical functions always exist. Hajnal (see [4], 27.11) showed that already in L the  $\omega_2$ nd canonical function for  $\kappa = \omega_1$  does not exist. By Jech and Shelah [6], the existence of  $\omega_2$ nd canonical function is not a large cardinal property. Note that the existence of  $f \in \kappa \kappa$  with  $||f|| = \kappa^+$  does not necessary imply the existence of  $\kappa^+$  canonical function over  $\kappa$ . Just, for example, in L there are many functions of the rank  $\omega_2$  without the least such function. On the other hand non existence of such f implies large cardinals. Thus, Donder and Koepke [1] showed that then  $\kappa \geq \aleph_2$  implies  $0^{\dagger}$  exists and  $\kappa = \aleph_1$  implies  $\aleph_2$  is almost  $< \aleph_1$ -Erdös cardinal in the core model  $\mathcal{K}$ .

An ideal I over  $\kappa$  is called precipitous if every its generic ultrapower is well founded. It is not hard to see that if every generic ultrapower of I is well founded up to the image of  $(2^{\kappa})^+$  then I is precipitous. Suppose now that for each  $\tau < (2^{\kappa})^+$  there is an ideal over  $\kappa$  with generic ultrapowers well founded up to the image  $\tau$ . Does this imply the existence of a precipitous ideal?

Our aim is to provide a negative answer. We will show the following:

**Theorem 1.1** Suppose that

1.  $2^{\aleph_1} = \aleph_2$ 

- 2. there is an  $\aleph_1$ -Erdös cardinal
- 3. there is a function  $f: \omega_1 \to \omega_1$  with  $||f|| \ge \omega_2$ .

**Then** for every  $\tau < \omega_3$  there exists a normal ideal over  $\aleph_1$  with a generic ultrapower well-founded up to the image of  $\tau$ .

- **Remark 1.2** 1. Note that in general it is impossible to allow  $\tau = \omega_3$ . Thus, the cardinality of the forcing is only  $\omega_2$ . Hence, if a generic ultrapower is wellfounded up to the image of  $\tau = (\omega_3)^V$ , then it is fully wellfounded (just taking a big enough elementary submodel (in V) of cardinality  $\omega_2$  arbitrary functions to those with the ranges being subsets of  $\omega_3$ ). But this implies an inner model in which  $\omega_1$  is a measurable cardinal, see [4]. The original V does not need to have even an inner model with a Ramsey cardinal.
  - 2. The assumption 3 is not very restrictive. Thus by [1], if there is no such a function, then  $\aleph_2$  is almost  $< \aleph_1$ -Erdös cardinal in the core model  $\mathcal{K}$ . In the last case we can assume that  $V = \mathcal{K}$  or just collapse first a non  $< \aleph_1$ -Erdös cardinal in  $\mathcal{K}$  to be new  $\aleph_2$ .
  - 3. Note that up to  $(\aleph_2)^V$  (not its image!) a generic ultrapower by the nonstationary ideal is always wellfounded, just due to the existence of canonical functions. It is possible (consistently) to get to the image of  $\aleph_1$  using the canonical functions, if the nonstationary ideal on  $\aleph_1$  is  $\aleph_2$ -saturated or consistently using a weaker assumptions as was shown in [7].
  - 4. It is an open question whether any large cardinal hypothesis implies (directly, not consistently) the existence of a precipitous ideal on  $\aleph_1$ . In view of 1, a kind of "almost" precipitousness follows from  $\aleph_1$ -Erdös cardinal.

- 5. We do not know whether  $\aleph_1$ -Erdös cardinal is needed for the conclusion of 1.1. Note only that it is easy to show that  $\aleph_1$  must be a weakly compact limit of weakly compact cardinals in L (just the tree property and a generic elementary embedding). Also, if  $\aleph_1 = \aleph_1^{\mathcal{K}}$  then at least  $0^{\sharp}$  exists.
- 6. We do not know if the analog of the theorem holds once  $\aleph_1$  is replaced by a bigger cardinal.

## 2 The game.

Let  $\lambda$  be an  $\aleph_1$ -Erdös cardinal. Fix some  $\tau < \lambda$ .

Consider the following game  $\mathcal{G}_{\tau}$ :

Player I starts by picking a stationary subset  $A_0$  of  $\aleph_1$ . Player II chooses a function  $f_1: A_0 \to \tau$  and either a partition  $\langle B_n | n < \omega \rangle$  of  $A_0$  into at most countably many pieces or a sequence  $\langle B_\alpha | \alpha < \aleph_1 \rangle$  of disjoint subsets of  $\aleph_1$  so that

$$\nabla_{\alpha < \omega_1} B_\alpha \supseteq A_0.$$

The first player then supposed to respond by picking an ordinal  $\alpha_2 < \lambda$  and a stationary set  $A_2$  which is a subset of  $A_0$  and of one of  $B_n$ 's or  $B_{\alpha}$ 's.

At the next stage the second player supplies again a function  $f_3 : A_2 \to \tau$  and either a partition  $\langle B_n | n < \omega \rangle$  of  $A_2$  into at most countably many pieces or a sequence  $\langle B_\alpha | \alpha < \aleph_1 \rangle$  of disjoint subsets of  $\aleph_1$  so that

$$\nabla_{\alpha < \omega_1} B_\alpha \supseteq A_2.$$

The first player then supposed to respond by picking a stationary set  $A_4$  which is a subset of  $A_2$  and of one of  $B_n$ 's or  $B_{\alpha}$ 's on which everywhere  $f_1$  is either above  $f_3$  or equal  $f_3$  or below  $f_3$ . In addition he picks an ordinal  $\alpha_4 < \lambda$  such that

$$\alpha_2 < \alpha_4$$
 iff  $f_1 \upharpoonright A_4 < f_3 \upharpoonright A_4$ .

Intuitively,  $\alpha_{2n}$  pretends to represent  $f_{2n-1}$  in a generic ultrapower.

Continue further in the same fashion.

Player I wins if the game continues infinitely many moves. Otherwise Player II wins. Clearly it is a determined game.

Let us argue that the second player cannot have a winning strategy.

**Lemma 2.1** For each  $\tau < \lambda$  Player II does not have a winning strategy in the game  $\mathcal{G}_{\tau}$ .

*Proof.* Suppose otherwise. Let  $\sigma$  be a strategy of two. Find a set  $X \subset \lambda$  of cardinality  $\aleph_1$  such that  $\sigma$  does not depend on ordinals picked from X. In order to get such X let us consider a structure

$$\mathfrak{A} = \langle H(\lambda), \in, \lambda, \tau, \mathcal{P}(\aleph_1), \mathcal{G}, \sigma \rangle$$

Let X be a set of  $\aleph_1$  indiscernibles for  $\mathfrak{A}$ .

Pick now a countable elementary submodel M of  $H(\chi)$  for  $\chi > \lambda$  big enough with  $\sigma, X \in M$ . Let  $\alpha = M \cap \omega_1$ . Let us produce an infinite play in which the second player uses  $\sigma$ . This will give us the desired contradiction.

Consider the set  $S = \{f(\alpha) | f \in M, f \text{ is a partial function from } \omega_1 \text{ to } \tau\}$ . Obviously, S is countable. Hence we can fix an order preserving function  $\pi : S \to X$ .

Let one start with  $A_0 = \omega_1$ . Consider  $\sigma(A_0)$ . Clearly,  $\sigma(A_0) \in M$ . It consists of a function  $f_1 : A_0 \to \tau$  and, say a sequence  $\langle B_{\xi} | \xi < \aleph_1 \rangle$  of disjoint subsets of  $\aleph_1$  so that

$$\nabla_{\xi < \omega_1} B_{\xi} \supseteq A_0.$$

Now,  $\alpha \in A_0$ , hence there is  $\xi^* < \alpha$  such that  $\alpha \in B_{\xi^*}$ . Then  $B_{\alpha^*} \in M$ , as  $M \supseteq \alpha$ . Hence,  $A_0 \cap B_{\xi^*} \in M$  and  $\alpha \in A_0 \cap B_{\xi^*}$ . Let  $A_2 = A_0 \cap B_{\xi^*}$ . Pick  $\alpha_2 = \pi(f_1(\alpha))$ .

Consider now the answer of two which plays according to  $\sigma$ . It does not depend on  $\alpha_2$ , hence it is in M. Let it be a function  $f_3 : A_2 \to \tau$  and, say a sequence  $\langle B_{\xi} | \xi < \aleph_1 \rangle$  of disjoint subsets of  $\aleph_1$  so that

$$\nabla_{\xi < \omega_1} B_{\xi} \supseteq A_2.$$

As above find  $\xi^* < \alpha$  such that  $\alpha \in B_{\xi^*}$ . Then  $B_{\alpha^*} \in M$ , as  $M \supseteq \alpha$ . Hence,  $A_2 \cap B_{\xi^*} \in M$ and  $\alpha \in A_2 \cap B_{\xi^*}$ . Let  $A'_2 = A_2 \cap B_{\xi^*}$ . Split it into three sets  $C_{<}, C_{=}, C_{>}$  such that

$$C_{<} = \{\nu \in A'_{2} | f_{3}(\nu) < f_{1}(\nu) \},\$$
$$C_{=} = \{\nu \in A'_{2} | f_{3}(\nu) = f_{1}(\nu) \},\$$
$$C_{>} = \{\nu \in A'_{2} | f_{3}(\nu) > f_{1}(\nu) \}.$$

Clearly,  $\alpha$  belongs to only one of them, say to  $C_{\leq}$ . Set then  $A_4 = C_{\leq}$ . Then, clearly,  $A_4 \in M$ , it is stationary and  $f_3(\alpha) < f_1(\alpha)$ . Set  $\alpha_4 = \pi(f_3(\alpha))$ .

Continue further in the same fashion.

It follows that the first player has a winning strategy.

### 3 The construction of an ideal

Let  $\tau < \aleph_3$ . We like to construct an ideal on  $\aleph_1$  with a generic ultrapower wellfounded up to the image of  $\tau$ .

Fix a winning strategy  $\sigma$  for Player I in the game  $\mathcal{G}_{\tau}$ . Set  $I = \{X \subseteq \omega_1 \mid \sigma \text{ never picks } X\}.$ 

**Lemma 3.1** I is a normal proper ideal over  $\omega_1$ .

Proof. Let us show for example the  $\omega_1$ -completeness. Thus let that  $\langle B_n | n < \omega \rangle$  be a partition of a set  $A \in I^+$ . Consider a game according to  $\sigma$  in which A appears as a move of the player one. Let two to answer by  $\langle B_n | n < \omega \rangle$  (and arbitrary function). Then the answer of one according to  $\sigma$  will be a subset of one of  $B_n$ 's. But this means that this  $B_n$  is I-positive.  $\Box$ 

Fix a sequence  $\langle h_{\alpha} | \alpha < \aleph_2 \rangle$  of the first  $\aleph_2$  canonical functions from  $\omega_1$  to  $\omega_1$ .

We would like to have a function that represents  $(\aleph_2)^V$  in a generic ultrapower. If there exists the  $\aleph_2$ nd canonical function then it will be as desired. Here we do not assume its existence, but rather a weaker property that there is  $f : \omega_1 \to \omega_1$  with  $||f|| = \omega_2$ . Clearly, such f is above each  $h_{\alpha}, \alpha < \omega_2$  (modulo the nonstationary ideal ). The problem is that there may be many such f's without the least one. The way to overcome this will be to find an ideal  $J \supseteq I$  which has have the J-least function above all canonical functions. Proceed as follows. Set

$$S = \{ f \in {}^{\omega_1}\omega_1 \mid ||f|| \ge \omega_2 \}.$$

Basically we let Player II to play functions in S and Player I to respond using the strategy  $\sigma$ . Find a function  $h \in S$ , a finite play  $\vec{t} = \langle t_1, ..., t_n \rangle$  and an ordinal  $\eta$  such that

- 1.  $\vec{t}$  was played according  $\sigma$
- 2. h was picked by Player II at his last move  $t_{n-1}$
- 3. Player I responded with  $\eta$
- 4. there is no continuation of  $\vec{t}$ , with Player I using  $\sigma$ , in which a response to a function from S less than  $\eta$ .

Note that such  $\eta \geq \omega_2$ , since otherwise Player II can easily win by playing  $h_\eta$  at the very next move. Then Player I should respond respond by some  $\eta_1 < \eta$  on which II respond by

 $h_{\eta_1}$  etc.

Also note that such h is not necessary unique, but any other function attached to  $\eta$  which appears further in the game will be equal to h on the corresponding set. Set now

 $J = \{X \subseteq \omega_1 \mid X \text{ is never picked by } \sigma \text{ in the continuation of } \vec{t}\}.$ 

The proof of the next lemma repeats those of Lemma 3.1.

**Lemma 3.2** J is a normal proper ideal over  $\omega_1$  extending I.

**Lemma 3.3** Generic ultrapowers by J are wellfounded at least up to  $(\omega_2)^V + 1$ . Moreover  $(\omega_2)^V$  is represented by h.

*Proof.* Just note, that by the choice of h and the definition of J, the only functions that are below h on a J-positive set are the canonical functions  $h_{\alpha}, \alpha < \omega_2$ .

Assume without loss of generality that for each  $\alpha < \aleph_2$  we have  $h_{\alpha}(\nu) < h(\nu)$ , for each  $\nu < \omega_1$ . Also fix for each  $\nu < \omega_1$  a function  $H_{\nu} : \omega \to_{onto} h(\nu)$ . Let

$$A_{n\alpha} = \{\nu < \omega_1 \mid H_{\nu}(n) = h_{\alpha}(\nu)\}.$$

**Lemma 3.4** Let  $X \in J^+$ . Then for each  $n < \omega$  there is  $\alpha < \omega_2$  such that  $X \cap A_{n\alpha} \in J^+$ .

*Proof.* By 3.3 a generic ultrapower with J is wellfounded up to  $\omega_2^V + 1$  and  $\omega_2^V$  is represented by h.

Let  $G \subseteq J^+$  be a generic ultrafilter with  $X \in G$  and  $j : V \to M_G = V \cap {}^{\omega_1 >} V/G$  be the corresponding elementary embedding. We may assume that the ordinals of M up to  $[h]_G$  are just  $\omega_2^V$ . Consider  $H = [\langle H_\nu | \nu < \omega_1^V]_G$ . Then,  $H : \omega \to_{onto} \omega_2^V$  in  $M_G$ . So, for some  $\alpha < \omega_2^V$  we have  $H(n) = \alpha$ . But then  $X \cap A_{n\alpha} \in G$  and be are done.

The following lemma is similar.

**Lemma 3.5** Let  $X \in J^+$ . Then for each  $m < \omega$  there is n > m so that  $|\{\alpha < \omega_2 \mid X \cap A_{n\alpha} \in J^+\}| = \aleph_2$ .

*Proof.* Just otherwise X or its extension will force that the range of H (as in 3.4) will be bounded in  $\omega_2^V$ .

Now we will use an argument similar to those of [3] in order to extend J to an ideal with the desired property.

Let  $\langle f_{\alpha} \mid \alpha < \aleph_2 \rangle$  be an enumeration of the set of all functions from  $\omega_1$  to  $\tau$  (recall that  $\tau$  is a fixed ordinal less than  $\aleph_3$  and  $2^{\aleph_1} = \aleph_2$ ). Fix an enumeration  $\langle X_{\alpha} \mid \alpha < \aleph_2 \rangle$  of *J*-positive sets.

By 3.5 there is  $n < \omega$  such that

$$|\{\alpha < \omega_2 \mid A_{n\alpha} \in J^+\}| = \aleph_2$$

Suppose for simplicity that n = 0. Let

$$\langle A_{0\tau(\xi)} \mid \xi < \omega_2 \rangle$$

be a one to one enumeration of this set.

We construct by induction a sequence of ordinals  $\langle \xi_{0\alpha} | \alpha < \omega_2 \rangle$  and a sequence of J positive sets  $\langle C_{0\alpha} | \alpha < \omega_2 \rangle$ . Let  $\alpha < \omega_2$ . If there is  $\xi < \omega_2$  such that  $\xi \neq \xi_{0\beta}$  for each  $\beta < \alpha$  and  $X_{\alpha} \cap A_{0\tau(\xi)} \in J^+$ , then let  $\xi_{0\alpha}$  be the least such  $\xi$ . We would like now to attach an ordinal to the function  $f_{\alpha}$ . So let us play the game  $\mathcal{G}$  (which continues  $\vec{t}$ ) where the player one uses the strategy  $\sigma$  until the stage at which the player one plays  $X_{\alpha} \cap A_{0\tau(\xi)}$ . All the previous move do not matter much here, but we fix some such play. Let the player two respond by  $X_{\alpha} \cap A_{0\tau(\xi)}$  and  $f_{\alpha}$ . The strategy  $\sigma$  provides then the answer of the player one. It consists of a subset  $C_{0\alpha}$  of  $X_{\alpha} \cap A_{0\tau(\xi)}$  and an ordinal  $\eta_{0\alpha}$ .

 $I_{0\alpha} = \{X \subseteq \omega_1 \mid \sigma \text{ never picks } X \text{ in all possible continuations of the play started above.}\}$ If there is no such  $\xi$  then

$$X_{\alpha} \subseteq \bigtriangledown_{\varepsilon < \omega_1} A_{0\tau(\xi_{\beta_{\varepsilon}})},$$

where  $\langle \beta_{\varepsilon} | \varepsilon < \omega_1 \rangle$  is an enumeration of  $\alpha$ . Let then  $\xi_{0\alpha}$  be the least ordinal above all  $\xi_{0\beta}$  with  $\beta < \alpha$ . Replace  $X_{\alpha}$  be  $\aleph_1$  and then proceed with it as above.

Set  $I_0 = \bigcap \{I_{0\alpha} | \alpha < \aleph_2\}$ . Then  $I_0$  is a normal ideal over  $\aleph_1$ , since each of  $I_{0\alpha}$  is such. The next lemma follows from the construction above.

**Lemma 3.6** For each  $X \in J^+$  we have  $X \in I_{0\alpha}$ , for some  $\alpha < \aleph_2$  or  $X \subseteq \{\nu < \omega_1 | \exists \beta < \nu \in A_{0\zeta_\beta}\}$  mod J, for some sequence  $\langle \zeta_\beta | \beta < \omega_1 \rangle$  of ordinals below  $\omega_2$ .

As in [3] we can now deduce the following:

**Lemma 3.7** Let  $X \subseteq \omega_1$ . Then  $X \in I_0$  iff  $X \subseteq \{\nu < \omega_1 | \exists \beta < \nu\}$   $\nu \in Y_{\beta}\}$  mod J, for some sequence  $\langle Y_{\beta} | \beta < \omega_1 \rangle$  such that for some sequence  $\langle \alpha_{\beta} | \beta < \omega_1 \rangle$  of ordinals below  $\omega_2$  we have  $Y_{\beta} \subseteq A_{0\tau(\xi_{\alpha_{\beta}})}$  and  $Y_{\beta} \in I_{0\alpha_{\beta}}$ .

Let now n = 1. Fix some  $\gamma < \omega_2$ . We apply 3.5 to find the least  $n_{\gamma} \ge 1$  such that the set

$$|\{\alpha < \omega_2 | A_{n_\gamma \alpha} \in I_{0\gamma}^+\}| = \aleph_2.$$

Let

$$\langle A_{n_{\gamma}\tau(\xi)}|\xi<\omega_2\rangle$$

be a one to one enumeration of this set. For each  $\xi < \omega_2$  we would like to attach an ordinal to a restriction of  $f_{\xi}$  to an  $I_{0\gamma}$  positive subset of  $A_{n_{\gamma}\tau(\xi)}$ .

Proceed as above. Define recursively sequences  $\langle \xi_{\langle 0\gamma,1\alpha\rangle} | \alpha < \omega_2 \rangle$  and  $\langle C_{\langle 0\gamma,1\alpha\rangle} | \alpha < \omega_2 \rangle$ .

At stage  $\alpha$  consider the  $\alpha$ -th set  $X_{\alpha}$  in  $I_{0\gamma}$ . If there is  $\xi < \omega_2$  such that  $\xi \neq \xi_{\langle 0\gamma,1\beta\rangle}$ , for each  $\beta < \alpha$  and  $X_{\alpha} \cap A_{n_{\gamma}\tau(\xi)} \in I_{0\gamma}^+$ , then let  $\xi_{\langle 0\gamma,1\alpha\rangle}$  be the least such  $\xi$ . We would like to shrink  $I_{0\gamma}$  below  $X_{\alpha} \cap A_{n_{\gamma}\tau(\xi_{\langle 0\gamma,1\alpha\rangle})}$  in order to decide an ordinal which will correspond to  $f_{\alpha}$ . As above we fix a play according to  $\sigma$  which is a continuation of the previous play (the one from the definition of  $I_{0\gamma}$  reaching  $X_{\alpha} \cap A_{n_{\gamma}\tau(\xi_{\langle 0\gamma,1\alpha\rangle})}$ . Let the second player plays at his next move  $X_{\alpha} \cap A_{n_{\gamma}\tau(\xi_{\langle 0\gamma,1\alpha\rangle})}$  and  $f_{\alpha}$ . Apply the strategy  $\sigma$ . It supplies an  $I_{0\gamma}$  positive subset  $C_{\langle 0\gamma,1\alpha\rangle}$  of  $X_{\alpha} \cap A_{n_{\gamma}\tau(\xi_{\langle 0\gamma,1\alpha\rangle})}$  and an ordinal  $\eta_{0\gamma,1\alpha}$ . This will be the ordinal corresponding to  $f_{\alpha} \upharpoonright C_{\langle 0\gamma,1\alpha\rangle}$ .

#### Let

 $I_{\langle 0\gamma,1\alpha\rangle} = \{X \subseteq \omega_1 \mid \sigma \text{ never picks } X \text{ in all possible continuations of the play started above.}\}$ If there is no such  $\xi$  then let  $\xi_{\langle 0\gamma,1\alpha\rangle}$  be the least ordinal above all  $\xi_{\langle 0\gamma,1\beta\rangle}$  for  $\beta < \alpha$ . Take  $\omega_1$  instead of  $X_{\alpha}$  and run the construction above.

Set  $I_1 = \bigcap \{ I_{0\gamma,1\alpha} \mid \gamma, \alpha < \aleph_2 \}$ . Then  $I_1$  is a normal ideal over  $\aleph_1$ , since each of  $I_{\langle 0\gamma,1\alpha \rangle}$  is such.

Continue similar and define  $I_s$  and  $I_n$  for each  $n < \omega$  and  $s \in [\omega \times \omega_2]^{<\omega}$ . Let  $F_s$  and  $F_n$  be the corresponding dual filters. Finally set

$$I_{\omega} =$$
 the closure under  $\omega$  unions of  $\bigcup_{n < \omega} I_n$ 

Let  $F_{\omega}$  be the corresponding dual filter.

The following lemmas of [3] transfer directly to the preset context.

**Lemma 3.8**  $F \subseteq F_0 \subseteq ... \subseteq F_n \subseteq ... \subseteq F_\omega$  and  $I \subseteq J \subseteq I_0 \subseteq ... \subseteq I_n \subseteq ... \subseteq I_\omega$ .

Lemma 3.9

$$F_{\omega} = \{ X \subseteq \omega_1 | \exists \langle X_n | n < \omega \rangle \forall n < \omega X_n \in F_n \quad X = \bigcap_{n < \omega} X_n \}$$

and

$$I_{\omega} = \{ X \subseteq \omega_1 | \exists \langle X_n | n < \omega \rangle \forall n < \omega X_n \in I_n \quad X = \bigcup_{n < \omega} X_n \}$$

**Lemma 3.10**  $I_{\omega}$  is a proper  $\omega_1$ -complete filter over  $\omega_1$ .

**Lemma 3.11** If  $\langle Y_{\beta}|\beta < \omega_1 \rangle$  is a sequence of sets in  $I_{\omega}$  then the set

$$Y = \{\nu < \kappa | \quad \exists \beta < \nu \quad \nu \in Y_{\beta}\}$$

is in  $I_{\omega}$  as well and hence  $I_{\omega}$  is normal.

**Lemma 3.12** A set X is in  $I_{\omega}^+$  iff  $X \in F_s$ , for some  $s \in [\omega \times \omega_2]^{<\omega}$ .

Now we are ready to show the desired result.

**Theorem 3.13** Let G be a generic subset of  $I^+_{\omega}$  and  $j_G : V \to M_G = V \cap {}^{\omega_1}V/G$  be the corresponding elementary embedding. Then  $M_G$  is wellfounded at least up to  $j_G(\tau)$ .

*Proof.* Suppose that  $\langle \dot{g}_n | n < \omega \rangle$  is a sequence of  $I_{\omega}^+$ -names of old (in V) functions from  $\omega_1 \to \tau$ .

Let  $G \subseteq I_{\omega}^+$  be a generic ultrafilter. Pick a set  $X_0 \in G$  and a function

$$g_0:\omega_1\to\tau$$

in V such that

$$X_0 \| -_{I^+_\omega} \dot{g_0} = \check{g_0}.$$

Let  $\alpha_0 < (\omega_2)^V$  be so that  $f_{\alpha_0} = g_0$ .

Apply Lemma 3.12 to  $X_0$ . There is a sequence  $s_0$  with  $F_{s_0}$  defined and so that  $X_0 \in F_{s_0}$ . Recall now the definition of the filters  $F_{s_0} \cap_{\langle |s_0|\alpha \rangle}$  which extend  $F_{s_0}$  at the very next stage of the construction. There will be  $\beta_0 < \kappa^+$  and  $n_0 > |s_0|$  such that  $A_{n_0\tau(\alpha_0)} \in F_{s_0} \cap_{\langle |s_0|\beta_0\rangle}$ . Denote by  $\eta_0$  the the ordinal attached to  $f_{\alpha_0}$  at the level of  $s_0$  in the construction of  $F_{s_0}^+ \cap_{\langle |s_0|\beta_0\rangle}$ . By shrinking if necessary we can assume that  $A_{n_0\tau(\alpha_0)} \cap X_0 \in F_t$  implies that the sequence  $s_0 \cap \langle |s_0|\beta_0\rangle$  is an extension of the sequence t or vice verse. Without loss of generality we can assume that  $A_{n_0\tau(\alpha_0)} \cap X_0 \in G$ , just otherwise replace  $X_0$  by arbitrary positive subset and use density.

Continue now below  $A_{n_0\tau(\alpha_0)} \cap X_0$  and pick  $X_1 \in G$  such that for some function

$$g_1: \kappa \to \tau$$

in V we have

$$X_1 \models_{F^+_\omega} \dot{g_1} = \check{g_1}.$$

Let  $g_1 = f_{\alpha_1}$ . Again, by 3.12, there is a sequence  $s_1$  extending  $s_0$  with  $F_{s_1}$  defined and so that  $X_1 \in F_{s_1}$ . Recall now the definition of the filters  $F_{s_1 \cap \langle |s_1| \alpha \rangle}$  which extend  $F_{s_1}$  at the very next stage of the construction. There will be  $\beta_1 < \kappa^+$  and  $n_1 > |s_1|$  such that  $A_{n_1\tau(\alpha_1)} \in F_{s_1 \cap \langle |s_1|\beta_1 \rangle}$ . Denote by  $\eta_1$  the the ordinal attached to  $f_{\alpha_1}$  at the level of  $s_1$  in the construction of  $F_{s_1 \cap \langle |s_1|\beta_1 \rangle}^+$ . By shrinking if necessary we can assume that  $A_{n_1\tau(\alpha_1)} \cap X_1 \in F_t$ implies that the sequence  $s_1 \cap \langle |s_1|\beta_1 \rangle$  is an extension of the sequence t or vice verse. Without loss of generality we can assume that  $A_{n_1\tau(\alpha_1)} \cap X_1 \in G$ , just otherwise replace  $X_1$  by arbitrary positive subset and use density.

Continue the process for each  $n < \omega$ . There will be  $k < m < \omega$  with  $\rho_k \leq \rho_m$ . Then the set

$$\{\nu \in X_m \cap A_{n_m \alpha_m} | f_{\alpha_k}(\nu) \le f_{\alpha_m}(\nu)\} \in F_{s_m \frown \langle |s_m|\beta_m \rangle}.$$

But  $X_m \cap A_{n_m \alpha_m} \in G$  as well. Then,

$$\{\nu \in X_m \cap A_{n_m \alpha_m} | f_{\alpha_k}(\nu) \le f_{\alpha_m}(\nu) \} \in G,$$

just no elements of G can be outside of  $X_m \cap A_{n_m\alpha_m} \pmod{F \subseteq F_\omega}$  since all of them are in  $F_t$ 's for sequences t which are subsequences of  $s_n$ , for some  $n < \omega$ .

Actually the argument provides a bit more information. Thus the following holds:

**Theorem 3.14** Assume that  $2^{\aleph_1} = \aleph_2$  and  $||f|| = \omega_2$ , for some  $f : \omega_1 \to \omega_1$ . Suppose that Player I has a winning strategy in the game  $\mathcal{G}_{\tau}$ , for some  $\tau < \aleph_3$ , then there is a normal ideal on  $\aleph_1$  with a generic ultrapower wellfounded up to the image of  $\tau$ .

*Proof.* Note that the construction of  $I_{\omega}$  above relays only on the strategy for the player one in the game  $\mathcal{G}_{\tau}$ .

The opposite direction is true as well:

**Theorem 3.15** Suppose that J is a normal ideal on  $\aleph_1$  with a generic ultrapower well founded up to the image of  $\tau$  (for some ordinal  $\tau$ ), then Player I has a winning strategy in the game  $\mathcal{G}_{\tau}$ .

*Proof.* Just start with  $\omega_1$  or any *J*-positive set. At a stage 2n - 1(n > 0) the second player responds with a function  $f: A_{2n-2} \to \tau$  and, say, a sequence  $\langle B_{\alpha} | \alpha < \aleph_1 \rangle$  such that

$$\nabla_{\alpha < \omega_1} B_\alpha \supseteq A_{2n-2}.$$

Then one of  $B_{\alpha}$ 's should have the intersection with  $A_{2n-2}$  in  $J^+$  (J is normal and we assume that  $A_{2n-2} \in J^+$ ). Pick the least  $\alpha$  such that  $A_{2n-2} \cap B_{\alpha} \in J^+$ . Shrink then  $A_{2n-2} \cap B_{\alpha}$  to a set deciding the value of  $[f]_{\dot{G}}$  in the generic ultrapower. Let  $A_{2n}$  be such a set.

The above defines a winning strategy for the player one in the game  $\mathcal{G}_{\tau}$ .

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