

Seminar material

1. Summary – today we will define and explore unitary representations and their connection to ergodicity and apply it to $SL_2(\mathbb{R})$ while exploiting its specific algebraic properties
2. Mautner theorem (11.3) - (" $SL_2(\mathbb{R})$ preserves or not preserves elements as a group")

- a. Remainder we defined

$$SL_2(\mathbb{R}) = \{A \mid \det A = 1\}, \Gamma \leq SL_2(\mathbb{R}) \text{ discrete}$$

- b. unitary representation – for a metrizable G and \mathcal{H} Hilbert space an action

$$G \times \mathcal{H} \rightarrow \mathcal{H}$$

Is a unitary representation if it's elementwise unitary

$$\forall g, x, y \langle gx, gy \rangle = \langle x, y \rangle$$

And for every $v \in \mathcal{H}$ the function gv is continuous

- c. a ball is

$$B_\delta^G = \{g \mid d(g, e) < \delta\}, B_\delta^G(h) = \{g \mid d(g, h) < \delta\}$$

- d. claim - let X be a metric locally compact space with a borel measure μ and a metrizable group G that acts continuously on X and preserves the measure then $G \times L^2_\mu(X) \rightarrow L^2_\mu(X)$ with $(g * f)(x) = f(g^{-1}(x))$ is an unitary representation

- i. unitarity

$$\langle gf, gh \rangle = \int_X f(g^{-1}(x))h(g^{-1}(x))d\mu = \int_X f(x)h(x)d\mu = \langle f, h \rangle$$

- ii. continuity – let $\varepsilon > 0$. Since $C_c(X)$ is dense in L^2_μ there exist

$$f_{\frac{\varepsilon}{3}} \in C_c(X), K = \sup(f_0), \left| f - f_{\frac{\varepsilon}{3}} \right|_{L^2_\mu} < \frac{\varepsilon}{3}$$

Since $f_{\frac{\varepsilon}{3}}$ is continuous on a compact set is uniformly continuous therefore

$$\exists \delta_{\frac{\varepsilon}{3}} \text{ s.t. } d(x, y) < \delta_{\frac{\varepsilon}{3}} \rightarrow |f(x) - f(y)| < \frac{\varepsilon}{3}$$

Define

$$R_{\delta_{\frac{\varepsilon}{3}}} = \inf \left\{ |g|_G \mid \exists x \in K \text{ s.t. } d(g^{-1}x, x) > \delta_{\frac{\varepsilon}{3}} \right\}$$

It's positive because otherwise

$$d(g_n^{-1}x_n, x_n) > \delta \quad \exists x_n \rightarrow x, g_n \rightarrow e \quad g_n^{-1}x_n \not\rightarrow ex$$

Therefore

$$\begin{aligned} \forall g \in B_{R_{\delta_{\frac{\varepsilon}{3}}}}^G \quad & |f - g^{-1}f| \leq |f - f_{\frac{\varepsilon}{3}}| + |f_{\frac{\varepsilon}{3}} - g^{-1}f_{\frac{\varepsilon}{3}}| + |g^{-1}f_{\frac{\varepsilon}{3}} - g^{-1}f| \leq \\ & \int_X |f(x) - f(g^{-1}(x))|^2 d\mu \leq \varepsilon^2 \mu(B(e, R_\delta)K) \rightarrow_{\varepsilon \rightarrow 0} 0 \end{aligned}$$

- e. claim (proof as exercise) let $\Gamma \leq G$ a discrete subgroup and $g_2 = hg_1h^{-1}$ so the maps

$$R_{g_1}, R_{g_2} : G/\Gamma \rightarrow G/\Gamma$$

are conjugate with R_h and if G/Γ has a finite measure then R_h is measure preserving and there is an isomorphism between

$$(X, \mathcal{B}_X, m_X, R_{g_1}) \rightarrow (X, \mathcal{B}_X, m_X, R_{g_2})$$

f. Every element of $SL_2(\mathbb{R})$ is *conjugate* to an element of the following sets

$$\pm A = \left\{ \pm \begin{pmatrix} e^{\frac{t}{2}} & 0 \\ 0 & e^{-\frac{t}{2}} \end{pmatrix} \mid t \in \mathbb{R} \right\}, \pm U^- = \left\{ \pm \begin{pmatrix} 1 & s \\ 0 & 1 \end{pmatrix} \mid t \in \mathbb{R} \right\}, SO_2(\mathbb{R}) = \left\{ \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \mid \theta \in \mathbb{R} \right\}$$

i. If the matrix is non diagonal has a Jordan form with ± 1 as eigenvector so conjugate to $\pm U^-$ if diagonal with real eigenvectors conjugate to $\pm A$ and if complex eigenvector they have to be on the unit circle and therefore conjugate to $SO_2(\mathbb{R})$

g. There are 3 types of actions on $SL_2(\mathbb{R})$

i. Hyperbolic action that conjugate to $\pm A$

ii. Parabolic action that conjugate to $\pm U^-$

iii. Elliptic action that conjugate to $SO_2(\mathbb{R})$ - in those the orbit of each element is close so the Haar measure limited to any orbit is ergodic

h. Mautner theorem let \mathcal{H} be a Hilbert space with unitary representation of $SL_2(\mathbb{R})$ and g non elliptic then if $gv_0 = v_0 \rightarrow Gv_0 = v_0$

i. Let $X = SL_2(\mathbb{R})/\Gamma$ with a finite measure and $g \in SL_2(\mathbb{R})$ non Elliptic so R_g is ergodic in (X, \mathcal{B}_X, m_X) (otherwise there is a preserved indicator but he can't be preserved by all G)

j. Lemma $G \times \mathcal{H} \rightarrow \mathcal{H}$ unitary representation v_0 preserved by a sub group L then he is preserved by

$$\{h \mid \forall \delta > 0 \ B_\delta^G(h) \cap LB_\delta^G L \neq \emptyset\}$$

In particular, the horocycles.

k. Proof of Mautner – since conjugate hgh^{-1}, hv_0 preserves the theorem we only need to check two cases

i. Hyperbolic action $g = a_t = \begin{pmatrix} e^{\frac{t}{2}} & 0 \\ 0 & e^{-\frac{t}{2}} \end{pmatrix}$ have stable and unstable horocycle of $\begin{pmatrix} 1 & s \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ s & 1 \end{pmatrix}$

From the lemma they are preserving v_0 from gauss theorem their generate $SL_2(\mathbb{R})$

ii. Parabolic action $g = u_s^- = \begin{pmatrix} 1 & s \\ 0 & 1 \end{pmatrix}$ so

$$\varepsilon = \frac{1}{2^k s}, n = 2^k, m = -2^{k-1} \begin{pmatrix} 1 & s \\ 0 & 1 \end{pmatrix}^n \begin{pmatrix} 1 & 0 \\ \varepsilon & 1 \end{pmatrix} \begin{pmatrix} 1 & s \\ 0 & 1 \end{pmatrix}^m$$

$$= \begin{pmatrix} 1 + ns\varepsilon & (1 + ns\varepsilon)ms + ns \\ \varepsilon & 1 + ms\varepsilon \end{pmatrix} = \begin{pmatrix} 2 & (1+1)\frac{-1}{\varepsilon} + \frac{1}{\varepsilon} \\ \frac{1}{2^k s} & \frac{1}{2} \end{pmatrix}$$

$$= \begin{pmatrix} 2 & 0 \\ \frac{1}{2^k s} & \frac{1}{2} \end{pmatrix} \xrightarrow{k \rightarrow \infty} \begin{pmatrix} 2 & 0 \\ 0 & -\frac{1}{2} \end{pmatrix}$$

Preserve v_0 from the lemma and since he is hyperbolic we already know he is preserved by the whole G

l. Proof of lemma

Let $g_n \rightarrow e, l_n g_n l_n^{-1} \rightarrow h$ so because h is continuous (from Cauchy-Schwarz)

$$\langle l_n g_n l_n'(v_0), v_0 \rangle \rightarrow_{n \rightarrow \infty} \langle h(v_0), v_0 \rangle \leq |h(v_0)| |v_0| = |v_0|^2$$

But because $l_n, l_n' \in L$ we have

$$\langle l_n g_n l_n'(v_0), v_0 \rangle = \langle g_n l_n'(v_0), l_n^{-1} v_0 \rangle = \langle g_n(v_0), v_0 \rangle \rightarrow_{n \rightarrow \infty} \langle v_0, v_0 \rangle = |v_0|^2$$

And from Cauchy–Schwarz there is equality only if $h(v_0) = v_0$

3. Howe–Moore Theorem (11.4) (" $SL_2(\mathbb{R})$ is mixing")

a. Let G a locally compact group $a_n \in G$ then

$$S(a_n) = \{g \mid e \in \{a_n^{-1} g a_n \mid n \in \mathbb{N}\}\}$$

b. (used later write in board edge) G locally compact \mathcal{H} Hilbert on \mathbb{R} or \mathbb{C} with unitary

representation $a_n \in G$ and exist $v \in \mathcal{H}$ s.t $a_n(v) \rightarrow_* v_0$ so every element in $g \in S(a_n)$ holds $g(v_0) = v_0$

i. Let $\lim_{k \rightarrow \infty} a_{n_k}^{-1} g a_{n_k} = e$ so

$$\begin{aligned} \forall w \in \mathcal{H} \quad 0 &\leq |\langle g v_0 - v_0, w \rangle| = |\langle v_0, g^{-1} w \rangle - \langle v_0, w \rangle| = \\ \lim_{n \rightarrow \infty} |\langle a_{n_k}(v), g^{-1} w \rangle - \langle a_{n_k}(v), w \rangle| &= \lim_{n \rightarrow \infty} |\langle a_{n_k}^{-1} g a_{n_k}(v) - v, a_{n_k}^{-1} w \rangle| \\ &\leq \lim_{n \rightarrow \infty} |a_{n_k}^{-1} g a_{n_k} - e| |w| = 0 \end{aligned}$$

c. Calculation also work to the group generated from $\overline{S(a_n)}$

d. A square matrix is unipotent if $\exists k$ $(M - I)^k = 0$ in particular non elliptic

e. (used later write in board edge) if $SL_2(\mathbb{R}) \ni g_n \rightarrow \infty$ i.e. $\forall K$ compact $|K \cap a_n| < \infty$ then exist a non-identity unipotent $u \in S(g_n)$

i. Let

$$g_n = \begin{pmatrix} a_n & b_n \\ c_n & d_n \end{pmatrix}, g_n^{-1} = \begin{pmatrix} d_n & -b_n \\ -c_n & a_n \end{pmatrix}$$

So

$$g_n \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} g_n^{-1} = \begin{pmatrix} -a_n c_n & a_n^2 \\ -c_n^2 & a_n c_n \end{pmatrix}$$

$$g_n \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} g_n^{-1} = \begin{pmatrix} b_n d_n & -b_n^2 \\ d_n^2 & -b_n d_n \end{pmatrix}$$

At least one of them tends to infinity without loss of generality $g_n \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} g_n^{-1} \rightarrow \infty$

because the exponent function has derivative 1 at 0 there is a sphere

$$|A| = \varepsilon \rightarrow |\exp(A) - \exp(0)| \geq \frac{\varepsilon}{2}$$

So, by scaling $g_n \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} g_n^{-1}$ to that neighborhood we get

$$\exp\left(\frac{\varepsilon}{|g_n \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} g_n^{-1}|} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}\right) \rightarrow_{n \rightarrow \infty} \exp(0) = I$$

$$\left| g_n \frac{\varepsilon}{|g_n \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} g_n^{-1}|} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} g_n^{-1} \right| = \varepsilon$$

$$\rightarrow \forall n \left| \exp\left(g_n \frac{\varepsilon}{|g_n \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} g_n^{-1}|} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} g_n^{-1}\right) - I \right| \geq \frac{\varepsilon}{2}$$

Since the sphere is compact there are sub series with

$$\exp\left(g_{n_k} \frac{\varepsilon}{|g_n(0 \ 1)g_n^{-1}|} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} g_n^{-1}\right) \rightarrow u \text{ it can't be } I \text{ from the distance but is the limit}$$

preserves unipotency because it's equivalent to have the characteristic polynomial $(\lambda - 1)^2$ and he is a continuous property of matrixes. And every element in unipotent because it's

$$\text{equal } \exp\left(g_{n_k} \frac{\varepsilon}{|g_n(0 \ 1)g_n^{-1}|} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} g_n^{-1}\right) = g_{n_k} \exp\left(\frac{\varepsilon}{|g_n(0 \ 1)g_n^{-1}|} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}\right) g_{n_k}^{-1} =$$

$$g_{n_k} \begin{pmatrix} 1 & \frac{\varepsilon}{|g_n(0 \ 1)g_n^{-1}|} \\ 0 & 1 \end{pmatrix} g_{n_k}^{-1}$$

f. An action is mixing if the measure is probabilistic for every A, B borel and $g_n \rightarrow \infty$ it holds

$$\mu(g_n A \cap B) \rightarrow \mu(A)\mu(B)$$

g. Exercise equivalent to

i. For every two functions in L^2_μ it holds $\int_X f_1 * g f_2 \rightarrow_{g \rightarrow \infty} \int_X f_1 \int_X f_2$

ii. If $\int_X f_1 = 0$ then $\int_X f_1 * g f_2 \rightarrow_{g \rightarrow \infty} 0$

h. For a lattice $SL_2(\mathbb{R})/\Gamma$ the product action of $SL_2(\mathbb{R})$ is mixing

i. Let $g_n \rightarrow \infty$ and $f_2 \in L^2_\mu(X)$ (μ derived from Haar) from unitarity $\|g_n f_2\|_2 = \|f_2\|_2$ from Alaoglu theorem there is a limit $g_{n_k} f_2 \rightarrow_* f_0$ and we proved $\exists u \in S(g_{n_k})$ unipotent so non elliptic and he preserves f_0 from Mautner theorem everybody in $SL_2(\mathbb{R})$ preserves f_0 which makes him constant from $f_1 = 1$ we get

$$f_0 = \int_X f_2 \rightarrow \int_X f_1 * g f_2 \rightarrow_{n \rightarrow \infty} \int_X f_1 * f_0 = \int_X f_1 \int_X f_2$$

i. $G \times \mathcal{H} \rightarrow \mathcal{H}$ unitary representation without fixed points (for all G) where \mathcal{H} on \mathbb{R} or \mathbb{C} then

$$\forall v, w \in \mathcal{H} \langle g_n v, w \rangle \rightarrow_{n \rightarrow \infty} 0$$

i. From Alaoglu theorem and the what we proved before there is u unipotent with

$$\begin{aligned} g_{n_k} v &\rightarrow_* v_0, g_{n_k}^{-1} u g_{n_k} \rightarrow I \rightarrow \\ \langle v_0, v_0 \rangle &= \lim_{n \rightarrow \infty} \langle g_n v, v_0 \rangle = \lim_{n \rightarrow \infty} \langle g_n^{-1} u g_n v, g_n^{-1} u v_0 \rangle =_{\text{cosy switch}} \lim_{n \rightarrow \infty} \langle v, g_n^{-1} u v_0 \rangle \\ &= \lim_{n \rightarrow \infty} \langle g_n v, u v_0 \rangle = \langle v_0, u v_0 \rangle \leq |v_0| |u v_0| = \langle v_0, v_0 \rangle \end{aligned}$$

The Cousy-Schwartz has equality only when

$$u v_0 = v_0$$

Because u isn't elliptic it means that $G v_0 = v_0$ in contradiction to the set app

j. Howe-Moore theorem - X metric or compact with continuous (not necessarily unitary) action of $SL_2(\mathbb{R})$ and let μ be ergodic relative to $SL_2(\mathbb{R})$ then the action is mixing

i. Because C_c is dense in L^2_μ it's sufficient to prove

$$\forall f_1, f_2 \in C_c \quad \int_X f_1(x) f_2(g_n x) d\mu \rightarrow_{n \rightarrow \infty} \int_X f_1(x) d\mu \int_X f_2(x) d\mu$$

Define the measures

$$\mu_n(S) = m_X(\{x \in X | (x, g_n x) \in S\})$$

So

$$\int_X f_1(x) f_2(g_n x) d\mu = \int_{X \times X} f_1(x) f_2(y) d\mu_n$$

$$\int_X f_1(x) d\mu \int_X f_2(x) d\mu = \int_{X \times X} f_1(x) f_2(y) d\mu \times \mu$$

Witch means we only need to prove $\mu_n \rightarrow \mu \times \mu$. For each measure the action

$$h(x, y) = (hx, g_n h g_n^{-1} y)$$

preserves him. In addition

$$\mu_n(X \times S) = \mu_n(S \times X) = \mu(S)$$

From Alaoglu there is a limit $\mu_{n_k} \rightarrow \nu \neq \mu$. Because it's a limit

$$\nu(X \times S) = \nu(S \times X) = \mu(S)$$

Let $u \in S(g_{n_k})$ unipotent so

$$h_{n_k} \rightarrow I, g_{n_k} h_{n_k} g_{n_k}^{-1} \rightarrow u$$

$$\int_X f(x, y) d\nu = \lim_{k \rightarrow \infty} \int_X f(x, y) d\mu_{n_k} = \lim_{k \rightarrow \infty} \int_X f(h_{n_k} x, g_{n_k} h_{n_k} g_{n_k}^{-1} y) d\mu_{n_k} = \int_X f(x, uy) d\nu$$

i.e the measure ν is preserved by the action (I, u) from Mautner theorem it's preserved by all (I, g)
let $S, X \setminus S \in \mathcal{B}$ so

$$\mu_S(A) = \nu(S \times A), \mu_{X \setminus S}(A) = \nu(X \setminus S \times A)$$

Are measure on X that are preserved under (I, g) and their sum is ergodic. Therefore there are
scalings of the original measure therefore

$$\forall S, A \in \mathcal{B} \quad \nu(S \times A) = \mu_S(A) = \frac{\mu_S(X)}{\mu(X)} * \mu(A) = \frac{\nu(S \times X)}{\mu(X)} * \mu(A) = \mu(S) \mu(A)$$

So for every borel rectangle $\nu = \mu^2$ and because they determine the measure $\nu = \mu^2$.