

# Rudolph's $x^2 + x^3$ Theorem

# Plan of the talk

- ▶ On the theorem

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- ▶ Certain conditional measures as translates of a measure on a group.

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$$h_\mu(S_2) > 0 \iff h_\mu(S_3) > 0 \iff h_\mu(S_2^m S_3^n) > 0 \text{ for some } m, n \in \mathbb{N}.$$

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**Open question (Furstenberg).** Is it true that the Haar measure of  $\mathbb{T}$  is the unique non-atomic measure invariant under  $S_2$  and  $S_3$ ?

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**Corollary from Rudolph's theorem (Exercise 9.3.2. ELW book).** Let  $\mu$  be an  $S_3$  invariant and ergodic probability measure with positive entropy. Then  $\mu$  almost every  $x \in \mathbb{R}/\mathbb{Z}$  has a dense orbit under  $S_2$ .

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**Example.** Consider the middle third cantor set

$$C = \left\{ \sum_{i=1}^{\infty} \frac{a_i}{3^i} \mid a_i \in \{0, 2\} \right\},$$

which is clearly  $S_3$  invariant. The bernouli shift on two symbols gives  $C$  an  $S_3$  invariant ergodic measure  $\mu_C$  with positive entropy.

# The invertible extension

We will change the setting to the space

$$X \stackrel{\text{def}}{=} \left\{ x \in \mathbb{T}^{\mathbb{Z}^2} \mid x_{\mathbf{n}+\mathbf{e}_1} = 2x_n, \ x_{\mathbf{n}+\mathbf{e}_2} = 3x_n, \ \forall \mathbf{n} \in \mathbb{Z}^2 \right\},$$

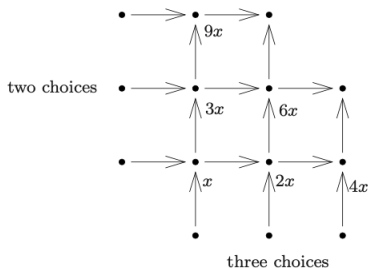
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Let  $I \subseteq \mathbb{Z}^2$  be a finite set and for each  $\mathbf{n} \in I$  let  $E_{\mathbf{n}} \subseteq \mathbb{T}$  be an open set, and define

$$[E_{\mathbf{n}}]_{\mathbf{n} \subseteq I} \stackrel{\text{def}}{=} \{x \in X \mid x_{\mathbf{n}} \in E_{\mathbf{n}}, \ \mathbf{n} \in I\}.$$

Then the sets  $[E_{\mathbf{n}}]_{\mathbf{n} \subseteq I}$  form a basis for  $\tau_X$ .

# Cylindrical sets in view of coordinate projections

Let

$$\pi_{m,n} : X \rightarrow \mathbb{T},$$

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Observe that for  $I \subseteq \mathbb{Z}^2$  finite, if  $m_0 = \min_m \{(m, n) \in I\}$  and  $n_0 = \min_n \{(m, n) \in I\}$ , then

$$[E_{\mathbf{n}}]_{\mathbf{n} \subseteq I} = \left\{ x \in X \mid x_{m_0, n_0} \in \bigcap_{(m,n) \in I} S_2^{-(m-m_0)} S_3^{-(n-n_0)} E_{m,n} \right\} =$$

$$\pi_{m_0, n_0}^{-1} \left( \bigcap_{(m,n) \in I} S_2^{-(m-m_0)} S_3^{-(n-n_0)} E_{m,n} \right).$$



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Hence  $\tau_{m,n} \stackrel{\text{def}}{=} \pi_{m,n}^{-1} \tau_{\mathbb{T}}$  generate the topology, and moreover  $\tau_{m-1,n} \supseteq \tau_{m,n}$ ,  $\tau_{m,n-1} \supseteq \tau_{m,n}$ . We conclude

$$\tau_{m,n} \nearrow \tau_X.$$

# The borel $\sigma$ -algebra

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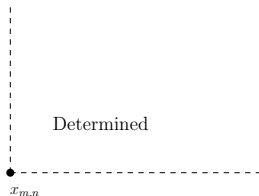
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$$[x]_{\mathcal{B}_{m,n}} = \{y \in X \mid x_{a,b} = y_{a,b}, \forall a \geq m, b \geq n\}$$

# Shift maps

We consider the left shift map  $T_2(x)_{(m,n)} \stackrel{\text{def}}{=} x_{(m+1,n)}$  and the down shift map  $T_3(x)_{(m,n)} \stackrel{\text{def}}{=} x_{(m,n+1)}$  which are invertible and keep  $X$  invariant.

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Moreover, if  $\mu$  is ergodic for the joint  $S_2, S_3$  action, then  $\mu_X$  is ergodic for the joint  $T_2, T_3$  action.



Consider the partition  $\xi_{\mathbb{T}} \stackrel{\text{def}}{=} \{[0, \frac{1}{6}), [\frac{1}{6}, \frac{2}{6}), \dots, [\frac{5}{6}, 1)\}$ , and  $\xi_X \stackrel{\text{def}}{=} \pi_{0,0}^{-1}(\xi_{\mathbb{T}})$ .

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Now  $\xi_X$  and  $\xi_{\mathbb{T}}$  are generators for both  $S_2$  and  $S_3$ , thus we get

**Corollary.**  $h_{\mu_X}(T_l, \xi_X) = h_{\mu}(S_l, \xi_{\mathbb{T}}) = h_{\mu}(S_l)$ , for  $l \in \{2, 3\}$ .



# The reduction of the problem

Assuming that  $\mu_X$  is  $T_2, T_3$  invariant and ergodic, such that  $h_{\mu_X}(T_2, \xi_X) > 0$ , our goal will be to show

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This will finish our proof by the following assertion.

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Assuming that  $\mu_X$  is  $T_2, T_3$  invariant and ergodic, such that  $h_{\mu_X}(T_2, \xi_X) > 0$ , our goal will be to show

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The partition  $\bigvee_{i=0}^{N-1} S_2^{-i} \xi_{\mathbb{T}}$  consists of  $2^N$  dyadic intervals

$I_{j,N} \stackrel{\text{def}}{=} [\frac{j}{2^N}, \frac{j+1}{2^N})$  of length  $\frac{1}{2^N}$ . Once we will show that  $\mu(I_{j,N}) = \frac{1}{2^N}$  for all  $j \leq N$  and  $N \in \mathbb{N}$ , it will follow that  $\mu = m_{\mathbb{T}}$ .



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and since  $h_\mu(S_2) = h_\mu(S_2, \xi_0) = \inf_{n \geq 1} \frac{1}{n} H_\mu \left( \bigvee_{i=0}^{n-1} S_2^{-i} \xi_{\mathbb{T}} \right)$ , we have a contradiction.

By the future formula for entropy  $h_{\mu_X}(T_2, \xi_X) = H_{\mu_X}(\xi_X \mid \mathcal{A}_1)$ , where

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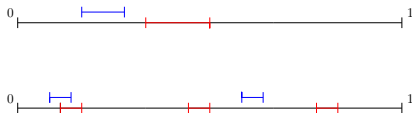
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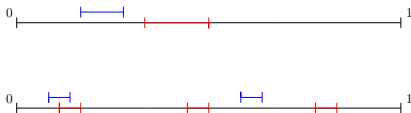
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Proof of the picture: Note that if  $|x - a| < \frac{1}{2 \cdot 3^{n+1}}$  and  $x \in (a, b)$  such that  $b - a = \frac{1}{2 \cdot 3^{n+1}}$  then it's impossible that  $x + \frac{1}{2} \in (a + \frac{j}{3^n}, b + \frac{j}{3^n})$ . In fact, if we assume the contrary, then

$$\frac{1}{2 \cdot 3^n} - \frac{1}{2 \cdot 3^{n+1}} \leq \left| \frac{1}{2} - \frac{j}{3^n} \right| - |x - a| \leq \left| \left( x + \frac{1}{2} \right) - \left( a + \frac{j}{3^n} \right) \right| < b - a = \frac{1}{2 \cdot 3^{n+1}},$$

which is a contradiction.



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Then  $T_3^n \mathcal{A}_1 \nearrow \mathcal{A}$  and

$$h_{\mu_X}(T_2, \xi_X) = H_{\mu_X}(\xi_X \mid \mathcal{A}_1) = \lim_{n \rightarrow \infty} H_{\mu_X}(\xi_X \mid T_3^n \mathcal{A}_1) = H_{\mu_X}(\xi_X \mid \mathcal{A}).$$