

# Reflection and not SCH with overlapping extenders.

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## Abstract

We use the forcing with overlapping extenders [4] to give a direct construction of a model of  $\neg$ SCH+Reflection.

## 1 Introduction.

In 2005 Assaf Sharon [9] constructed a model with a singular strong limit cardinal  $\kappa$  of cofinality  $\omega$  such that  $2^\kappa > \kappa^+$  and every stationary subset of  $\kappa^+$  reflects. He used infinitely many supercompact cardinals for this.

Recently, A. Poveda, A. Rinot, D. Sinapova [8] and O. Ben Neria, Y. Hayut, S. Unger [2] addressed this problem again. In [8] a general schema of iteration is given. The paper [2] uses the iterated ultrapowers approach of Y. Hayut and S. Unger [7] and the overlapping extenders forcing of [4]. It extends Sharon's result to uncountable cofinality (using supercompacts) and for countable cofinality replaces supercompacts by much weaker assumptions.

The purpose of the present note is to give a strait proof of Sharon's result using the forcing with overlapping extenders but without appeal to iterated ultrapowers (still using supercompacts).

## 2 A model in which SCH fail at a singular cardinal and Reflection holds at its successor.

Recall that Sharon used long extenders forcing and as a result a rather complicated iteration was needed in order to destroy non-reflecting stationary sets that appear there. By using

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the forcing with overlapping extenders instead, there is no need for further iteration.

This was pointed out by O. Ben Neria, Y. Hayut and S. Unger [2], however their argument was based on a delicate analyzes of iterated ultrapowers. We will use here the forcing of [4] and ideas from A. Sharon [9] instead.

Fix a regular cardinal  $\eta$ . Let  $\langle \kappa_\alpha \mid \alpha < \eta \rangle$  be an increasing sequence of cardinals and let  $\langle E_\alpha \mid \alpha < \eta \rangle$  be a sequence of extenders such that for every  $\alpha < \eta$

1.  $\eta < \kappa_0$ ,
2.  $E(\alpha)$  is a  $(\kappa_\alpha, \bar{\kappa}_\eta^{++})$ -extender, where  $\bar{\kappa}_\eta = \bigcup_{\alpha < \eta} \kappa_\alpha$ ,
3.  $E(\alpha) \triangleleft E(\alpha + 1)$ ,
4. there is a supercompact cardinal between  $\sup_{\beta < \alpha} \kappa_\beta$  and  $\kappa_\alpha$ .

Let  $\langle \mathcal{P}_{\langle E(\alpha) \mid \alpha < \eta \rangle}, \leq, \leq^* \rangle$  be the forcing of Section 2 of [4].

For every limit  $\alpha \leq \eta$  denote  $\bar{\kappa}_\alpha = \bigcup_{\alpha' < \alpha} \kappa_{\alpha'}$ .

By [4], Section 2, it has the following properties:

1.  $\langle \mathcal{P}_{\langle E(\alpha) \mid \alpha < \eta \rangle}, \leq, \leq^* \rangle$  is a Prikry type forcing,
2. the forcing  $\langle \mathcal{P}_{\langle E(\alpha) \mid \alpha < \eta \rangle}, \leq \rangle$ :
  - (a) blows up the power of  $\bar{\kappa}_\eta$  to  $\bar{\kappa}_\eta^{++}$ ,
  - (b) blows up the power of  $\bar{\kappa}_\alpha$  above  $\bar{\kappa}_\alpha^+$ , for every limit  $\alpha < \eta$ ,
  - (c) preserves cardinals and cofinalities,
  - (d) preserves strong limitness of each of  $\kappa_\alpha$ 's, for every  $\alpha \leq \eta$ , and  $\bar{\kappa}_\alpha$ 's, for every limit  $\alpha \leq \eta$
  - (e) does not add new subsets to  $\kappa_0$ .
3. For every  $p \in \mathcal{P}$  and every  $\mathcal{P}$ -name  $\zeta$  of an ordinal, there is  $p^* \geq^* p$  such that the number of possible decisions of  $\zeta$  above  $p^*$  is at most  $\bar{\kappa}_\eta$ .  
I.e.  $|\{\xi \mid \exists q \geq p^*(q \Vdash_{\langle \mathcal{P}, \leq \rangle} \zeta = \xi)\}| \leq \bar{\kappa}_\eta$ .<sup>1</sup>

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<sup>1</sup>This condition basically says that one entree given dense open set by taking a direct extension and then specifying finitely many coordinates. Usually, this property has the same proof, as the Prikry condition and is used to show that  $\bar{\kappa}_\eta^+$  is preserved in  $V^{\langle \mathcal{P}, \leq \rangle}$ .

4. The forcing  $\langle \mathcal{P}_{\langle E(\alpha) | \alpha < \eta \rangle}, \leq^* \rangle$  is equivalent to the product of Cohen forcings  $Cohen(\kappa_\alpha^+, \bar{\kappa}_\eta^{++})$ .<sup>2</sup>

Namely, we just remove or ignore sets of measure one  $A_\alpha^p$  in each coordinate  $p(\alpha) = \langle f_\alpha^p, A_\alpha^p \rangle$  of a condition  $p = \langle p(\alpha) | \alpha < \eta \rangle \in \mathcal{P}_{\langle E(\alpha) | \alpha < \eta \rangle}$ . More precisely, if  $p = \langle p(\alpha) | \alpha < \eta \rangle$  and  $q = \langle q(\alpha) | \alpha < \eta \rangle$  are in  $\mathcal{P}_{\langle E(\alpha) | \alpha < \eta \rangle}$ , then set  $p \sim q$  iff for every  $\alpha < \eta$

- (a)  $p(\alpha)$  is non-pure iff  $q(\alpha)$  is non-pure. Require then that  $p(\alpha) = q(\alpha)$ .
- (b) If  $p(\alpha) = \langle f_\alpha^p, A_\alpha^p \rangle$ , i.e. is pure, then  $q(\alpha) = \langle g_\alpha^p, B_\alpha^p \rangle$  is pure as well, and require that  $f_\alpha^p = g_\alpha^p$ .

Then  $\langle \mathcal{P}_{\langle E(\alpha) | \alpha < \eta \rangle} / \sim, \leq^* \rangle$  is the product of Cohen forcings.

Let us assume (or make) that all relevant supercompact cardinals were made indestructible under directed closed forcings using the Laver forcing.

Then force with  $\langle \mathcal{P}_{\langle E(\alpha) | \alpha < \eta \rangle}, \leq \rangle$ . Denote further  $\mathcal{P}_{\langle E(\alpha) | \alpha < \eta \rangle}$  by  $\mathcal{P}$ . We claim that the resulting generic extension is as desired, i.e. it satisfies  $2^{\bar{\kappa}_\eta} = \bar{\kappa}_\eta^{++}$  and every stationary subset of  $\bar{\kappa}_\eta^+$  reflects.

$2^{\bar{\kappa}_\eta} = \bar{\kappa}_\eta^{++}$  follows by (2(a)) above. Let deal with the reflection. Denote  $\bar{\kappa}_\eta$  by  $\lambda$ .

**Theorem 2.1** *In  $V^{\langle \mathcal{P}, \leq \rangle}$ , every stationary subset of  $\bar{\kappa}_\eta^+$  reflects.*

*Proof.* Assume for simplicity that  $\eta = \omega$ . The argument follows closely Section III of [9], only the long extenders forcing is replaced by  $\mathcal{P}$ .

Let  $\mathcal{S}$  be a canonical name of a stationary subset of  $\bar{\kappa}_\omega^+$ , i.e.,

$$\mathcal{S} = \{ \langle \alpha, p \rangle \mid p \in \mathcal{P} \text{ and } p \Vdash_{\mathcal{P}} \alpha \in \mathcal{S} \}.$$

Suppose for simplicity that  $\mathcal{S}$  concentrates on a fixed cofinality below the least supercompact.

For every  $n < \omega$ , set

$$\mathcal{S}_n = \{ \langle \alpha, p \rangle \mid \ell(p) = n \}, \mathcal{P}_n = \{ p \in \mathcal{P} \mid \ell(p) = n \} \text{ and } \leq_n^* = \leq \upharpoonright \mathcal{P}_n.$$

The following was proved in [9] (Claim 1.1.1):

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<sup>2</sup>This is the crucial difference from the long extenders Prikry forcing  $\langle \mathcal{P}, \leq, \leq^* \rangle$  of Sec. 2 of [3]. The conditions in  $\mathcal{P}$  consist basically of two parts one of cardinality  $< \kappa_n$ , ( $n < \omega$ ) (assignment functions) and another of cardinality  $\kappa_\omega$  (Cohen functions). As a result,  $\langle \mathcal{P}, \leq^* \rangle$  collapses  $\kappa_\omega^+$  and this allowed Asaf Sharon [9] to build a non-reflecting stationary set.

In the present setting both parts are put into one of cardinality  $\kappa_n$ .

**Lemma 2.2** *Suppose that for some  $m < \omega$ , we have  $p \in \mathcal{P}_m$  such that  $p \Vdash_{\mathcal{P}_m} (\mathcal{S}_m \text{ is stationary})$ . Then there is  $q \geq^* p$ ,  $q \Vdash_{\mathcal{P}} (\mathcal{S} \text{ reflects})$ .*

So, it is enough to show that for every  $p \in \mathcal{P}$  there is  $q \geq p$  such that

$$q \Vdash_{\mathcal{P}_{\ell(q)}} \mathcal{S}_{\ell(q)} \text{ is stationary.}$$

Suppose otherwise. Then, as in [9], there are  $p \in \mathcal{P}$  and  $\mathcal{P}_n$ -names  $\mathcal{C}_n, n < \omega$  such that for every  $q \geq p$ ,

$$q \Vdash_{\mathcal{P}_{\ell(q)}} \mathcal{C}_{\ell(q)} \text{ is a club in } \bar{\kappa}_\omega^+ \text{ and } \mathcal{C}_{\ell(q)} \cap \mathcal{S}_{\ell(q)} = \emptyset.$$

Suppose for simplicity that  $p = 0_{\mathcal{P}}$ .

Fix  $n < \omega$ . Consider the forcing  $\mathcal{P}_n$  and  $\mathcal{C}_n$ .

Here  $\mathcal{P}_n$  is just a full support product of Cohen forcings  $\langle Q_k \mid k < \omega \rangle$ , where for every  $k < n$ ,  $Q_k$  is a Cohen forcing which adds less than  $\kappa_{k+1}$  new subsets to a cardinal  $< \kappa_k$ , and so its cardinality  $< \kappa_k$ .

$Q_n$  is a Cohen forcing which adds  $\bar{\kappa}_\omega^{++}$ -many subsets to a cardinal  $< \kappa_n$ , and, for every  $k, n < k < \omega$ ,  $Q_k$  is a Cohen forcing which adds  $\bar{\kappa}_\omega^{++}$ -many subsets to  $\kappa_k^+$ .

In particular, for every  $k < \omega$ ,  $Q_k$  satisfies  $\kappa_k^{++}$ -c.c. and  $\kappa_k^{++} < \bar{\kappa}_\omega^+$ .

Now, using the chain condition, for every  $m < \omega$ , we can find a  $\prod_{m < k < \omega} Q_k$ -name  $\mathcal{C}_n^m$  which is forced to be a club subset of  $\mathcal{C}_n$ .

It is possible to make  $\mathcal{C}_n^m$ 's decreasing.

If  $\vec{f} \in \prod_{k < \omega} Q_k$  and  $m' \leq m < \omega$ , then let us view  $\vec{f} \upharpoonright [m, \omega) \in \prod_{m \leq k < \omega} Q_k$  also as a condition in  $\prod_{m' \leq k < \omega} Q_k$ , just put the empty function at each coordinate in the interval  $[m', m)$ . Clearly, then  $\vec{f} \upharpoonright [m', \omega)$  will be a stronger condition than  $\vec{f} \upharpoonright [m, \omega)$  in the forcing  $\prod_{m' \leq k < \omega} Q_k$ .

So, if, for some  $\alpha$ ,  $\vec{f} \upharpoonright [m, \omega) \Vdash_{\prod_{m \leq k < \omega} Q_k} \alpha \in \mathcal{C}_n^m$ , then  $\vec{f} \upharpoonright [m, \omega) \Vdash_{\prod_{r \leq k < \omega} Q_k} \alpha \in \mathcal{C}_n^r$ , for every  $r \leq m$ , since  $\mathcal{C}_n^i$ 's are decreasing.

Hence, if for every large enough  $m < \omega$ ,  $\vec{f} \upharpoonright [m, \omega) \Vdash_{\prod_{m \leq k < \omega} Q_k} \alpha \in \mathcal{C}_n^m$ , then for every  $m < \omega$ ,  $\vec{f} \upharpoonright [m, \omega) \Vdash_{\prod_{m \leq k < \omega} Q_k} \alpha \in \mathcal{C}_n^m$ .

Now we use an idea from [2] and consider the forcing  $\prod_{k < \omega} Q_k / \text{finite}$ .

**Lemma 2.3** *There is a  $\prod_{k < \omega} Q_k / \text{finite}$ -name  $\mathcal{C}_n^\omega$  of a club in  $\bar{\kappa}_\omega^+$  such that for every  $\vec{f} \in \prod_{k < \omega} Q_k$ , if  $\vec{f} / \text{finite} \Vdash_{\prod_{k < \omega} Q_k / \text{finite}} \alpha \in \mathcal{C}_n^\omega$ , then for every  $m < \omega$ ,  $\vec{f} \upharpoonright [m, \omega) \Vdash_{\prod_{m \leq k < \omega} Q_k} \alpha \in \mathcal{C}_n^m$ .*

*Proof.* Let  $H$  be a generic subset of  $\prod_{k < \omega} Q_k / \text{finite}$ .

Work in  $V[H]$  and define

$$C_n^\omega = \{\alpha < \bar{\kappa}_\omega^+ \mid \exists \vec{f} \in H \forall m < \omega (f \upharpoonright [m, \omega) \Vdash_{\prod_{m \leq k < \omega} Q_k} \alpha \in \mathcal{C}_n^m)\}.$$

**Claim 1**  $C_n^\omega$  is unbounded in  $\bar{\kappa}_\omega^+$ .

*Proof.* Work in  $V$  and then use the density argument. Let  $\rho < \bar{\kappa}_\omega^+$ . Find  $\alpha_0, \rho < \alpha_0 < \bar{\kappa}_\omega^+$  and  $f_0 \in \prod_{k < \omega} Q_k$  such that

$$f_0 \Vdash_{\prod_{k < \omega} Q_k} \alpha_0 \in \mathcal{C}_n^0.$$

Next, we find  $\alpha_1, \alpha_0 < \alpha_1 < \bar{\kappa}_\omega^+$  and  $f_1 \in \prod_{k < \omega} Q_k, f_1 \geq f_0$  such that

$$f_1 \upharpoonright [1, \omega) \Vdash_{\prod_{1 \leq k < \omega} Q_k} \alpha_1 \in \mathcal{C}_n^1.$$

Note that since these clubs are decreasing, we will have

$$f_1 \upharpoonright [1, \omega) \Vdash_{\prod_{k < \omega} Q_k} \alpha_1 \in \mathcal{C}_n^0.$$

Continue in the similar fashion by induction and define two increasing sequences  $\langle \alpha_m \mid m < \omega \rangle$  and  $\langle f_m \mid m < \omega \rangle$  such that

$$f_m \upharpoonright [m, \omega) \Vdash_{\prod_{m \leq k < \omega} Q_k} \alpha_m \in \mathcal{C}_n^m,$$

for every  $m < \omega$ . Also, since the clubs are decreasing, we will have

$$f_m \upharpoonright [m, \omega) \Vdash_{\prod_{r \leq k < \omega} Q_k} \alpha_m \in \mathcal{C}_n^r,$$

for every  $r \leq m < \omega$ .

Finally, let  $\alpha = \bigcup_{m < \omega} \alpha_m$  and  $f = \bigcup_{m < \omega} f_m$ .

Then, for every  $m < \omega$ ,  $f \upharpoonright [m, \omega) \Vdash_{\prod_{m \leq k < \omega} Q_k} \alpha \in \mathcal{C}_n^m$ .

So,  $f/finite \Vdash_{\prod_{k < \omega} Q_k/finite} \alpha \in \mathcal{C}_n^\omega$ , and we are done.

□ of the claim.

**Claim 2**  $C_n^\omega$  is a closed subset of  $\bar{\kappa}_\omega^+$ .

*Proof.* Note that  $\bar{\kappa}_\omega^+$  is a successor of singular cardinal, so we need to deal only with sequences of a length below  $\bar{\kappa}_\omega$ .

Work in  $V$  and then use the density argument.

So let  $\zeta < \bar{\kappa}_\omega$  and  $\langle \alpha_\xi \mid \xi < \zeta \rangle$  be an increasing sequence of elements of  $\mathcal{C}_n^\omega$ .

Pick  $m_0 < \omega$  to be large enough such that  $\kappa_{m_0} > \zeta$ .

Similar to the previous claim, we define an increasing sequence  $\langle f_\xi \mid \xi < \zeta \rangle$  of conditions in  $\prod_{m_0 < k < \omega} Q_k$  which decide  $\alpha_\xi$ 's. Set  $\alpha_\zeta = \bigcup_{\xi < \zeta} \alpha_\xi$  and  $f_\zeta = \bigcup_{\xi < \zeta} f_\xi$ . Then

$$f_\zeta / \text{finite} \Vdash_{\prod_{k < \omega} Q_k / \text{finite}} \alpha_\zeta \in \mathcal{C}_n^\omega \wedge \alpha_\zeta = \bigcup_{\xi < \zeta} \alpha_\xi.$$

□ of the claim.

□

Let us argue now that in  $V[G(\mathcal{P})]$  we can find  $H$  which is a generic subset  $H$  of  $\prod_{k < \omega} Q_k / \text{finite}$ .

Set

$$H = \{ \langle f_m \mid m < \omega \rangle / \text{finite} \mid \exists p = \langle p_k \mid k < \omega \rangle \in G(\mathcal{P}) \exists m_0 < \omega \forall m > m_0 (p_m = \langle f_m, A_m \rangle) \}.$$

Let us show a genericity of  $H$ . So, let  $D \in V$  be a dense open subset of  $\prod_{k < \omega} Q_k / \text{finite}$ . Define  $D' \subseteq \mathcal{P}$  as follows.

$$D' = \{ p = \langle p_k \mid k < \omega \rangle \in \mathcal{P} \mid \exists m_0 < \omega (\langle f_m^p \mid m_0 < m < \omega \rangle \in D) \},$$

where for  $m \geq \ell(p)$ ,  $p_m = \langle f_m^p, A_m^p \rangle$ .

**Claim 3**  $D'$  is dense in  $\langle \mathcal{P}, \leq \rangle$  and even in  $\langle \mathcal{P}, \leq^* \rangle$ .

*Proof.* Let  $q = \langle q_k \mid k < \omega \rangle \in \mathcal{P}$ . For every  $m \geq \ell(q)$ ,  $q_m$  is of the form  $\langle f_m^q, A_m^q \rangle$ . Consider  $\vec{f} = \langle f_m^q \mid \ell(q) \leq m < \omega \rangle$ . There is  $\vec{g} = \langle g_m \mid m < \omega \rangle \in D$  such that  $\vec{g} \geq_{\prod_{k < \omega} Q_k / \text{finite}} \vec{f}$ . Now define  $\vec{h} = \langle h_m \mid m < \omega \rangle$  as follows:

$h_m = g_m$ , for every  $m < \ell(q)$ ; for every  $m \geq \ell(q)$ , if  $g_m$  does not extend  $f_m$ , then let  $h_m = f_m$  (note that there are only finitely many  $m$ 's like this); if  $g_m$  extends  $f_m$ , then  $h_m = g_m$ .

Now we pick sets of measure one  $B_m$  which project to subsets of  $A_m^q$  such that  $p = q \upharpoonright \ell(q) \wedge \langle h_m, B_m \mid \ell(q) \leq m < \omega \rangle$  is a condition in  $\mathcal{P}$ . Then  $p \geq^* q$ , by its definition, and also,  $p \in D'$ .

□ of the claim.

Pick now some  $p \in D' \cap G(\mathcal{P})$ , then  $\langle f_m^p \mid \ell(p) \leq m < \omega \rangle \in H$ .

Given such generic  $H$  inside  $V[G(\mathcal{P})]$ , we will there all the corresponding clubs  $C_n^\omega$ , for every  $n < \omega$ .

Set  $C = \bigcap_{n < \omega} C_n^\omega$ . Then  $C \subseteq \bar{\kappa}_\omega^+$  is a club as well.

Pick some  $\alpha \in C \cap S$ . Then there is some  $p \in G(\mathcal{P})$  which forces all this. Take  $n = \ell(p)$ .

Then  $\langle \alpha, p \rangle \in \mathcal{S}_n$  and also,  $p \Vdash_{\mathcal{P}_n} \alpha \in C_n$ , which is impossible.

Contradiction.

□

### 3 $\neg$ SCH and the reflection for a club.

We generalize the result to club many cardinals:

**Theorem 3.1** *Suppose that  $\theta$  is the least inaccessible cardinal which is a limit of supercompact cardinals.*

*Then there is cofinality preserving extension so that*

- $\theta$  remaining inaccessible,
- there is a club in  $\theta$  consisting of singular strong limit cardinals  $\nu$  such that
  1.  $2^\nu > \nu^+$ ,
  2. every stationary subset of  $\nu^+$  reflects.

*Proof.* The construction of the previous section can be applied here, only replace  $\eta$  by an inaccessible cardinal  $\theta$ .

Let  $\langle \delta_\alpha \mid \alpha < \theta \rangle$  be an increasing sequence of supercompact cardinals. Set  $\kappa_\alpha = \delta_{\alpha+1}$ , for every  $\alpha < \theta$ . Clearly, each  $\kappa_\alpha$  is strong. Repeat the previous construction using the sequence  $\langle \kappa_\alpha \mid \alpha < \theta \rangle$ .

Note that given a limit  $\alpha < \theta$ , we do not know in advance (i.e. without forcing with  $E(\alpha)$ ) what will be  $2^{\bar{\kappa}_\alpha}$ , where, as before,  $\bar{\kappa}_\alpha = \bigcup_{\beta < \alpha} \kappa_\beta$ . So, if we have only boundedly many supercompacts below  $\kappa_\alpha$ , then it is possible that there will be no supercompact in the interval  $(2^{\bar{\kappa}_\alpha}, \kappa_\alpha)$ . However, having a supercompact inside  $(\kappa_\alpha, \kappa_{\alpha+1})$ , we can repeat the argument of the previous section just using  $\kappa_{\alpha+1}$  as the first strong in this argument.

□

Finally note that it is possible to combine the previous results on AP [5] and Tree Property [6] with the present one, since the same forcing is used in all of them. So we obtain the following:

**Theorem 3.2** *Suppose that  $\theta$  is the least inaccessible cardinal which is a limit of supercompact cardinals.*

*Then there is cofinality preserving extension so that*

- $\theta$  remaining inaccessible,
- there is a club in  $\theta$  consisting of singular strong limit cardinals  $\nu$  such that
  1.  $2^\nu > \nu^+$ ,
  2.  $\neg AP_{\nu^+}$ ,
  3. the tree property holds at  $\nu^+$ ,
  4. every stationary subset of  $\nu^+$  reflects.

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