

Exercise Sheet, Dynamics on homogeneous spaces, Fall 2019

Notations and assumptions. Unless stated otherwise, all measures on a topological space are regular Borel Radon measures. ‘lsc’ stands for locally compact second countable Hausdorff.

1. Let $G = \mathrm{SL}_2(\mathbb{R})$, B the subgroup of upper triangular matrices. Prove that there is no measure on G/B which is invariant under G . Strengthen this by proving that if $\Gamma \subset G$ is an unbounded subgroup (i.e. $\bar{\Gamma}$ is not compact) and Γ does not leave invariant a finite set of points in G/B , then there is no measure on G/B which is invariant under Γ .

2. Let G be a lsc group and X a lsc space. Suppose G acts continuously and transitively on X and for $x_0 \in X$, let $H = \{g \in G : gx_0 = x_0\}$ and let $\pi : G/H \rightarrow X$, $\pi(gH) = gx_0$ and $\pi' : G \rightarrow X$, $\pi'(g) = \pi(gH)$.

- (i) Prove that π, π' are well-defined and continuous and that π is a homeomorphism.
- (ii) Prove that $K \subset X$ is compact if and only if there is a compact $K' \subset G$ such that $\pi'(K') = K$.
- (iii) Suppose L is a closed subgroup of G and define the *orbit map* $\iota : L/L \cap H \rightarrow X$, $\iota(\ell(L \cap H)) = \ell x_0$. Prove that ι is injective, and prove that it is a homeomorphism onto its image if and only if the orbit Lx_0 is closed.
- (iv) Are (ii) and / or (iii) true for general actions of topological groups, i.e. if one does not assume that G and / or X are lsc?

3. Let Γ be a discrete subgroup of $G = \mathrm{SL}_2(\mathbb{R})$, let $\mathbb{H}^+ \stackrel{\text{def}}{=} \{z \in \mathbb{C} : \mathrm{Im} z > 0\}$ and let $d(\cdot, \cdot)$ denote the hyperbolic metric on \mathbb{H}^+ . Suppose $z_0 \in \mathbb{H}^+$ is not stabilized by any element of $\Gamma \setminus \{e\}$, and let $\mathbb{D} = \{z \in \mathbb{H}^+ : \forall \gamma \in \Gamma \setminus \{e\}, d(\gamma z, z_0) \geq d(z, z_0)\}$. In items (ii)–(v) below, assume Γ is cocompact.

- (i) Prove that \mathbb{D} is a surjective set for the action of Γ on \mathbb{H}^+ by Möbius transformations, and the interior of \mathbb{D} is an injective set (i.e., \mathbb{D} is measurable, contains a representative from each orbit, and no two points in the interior of \mathbb{D} are in the same orbit). Also prove that the boundary of \mathbb{D} consists of a locally finite collection of geodesic arcs (a geodesic arc is a path which minimizes the hyperbolic distance of any two points on it; local finiteness means that any compact subset of \mathbb{H}^+ contains finitely many segments from $\partial \mathbb{D}$).
- (ii) Deduce that if Γ is cocompact then \mathbb{D} has finitely many sides and Γ is finitely generated.

- (iii) Deduce that if α is any geodesic which intersects the interior of \mathbb{D} , then $\mathbb{D} \setminus \alpha$ has two connected components.
- (iv) Let $B_T \stackrel{\text{def}}{=} \{z \in \mathbb{H}^+ : d(z, z_0) \leq T\}$ and let ∂ , int denote respectively boundary and interior. For each $\gamma \in \Gamma$ and each $x \in \text{int}(\gamma\mathbb{D})$, let α_x be the intersection of $\gamma\mathbb{D}$ with the geodesic through x perpendicular to the geodesic from x to z_0 , let β_x be the intersection of $\partial B_{d(x, z_0)}$ with $\gamma\mathbb{D}$ and let D_x be the maximal distance between a point of α_x and the nearest point of β_x . Prove that $D_x \rightarrow 0$ uniformly as $d(x, z_0) \rightarrow \infty$, that is,

$$\sup\{D_x : x \in \text{int}(\gamma\mathbb{D}) \cap \partial B_T \text{ for some } \gamma \in \Gamma\} \rightarrow_{T \rightarrow \infty} 0.$$
- (v) Complete the details of the reduction sketched in class for Margulis' result: let $m_{G/\Gamma}$ denote the G -invariant Borel probability measure on G/Γ , and suppose that for any $f \in C(G/\Gamma)$, $\frac{1}{\pi} \int_0^\pi f(g_t r_\theta) d\theta \rightarrow_{t \rightarrow \infty} \int f dm_{G/\Gamma}$. Show that if m is the hyperbolic area measure on \mathbb{H}^+ and $z_0 \in \mathbb{H}^+$ has a trivial stabilizer under Γ , then

$$|\{z \in \Gamma z_0 : d(z, z_0) \leq T\}| \sim \frac{m(B_T)}{m(\mathbb{D})}, \quad \text{as } T \rightarrow \infty.$$

4. Give an example of an infinitely generated discrete subgroup of $\text{SL}_2(\mathbb{R})$.

5. Prove that $G = \text{SL}_n(\mathbb{R})$ is *simple (as a topological group)*, i.e. if $H \subset G$ is a closed normal subgroup then H is either discrete or equal to G .

6. Show that the universal cover of a connected Lie group is a Lie group. Prove that the fundamental group of a connected Lie group is abelian. Show that $\text{SL}_n(\mathbb{R})$ is connected. Compute the fundamental group of $\text{SL}_n(\mathbb{R})$ for $n \geq 2$.

7. An element h in a group G is called *central* if $hg = gh$ for all $g \in G$, and a subgroup of G is called central if all its elements are central. Suppose G is a connected Lie group and H is a discrete normal subgroup. Show that H is central.

8. Show that if G is an lcsc group acting continuously and transitively on an lcsc space X , and preserving a measure ν , then this measure is unique, that is if ν_1, ν_2 are two such nonzero measures on X such that for any Borel set A and $g \in G$ we have $\nu_i(gA) = \nu_i(A)$ ($i = 1, 2$) then there is a constant $c > 0$ such that $\nu_2 = c\nu_1$. Conclude that left and right Haar measures on an lcsc group G are unique up to scaling.

9. Show that a Lie group is unimodular if one of the following holds: G is simple (as a topological group); G is compact; G is nilpotent. Also

show that if a left Haar measure ν_L on G satisfies $\nu_L(G) < \infty$, then the same is true for a right Haar measure, and G is compact.

10. Let \mathcal{X}_n denote the collection of closed subsets of \mathbb{R}^n , and define the *Chabauty-Fell metric* on \mathcal{X}_n as follows: $d(A_0, A_1)$ is the minimum of 1 and

$$\inf \left\{ r > 0 : \text{for } i = 0, 1, B\left(0, \frac{1}{r}\right) \cap A_i \subset \bigcup_{a \in A_{1-i}} B(a, r) \right\},$$

with the convention $\inf \emptyset = \infty$. Prove that d is a metric on \mathcal{X}_n and that with this metric, \mathcal{X}_n is compact and complete. Let $\mathcal{L}_n = \text{SL}_n(\mathbb{R})/\text{SL}_n(\mathbb{Z})$, the space of covolume 1 lattices equipped with the quotient topology. Prove that the inclusion map $\iota : \mathcal{L}_n \rightarrow \mathcal{X}_n$ is continuous, and deduce that for any i , the maps $\mathcal{L}_n \rightarrow \mathbb{R}$, $\Lambda \mapsto \lambda_i(\Lambda)$ and $\Lambda \mapsto \bar{\lambda}_i$ are continuous, where

$$\lambda_i(\Lambda) \stackrel{\text{def}}{=} \inf \{ r > 0 : \Lambda \cap B(0, r) \text{ contains } i \text{ linearly independent vectors} \},$$

and

$$\bar{\lambda}_i(\Lambda) \stackrel{\text{def}}{=} \inf \{ r > 0 : \Lambda \cap B(0, r) \text{ contains a primitive } i\text{-tuple} \}.$$

Show that any element in the closure of $\iota(\mathcal{L}_n)$ is a group. Show that the map $\mathcal{L}_n \rightarrow \mathcal{X}_n$ which sends a lattice L to its Voronoi cell, is continuous.

11. Show that for any $n \in \mathbb{N}$ there is $c > 0$ such that for any $\Lambda \in \mathcal{L}_n$ there is a basis v_1, \dots, v_n for Λ such that for all $i = 1, \dots, n$,

$$\frac{1}{c} \|v_i\| \leq \lambda_i(\Lambda) \leq c \|v_i\|.$$

12. The *covering radius* of a lattice Λ is defined as

$$\text{covrad}(\Lambda) \stackrel{\text{def}}{=} \min \{ r > 0 : \forall x \in \mathbb{R}^n \exists y \in \Lambda \text{ such that } \|x - y\| \leq r \}.$$

Show that the min in this definition is indeed attained, and that it is the minimal r so that the closed r -ball around 0 contains the Voronoi cell of Λ . Show that for a sequence $(\Lambda_j)_j \subset \mathcal{L}_n$, $(\Lambda_j)_j$ has no convergent subsequences if and only if $\text{covrad}(\Lambda_j) \rightarrow_{j \rightarrow \infty} \infty$.

13. Let $E \subset \mathbb{R}^n \setminus \{0\}$ be a measurable set of Lebesgue measure $V < \infty$. Let m be the $\text{SL}_n(\mathbb{R})$ -invariant probability measure on \mathcal{L}_n . Show that $\int_{\mathcal{L}_n} |\Lambda \cap E| d\mu(\Lambda) = V$. Deduce that there is $c > 0$ such that for every $n \in \mathbb{N}$, there is a lattice $\Lambda \in \mathcal{L}_n$ such that $\lambda_1(\Lambda) \geq c\sqrt{n}$.

14. Let G be an lcsc group acting on an lcsc space X . Suppose μ is a Borel measure which is *quasi-invariant* (i.e. G maps sets of zero measure to sets of zero measure), *ergodic* (i.e. for any G -invariant set

A , either $\mu(A) = 0$ or $\mu(X \setminus A) = 0$), and with support equal to X . Show that almost every G -orbit is dense.

15. Suppose G is a Lie group and Γ is a lattice in G , and let $\pi : G \rightarrow G/\Gamma$ denote the quotient map. Show that for $F \subset G$, $\overline{\pi(F)}$ is compact if and only if there is a neighborhood U of e in G such that for any $\gamma \in \Gamma \setminus \{e\}$ and every $g \in F$, $g\gamma g^{-1} \notin U$. Show that if H is a closed subgroup of G and $\Gamma_H = H \cap \Gamma$ is a lattice in H , then the map $H/\Gamma_H \rightarrow G/\Gamma$, $h\Gamma_H \mapsto h\Gamma$, is proper.

16. Suppose Γ is a discrete subgroup of a unimodular Lie group G , and N is a closed normal subgroup of G . Let $\pi : G \rightarrow G/N$ be the natural projection and suppose $\pi(\Gamma)$ is a lattice in G/N and $\Gamma \cap N$ is cocompact in N . Show that Γ is a lattice in G . Deduce that $\mathrm{SL}_n(\mathbb{Z}) \ltimes \mathbb{Z}^n$ is a lattice in $\mathrm{SL}_n(\mathbb{R}) \ltimes \mathbb{R}^n$.

17. Let G be a connected Lie group and let $g \in G$. Let

$$U^+ \stackrel{\text{def}}{=} \{h \in G : g^n h g^{-n} \rightarrow_{n \rightarrow +\infty} e\}, \quad U^- \stackrel{\text{def}}{=} \{h \in G : g^n h g^{-n} \rightarrow_{n \rightarrow -\infty} e\}.$$

Show that U^\pm are subgroups of G , and that the closure of the group generated by U^+ and U^- is normal in G .

18. Let G be an lcsc group acting on an lcsc space X and let μ be a measure on X . Show that if a measurable set A satisfies $\forall g \in G, \mu(A \triangle gA) = 0$ then there is a measurable set A' such that $gA' = A'$ for all $g \in G$ and $\mu(A \triangle A') = 0$.

19. Let G be an lcsc group acting on an lcsc X , and let μ be a probability measure on X which is G -invariant, and suppose there are no other G -invariant probability measures on X (if this holds we say that the action of G on X is *uniquely ergodic*). Prove that μ is ergodic. Let $\mathbb{T}^n = \mathbb{R}^n/\mathbb{Z}^n$ and let Γ be the abelian group generated by vectors v_1, \dots, v_d in \mathbb{R}^n , acting on \mathbb{T}^n by the rule

$$\gamma\pi(x) = \pi(\gamma + x), \text{ where } \pi : \mathbb{R}^n \rightarrow \mathbb{T}^n \text{ is the projection.}$$

Prove that the Γ action on \mathbb{T}^n is uniquely ergodic if and only if the group $\Gamma + \mathbb{Z}^n$ is dense in \mathbb{R}^n . Deduce that if Γ is the cyclic group generated by $v = (x_1, \dots, x_n)$ then Γ acts ergodically if and only if $1, x_1, \dots, x_n$ are linearly independent over \mathbb{Q} .

20. Let $k, \ell, n \in \mathbb{N}$ with $k + \ell = n$, let $G = \mathrm{SL}_n(\mathbb{R})$, let Γ be a lattice in G , let $X = G/\Gamma$ and m_X the G -invariant probability measure on X . Let $g_t = \mathrm{diag}(e^{\ell t} I_k, e^{-kt} I_\ell)$, where I_m is the $m \times m$ identity matrix. Let U be the matrices $(u_{ij})_{1 \leq i, j \leq n}$ satisfying $u_{ii} = 1$ for all i and $u_{ij} = 0$ when $i \neq j$ unless $i \leq k$ and $j > k$. Let m_U be Haar measure on U and \mathcal{O} a bounded open subset of U . For $x_0 \in X$ let ν_0 be the pushforward

of the normalized restriction $m_U|_{\mathcal{O}}$, under the map $u \mapsto ux_0$. Show that $g_{t*}\nu_0$ converges to m_X in the weak-* topology, as $t \rightarrow \infty$.

21. Let U, G, g_t be as in the preceding question, let m_U be the Haar measure on U , let $\Gamma = \mathrm{SL}_n(\mathbb{Z})$, $X = G/\Gamma$, $\pi : G \rightarrow X$ the quotient map, and let m_X be the G -invariant probability measure on X . Show that the orbit $U\pi(e)$ is compact. Show that for m_U -a.e. u , the orbit $\{g_t\pi(u) : t \geq 0\}$ is equidistributed in X , i.e. $\forall f \in C_c(X)$, $\frac{1}{T} \int_0^T f(g_t\pi(u))dt \rightarrow_{T \rightarrow \infty} \int_X f dm_X$.

22. Suppose (X, \mathcal{B}, μ, T) is an ergodic p.p.s. and show that an estimate on the rate of decay of matrix coefficients gives an effective pointwise ergodic theorem. Namely, show that for any $\alpha > 0$ there is $\delta > 0$ so that that for $f \in L^2(\mu)$ with $\int_X f d\mu = 0$, if there is $C_1 > 0$ such that

$$|\langle f \circ T^n, f \rangle| \leq C_1 n^{-\alpha},$$

then there is $C_2 = C_2(f)$ such that for μ -a.e. $x \in X$,

$$\left| \frac{1}{N} \sum_{n=0}^{N-1} f(T^n x) \right| \leq C_2 N^{-\delta}.$$

23. Let $X = \mathrm{SL}_{d+1}(\mathbb{R})/\mathrm{SL}_{d+1}(\mathbb{Z})$ and let $g_t = \mathrm{diag}(e^t, \dots, e^t, e^{-dt})$. For $x = (x_1, \dots, x_d) \in \mathbb{R}^d$ let $\bar{x} = (x_1, \dots, x_d, 1) \in \mathbb{R}^{d+1}$, and let $\Lambda_x = \mathrm{span}_{\mathbb{Z}}(\mathbf{e}_1, \dots, \mathbf{e}_d, \bar{x}) \in X$. Say that $x \in \mathbb{R}^d$ is *singular* if for any $\varepsilon > 0$ there is Q_0 such that for all $Q > Q_0$ there are $p \in \mathbb{Z}^d, q \in \mathbb{N}$ such that $q < Q$ and $\|qx - p\| < \varepsilon Q^{-1/d}$. Show that x is singular if and only if $g_t \Lambda_x \rightarrow_{t \rightarrow \infty} \infty$. Show that for any polynomial map $p = (p_1, \dots, p_d) : \mathbb{R} \rightarrow \mathbb{R}^d$ (i.e. p_i is a polynomial with real coefficients for every i), such that the image of p is not contained in a proper affine subspace of \mathbb{R}^d , for a.e. s , $p(s)$ is not singular.