

# Intermediate Models of Magidor-Radin Forcing-Part I

Tom Benhamou and Moti Gitik\*

October 12, 2020

## Abstract

We continue the work done in [3],[1]. We prove that for every set  $A$  in a Magidor-Radin generic extension using a coherent sequence such that  $o^{\vec{U}}(\kappa) < \kappa$ , there is a subset  $C'$  of the Magidor club such that  $V[A] = V[C']$ . Also we classify all intermediate  $ZFC$  transitive models  $V \subseteq M \subseteq V[G]$ .

## 1 Introduction

In this paper we consider the version of Magidor-Radin forcing for  $o^{\vec{U}}(\kappa) \leq \kappa$ , but prove results for  $o^{\vec{U}}(\kappa) < \kappa$ . Section (2), will also be relevant to the forcing in Part II.

In [1], we assumed that  $o^{\vec{U}}(\kappa) < \delta_0 := \min(\alpha \mid 0 < o^{\vec{U}}(\alpha))$ . When we let  $o^{\vec{U}}(\kappa) \geq \delta_0$ , we might loss completeness for some of the pairs in a condition  $p$ . For example, if  $p = \langle \langle \delta_0, A_0 \rangle, \langle \kappa, A_1 \rangle \rangle$ , we wont be able to take in account all the measures on  $\kappa$ , since there are  $\delta_0$  many of them and only  $\delta_0$ -completeness. The proof is by induction on  $\kappa$ . We will be to split  $\mathbb{M}[\vec{U}]$  to the part below  $o^{\vec{U}}(\kappa)$  and above it, then some but not all of the arguments of [1] generalizes.

The main result we obtain in this paper is:

**Theorem 1.1** *Let  $\vec{U}$  be a coherent sequence such that  $o^{\vec{U}}(\kappa) < \kappa$ . Then for every  $V$ -generic filter  $G \subseteq \mathbb{M}[\vec{U}]$ , and every  $A \in V[G]$ , there is  $C' \subseteq C_G$  such that  $V[A] = V[C']$ .*

---

\*The work of the second author was partially supported by ISF grant No.1216/18.

In the theorem,  $C_G$  denotes the generic Magidor-Radin club derived from  $G$ .

Note that the classification we had in [1] for models of the form  $V[C']$ , do not extend, even if  $o^{\vec{U}}(\kappa) = \delta_0$ .

**Example 1.2** Consider  $C_G$  such that  $C_G(\omega) = \delta_0$  and  $o^{\vec{U}}(\kappa) = \delta_0$ . Then in  $V[G]$  we have the following sequence  $C' = \langle C_G(C_G(n)) \mid n < \omega \rangle$  of points of the generic  $C_G$  which is determine by the first Prikry sequence at  $\delta_0$ .

Then  $I(C', C_G) = \langle C_G(n) \mid n < \omega \rangle \notin V$ , where  $I(X, Y)$  is the indices of  $X \subseteq Y$  in the increasing enumeration of  $Y$ .

The forcing  $\mathbb{M}_I[\vec{U}]$  which was defined in [1], is no longer defined in  $V$  since  $I \notin V$ .

In this case, we will add points to  $C'$ , which are simply  $\langle C_G(n) \mid n < \omega \rangle$ , then the forcing will be a two step iteration. The first will be to add the Prikry sequence  $\langle C_G(n) \mid n < \omega \rangle$ , then the second will be a Diagonal Prikry forcing adding point from the measures  $\langle U(\kappa, C_G(n)) \mid n < \omega \rangle$ , which is of the form  $M_I[\vec{U}]$ .

Generally, we will define forcing  $\mathbb{M}_f[\vec{U}]$ , which are not subforcing of  $\mathbb{M}[\vec{U}]$ , but are a natural diagonal generalization of  $\mathbb{M}[\vec{U}]$  and a bit closer to Magidor's original formulation in [5].

The classification of models is given by the following theorem:

**Theorem 1.3** *Assume that for every  $\alpha < \kappa$ ,  $o^{\vec{U}}(\alpha) < \alpha$ . Then for every  $V$ -generic filter  $G \subseteq \mathbb{M}[\vec{U}]$  and every transitive ZFC intermediate model  $V \subseteq M \subseteq V[G]$ , there is a closed subset  $C_{fin} \subseteq C_G$  such that:*

1.  $M = V[C_{fin}]$ .
2. *There is a finite iteration  $\mathbb{M}_{f_1}[\vec{U}] * \mathbb{M}_{f_2}[\vec{U}] \dots * \mathbb{M}_{f_n}[\vec{U}]$ , and a  $V$ -generic  $H^*$  filter for  $\mathbb{M}_{f_1}[\vec{U}] * \mathbb{M}_{f_2}[\vec{U}] \dots * \mathbb{M}_{f_n}[\vec{U}]$  such that  $V[H^*] = V[C_{fin}] = M$ .*

## 2 Basic Definitions and Preliminaries

We will follow the description of Magidor forcing as presented in [2].

Let  $\vec{U} = \langle U(\alpha, \beta) \mid \alpha \leq \kappa, \beta < o^{\vec{U}}(\alpha) \rangle$  be a coherent sequence. For every  $\alpha \leq \kappa$ , denote

$$\cap \vec{U}(\alpha) = \bigcap_{i < o^{\vec{U}}(\alpha)} U(\alpha, i)$$

**Definition 2.1**  $\mathbb{M}[\vec{U}]$  consist of elements  $p$  of the form  $p = \langle t_1, \dots, t_n, \langle \kappa, B \rangle \rangle$ . For every  $1 \leq i \leq n$ ,  $t_i$  is either an ordinal  $\kappa_i$  if  $o^{\vec{U}}(\kappa_i) = 0$  or a pair  $\langle \kappa_i, B_i \rangle$  if  $o^{\vec{U}}(\kappa_i) > 0$ .

1.  $B \in \cap \vec{U}(\kappa)$ ,  $\min(B) > \kappa_n$ .
2. For every  $1 \leq i \leq n$ .
  - (a)  $\langle \kappa_1, \dots, \kappa_n \rangle \in [\kappa]^{<\omega}$  (increasing finite sequence below  $\kappa$ ).
  - (b)  $B_i \in \cap \vec{U}(\kappa_i)$ .
  - (c)  $\min(B_i) > \kappa_{i-1}$  ( $i > 1$ ).

**Definition 2.2** For  $p = \langle t_1, t_2, \dots, t_n, \langle \kappa, B \rangle \rangle, q = \langle s_1, \dots, s_m, \langle \kappa, C \rangle \rangle \in \mathbb{M}[\vec{U}]$ , define  $p \leq q$  ( $q$  extends  $p$ ) iff:

1.  $n \leq m$ .
2.  $B \supseteq C$ .
3.  $\exists 1 \leq i_1 < \dots < i_n \leq m$  such that for every  $1 \leq j \leq m$ :
  - (a) If  $\exists 1 \leq r \leq n$  such that  $i_r = j$  then  $\kappa(t_r) = \kappa(s_{i_r})$  and  $C(s_{i_r}) \subseteq B(t_r)$ .
  - (b) Otherwise  $\exists 1 \leq r \leq n + 1$  such that  $i_{r-1} < j < i_r$  then
    - i.  $\kappa(s_j) \in B(t_r)$ .
    - ii.  $B(s_j) \subseteq B(t_r) \cap \kappa(s_j)$ .
    - iii.  $o^{\vec{U}}(s_j) < o^{\vec{U}}(t_r)$ .

We also use "p directly extends q",  $p \leq^* q$  if:

1.  $p \leq q$
2.  $n = m$

Let us add some notation, for a pair  $t = \langle \alpha, X \rangle$  we denote by  $\kappa(t) = \alpha$ ,  $B(t) = X$ . If  $t = \alpha$  is an ordinal then  $\kappa(t) = \alpha$  and  $B(t) = \emptyset$ .

For a condition  $p = \langle t_1, \dots, t_n, \langle \kappa, B \rangle \rangle \in \mathbb{M}[\vec{U}]$  we denote  $n = l(p)$ ,  $p_i = t_i$ ,  $B_i(p) = B(t_i)$  and  $\kappa_i(p) = \kappa(t_i)$  for any  $1 \leq i \leq l(p)$ ,  $t_{l(p)+1} = \langle \kappa, B \rangle$ ,  $t_0 = 0$ . Also denote

$$\kappa(p) = \{\kappa_i(p) \mid i \leq l(p)\} \text{ and } B(p) = \bigcup_{i \leq l(p)+1} B_i(p)$$

**Remark 2.3** Condition 3.b.iii is not essential, since the set

$$\left\{ p \in \mathbb{M}[\vec{U}] \mid \forall i \leq l(p) + 1. \forall \alpha \in B_i(p). o^{\vec{U}}(\alpha) < o^{\vec{U}}(\kappa_i(p)) \right\}$$

is a dense subset of  $\mathbb{M}[\vec{U}]$  and the order between any two elements of this dense subsets automatically satisfy 3.b.iii.

**Definition 2.4** Let  $p \in \mathbb{M}[\vec{U}]$ . For every  $i \leq l(p) + 1$ , and  $\alpha \in B_i(p)$  with  $o^{\vec{U}}(\alpha) > 0$ , define

$$p^\wedge \langle \alpha \rangle = \langle p_1, \dots, p_{i-1}, \langle \alpha, B_i(p) \cap \alpha \rangle, \langle \kappa_i(p), B_i(p) \setminus (\alpha + 1) \rangle, p_{i+1}, \dots, p_{l(p)+1} \rangle$$

If  $o^{\vec{U}}(\alpha) = 0$ , define

$$p^\wedge \langle \alpha \rangle = \langle p_1, \dots, p_{i-1}, \alpha, \langle \kappa_i(p), B_i(p) \setminus (\alpha + 1) \rangle, \dots, p_{l(p)+1} \rangle$$

For  $\langle \alpha_1, \dots, \alpha_n \rangle \in [\kappa]^{<\omega}$  define recursively,

$$p^\wedge \langle \alpha_1, \dots, \alpha_n \rangle = (p^\wedge \langle \alpha_1, \dots, \alpha_{n-1} \rangle)^\wedge \langle \alpha_n \rangle$$

**Proposition 2.5** Let  $p \in \mathbb{M}[\vec{U}]$ . If  $p^\wedge \vec{\alpha} \in \mathbb{M}[\vec{U}]$ , then it is the minimal extension of  $p$  with stem

$$\kappa(p) \cup \{ \vec{\alpha}_1, \dots, \vec{\alpha}_{|\vec{\alpha}|} \}$$

Moreover,  $p^\wedge \vec{\alpha} \in \mathbb{M}[\vec{U}]$  iff for every  $i \leq |\vec{\alpha}|$  there is  $j \leq l(p)$  such that:

1.  $\vec{\alpha}_i \in (\kappa_j(p), \kappa_{j+1}(p))$ .
2.  $o^{\vec{U}}(\vec{\alpha}_i) < o^{\vec{U}}(\kappa_{j+1})$ .
3.  $B_{j+1}(p) \cap \vec{\alpha}_i \in \cap \vec{U}(\vec{\alpha}_i)$ .

■

Note that if we add a pair of the form  $\langle \alpha, B \cap \alpha \rangle$  then in  $B \cap \alpha$  there might be many ordinals which are irrelevant to the forcing. Namely, ordinals  $\beta$  with  $o^{\vec{U}}(\beta) \geq o^{\vec{U}}(\alpha)$ , such ordinals cannot be added to the sequence.

**Definition 2.6** Let  $p \in \mathbb{M}[\vec{U}]$ , define for every  $i \leq l(p)$

$$p \upharpoonright \kappa_i(p) = \langle p_1, \dots, p_i \rangle \text{ and } p \upharpoonright (\kappa_i(p), \kappa) = \langle p_{i+1}, \dots, p_{l(p)+1} \rangle$$

Also, for  $\lambda$  with  $o^{\vec{U}}(\lambda) > 0$  define

$$\mathbb{M}[\vec{U}] \upharpoonright \lambda = \{ p \upharpoonright \lambda \mid p \in \mathbb{M}[\vec{U}] \text{ and } \lambda \text{ appears in } p \}$$

$$\mathbb{M}[\vec{U}] \upharpoonright (\lambda, \kappa) = \{ p \upharpoonright (\lambda, \kappa) \mid p \in \mathbb{M}[\vec{U}] \text{ and } \lambda \text{ appears in } p \}$$

Note that  $\mathbb{M}[\vec{U}] \upharpoonright \lambda$  is just Magidor forcing on  $\lambda$  and  $\mathbb{M}[\vec{U}] \upharpoonright (\lambda, \kappa)$  is a subset of  $\mathbb{M}[\vec{U}]$ . The following decomposition is straight forward.

**Proposition 2.7** *Let  $p \in \mathbb{M}[\vec{U}]$  and  $\langle \lambda, B \rangle$  a pair in  $p$ . Then*

$$\mathbb{M}[\vec{U}]/p \simeq \left( \mathbb{M}[\vec{U}] \upharpoonright \lambda \right) / \left( p \upharpoonright \lambda \right) \times \left( \mathbb{M}[\vec{U}] \upharpoonright (\lambda, \kappa) \right) / \left( p \upharpoonright (\lambda, \kappa) \right)$$

**Proposition 2.8** *Let  $p \in \mathbb{M}[\vec{U}]$  and  $\langle \lambda, B \rangle$  a pair in  $p$ . Then the order  $\leq^*$  in the forcing  $\left( \mathbb{M}[\vec{U}] \upharpoonright (\lambda, \kappa) \right) / \left( p \upharpoonright (\lambda, \kappa) \right)$  is  $\delta$ -directed where  $\delta = \min(\nu > \lambda \mid o^{\vec{U}}(\nu) > 0)$ . Meaning that for every  $X \subseteq \mathbb{M}[\vec{U}] \upharpoonright (\lambda, \kappa)$  such that  $|X| < \delta$  and for every  $q \in X$ ,  $p \leq^* q$ , there is an  $\leq^*$ -upper bound for  $X$ .*

**Lemma 2.9**  $\mathbb{M}[\vec{U}]$  satisfy  $k^+$ -c.c.

The following is known as the Prikry condition:

**Lemma 2.10**  $\mathbb{M}[\vec{U}]$  satisfy the Prikry condition i.e. for any statement in the forcing language  $\sigma$  and any  $p \in \mathbb{M}[\vec{U}]$  there is  $p \leq^* p^*$  such that  $p^* \parallel \sigma$  i.e. either  $p^* \Vdash \sigma$  or  $p \Vdash \neg \sigma$ .

The next lemma can be found in [5]:

**Lemma 2.11** *Let  $G \subseteq \mathbb{M}[\vec{U}]$  be generic and suppose that  $A \in V[G]$  is such that  $A \subseteq V_\alpha$ . Let  $p \in G$  and  $\langle \lambda, B \rangle$  a pair in  $p$  such that  $\alpha < \lambda$ , then  $A \in V[G \upharpoonright \lambda]$ .*

*Proof.* Consider the decomposition 2.7  $p = \langle q, r \rangle$ , where  $q \in \mathbb{M}[\vec{U}] \upharpoonright \lambda$  and  $r \in \mathbb{M}[\vec{U}] \upharpoonright (\lambda, \kappa)$ . Work in  $V[G \upharpoonright \lambda]$ , Let  $\mathcal{A}$  be a  $\mathbb{M}[\vec{U}] \upharpoonright (\lambda, \kappa)$ -name for  $A$ . For every  $x \in V_\alpha$  use the Prikry condition 2.10, to find  $r \leq^* r_x$  such that  $r_x$  decide the statement  $r \in \mathcal{A}$ . By definition of  $\lambda$  and proposition 2.14, the forcing  $\mathbb{M}[\vec{U}] \upharpoonright (\lambda, \kappa)$  is  $|V_\alpha|^+$ -directed with the  $\leq^*$  order. Hence there is  $r \leq^* r^*$  such that  $p_x \leq^* p^*$  for every  $x \in V_\alpha$ . By density, we can find such  $r^* \in G \upharpoonright (\lambda, \kappa)$ . It follows that  $A = \{x \in V_\alpha \mid r^* \Vdash x \in \mathcal{A}\}$  is definable in  $V[G \upharpoonright \lambda]$ . ■

**Corollary 2.12**  $\mathbb{M}[\vec{U}]$  preserves all cardinals.

**Definition 2.13** Let  $G \subseteq \mathbb{M}[\vec{U}]$  be generic, define the *Magidor club*

$$C_G = \{\nu \mid \exists A \exists p \in G \text{ s.t. } \langle \nu, A \rangle \in p\}$$

We will abuse notation by sometimes considering  $C_G$  as a the canonical enumeration of the set  $C_G$ . The set  $C_G$  is closed and unbounded in  $\kappa$ , therefore, the order type of  $C_G$  determines the cofinality of  $\kappa$  in  $V[G]$ . The next propositions can be found in [2].

**Proposition 2.14** *Let  $G \subseteq \mathbb{M}[\vec{U}]$  be generic. Then  $G$  can be reconstructed from  $C_G$  as follows*

$$G = \{p \in \mathbb{M}[\vec{U}] \mid (\kappa(p) \subseteq C_G) \wedge (C_G \setminus \kappa(p) \subseteq B(p))\}$$

*In particular  $V[G] = V[C_G]$ .*

**Proposition 2.15** *Let  $G \subseteq \mathbb{M}[\vec{U}]$  be generic.*

1.  $C_G$  is a club at  $\kappa$ .
2. For every  $\delta \in C_G$ ,  $o^{\vec{U}}(\delta) > 0$  iff  $\delta \in \text{Lim}(C_G)$ .
3. For every  $\delta \in \text{Lim}(C_G)$ , and every  $A \in \cap \vec{U}(\delta)$ , there is  $\xi < \delta$  such that  $C_G \setminus \xi \subseteq A$ .
4. If  $\langle \delta_i \mid i < \theta \rangle$  is an increasing sequence of elements of  $C_G$ , let  $\delta^* = \sup_{i < \theta} \delta_i$ , then  $o^{\vec{U}}(\delta^*) \geq \limsup_{i < \theta} o^{\vec{U}}(\delta_i) + 1$ .<sup>1</sup>
5. Let  $\delta \in \text{Lim}(C_G)$  and let  $A$  be a positive set,  $A \in (\cap \vec{U}(\delta))^+$ . i.e.  $\kappa \setminus A \notin \cap \vec{U}(\kappa)$ .<sup>2</sup> Then,  $\sup(A \cap C_G) = \delta$ .
6. If  $A \subseteq V_\alpha$ , then  $A \in V[C_G \cap \lambda]$ , where  $\lambda = \max(\text{Lim}(C_G) \cap \alpha + 1)$ .
7. For every  $V$ -regular cardinal  $\alpha$ , if  $cf^{V[G]}(\alpha) < \alpha$  then  $\alpha \in \text{Lim}(C_G)$ .

*Proof.* (1), (2), (3) can be found in [2].

To see (4), use closure of  $C_G$ , and find  $q \in G$  such that  $\delta^*$  appears in  $q$ . Since there are only finitely many ordinals in  $q$ , there is some  $i < \theta$  such that for every  $j > i$ ,  $\delta_j$  does not appear in  $q$ . By 2.2, since every such  $\delta_j$  appear in some  $q_j \in G$  which is compatible with  $q$ ,  $o^{\vec{U}}(\delta_j) < o^{\vec{U}}(\delta^*)$ . Hence

$$\limsup_{j < \theta} o^{\vec{U}}(\delta_j) + 1 \leq \sup(\limsup_{i < j < \theta} o^{\vec{U}}(\delta_j) + 1) \leq o^{\vec{U}}(\delta^*)$$

For (5), let  $\rho < \delta$ . Each condition  $p$ , such that  $\delta = \kappa_i(p)$  for some  $i \leq l(p) + 1$ , must satisfy that  $\sup(A \cap B_i(p)) = \delta$ . Hence we can extend  $p$  using an element of  $A \cap B_i(p)$  above  $\rho$ . By density,  $\sup(A \cap C_G) \geq \rho$ . Since  $\rho$  is general,  $\sup(A \cap C_G) = \delta$ .

(6) is a direct corollary of 2.11. As for (7), assume that  $cf^{V[G]}(\alpha) < \alpha$ , and let  $X \subseteq \alpha$  be a club such that  $\text{otp}(X) = cf^{V[G]}(\alpha)$ . Then  $X \in V[G] \setminus V$ . Let  $\lambda = \max(\text{Lim}(C_G) \cap \alpha + 1)$ , then  $\lambda \leq \alpha$ . By (6),  $X \in V[C_G \cap \lambda]$ . Toward a contradiction, assume that  $\lambda < \alpha$ , The the forcing  $\mathbb{M}[\vec{U}] \upharpoonright \lambda$  is  $\alpha$ -c.c., but  $cf^{V[C_G \cap \lambda]}(\alpha) < \alpha$ , contradiction. ■

The Mathias-like criteria for Magidor forcing is due to Mitchell [6]:

<sup>1</sup>For a sequence of ordinals  $\langle \rho_j \mid j < \gamma \rangle$ ,  $\limsup_{j < \gamma} \rho_j = \min(\sup_{i < j < \gamma} \rho_j \mid i < \gamma)$ .

<sup>2</sup>Equivalently, if there is some  $i < o^{\vec{U}}(\kappa)$  such that  $A \in U(\kappa, i)$ .

**Theorem 2.16** *Let  $U$  be a coherent sequence and assume that  $c : \alpha \rightarrow \kappa$  is an increasing function. Then  $c$  is  $\mathbb{M}[\vec{U}]$  generic iff:*

1.  $c$  is continuous.
2.  $c \upharpoonright \beta$  is  $\mathbb{M}[\vec{U} \upharpoonright \beta]$  generic for every  $\beta \in \text{Lim}(\alpha)$ .
3.  $X \in \cap \vec{U}(\kappa)$  iff  $\exists \beta < \kappa$   $c \upharpoonright \beta \subseteq X$ .

An equivalent formulation of the Mathias criteria is to require that for every  $\beta \in \text{Lim}(\alpha)$ , and for every  $X \in \cap \vec{U}(c(\beta))$ , there is  $\xi < \beta$  such that  $c''(\xi, \beta) \subseteq X$ .

For an additional proof of 2.16, We refer the reader to the last section, where we define a forcing notion  $\mathbb{M}_f[\vec{U}]$ , which generalizes  $\mathbb{M}[\vec{U}]$ , and prove in 5.9 a Mathias-like criteria for it.

**Proposition 2.17** *Let  $G \subseteq \mathbb{M}[\vec{U}]$  be  $V$ -generic filter and  $C_G$  the corresponding Magidor sequence. Let  $p \in G$ , then for every  $i \leq l(p) + 1$*

1. If  $o^{\vec{U}}(\kappa_i(p)) \leq \kappa_i(p)$ ,

$$\text{otp}([\kappa_{i-1}(p), \kappa_i(p)) \cap C_G) = \omega^{o^{\vec{U}}(\kappa_i(p))}$$

2. If  $o^{\vec{U}}(\kappa_i(p)) \geq \kappa_i(p)$ , then

$$\text{otp}([\kappa_{i-1}(p), \kappa_i(p)) \cap C_G) = \kappa_i(p)$$

*Proof.* we prove (1) by induction on  $\kappa_i(p)$ . If  $\kappa_i(p) = 0$ , then  $C_G \cap [\kappa_{i-1}(p), \kappa_i(p)) = \{\kappa_{i-1}(p)\}$ . Hence

$$\text{otp}(C_G \cap [\kappa_{i-1}(p), \kappa_i(p))) = 1 = \omega^0 = \omega^{o^{\vec{U}}(\kappa_i(p))}$$

Assume the lemma holds for any  $\delta < \kappa_i(p)$ . If  $o^{\vec{U}}(\kappa_i(p)) = \alpha + 1 \leq \kappa_i(p)$ , then the set  $X = \{\beta < \kappa_i(p) \mid o^{\vec{U}}(\beta) = \alpha\} \in U(\kappa_i(p), \alpha)$ , hence by proposition 2.15,

$$\sup(X \cap C_G \cap [\kappa_{i-1}(p), \kappa_i(p))) = \kappa_i(p)$$

We claim that  $\text{otp}(X \cap C_G \cap [\kappa_{i-1}(p), \kappa_i(p))) = \omega$ . Otherwise, let  $\rho < \kappa_i(p)$  be such that  $\rho$  is a limit point of  $X \cap C_G \cap [\kappa_{i-1}(p), \kappa_i(p))$ . Again by proposition 2.15,

$$o^{\vec{U}}(\rho) \geq \limsup(o^{\vec{U}}(\xi) \mid \xi \in X \cap C_G \cap [\kappa_{i-1}(p), \kappa_i(p))) = \alpha + 1$$

Contradicting 2.2. Let  $\langle \delta_n \mid n < \omega \rangle$  be the increasing enumeration of  $X \cap C_G \cap [\kappa_{i-1}(p), \kappa_i(p))$ . By induction hypothesis, for every  $n < \omega$ ,  $\text{otp}(C_G \cap [\delta_n, \delta_{n+1})) = \omega^\alpha$ . Hence,

$$\text{otp}(C_G \cap [\kappa_{i-1}(p), \kappa_i(p))) = \omega^{\alpha+1}$$

For limit  $o^{\vec{U}}(\kappa_i(p))$ , use proposition 2.15.5, to see that the sequence  $\langle \delta_\alpha \mid \alpha < o^{\vec{U}}(\kappa_i(p)) \rangle$  where

$$\delta_\alpha = \min(\rho \in C_G \cap [\kappa_{i-1}(p), \kappa_i(p)) \mid o^{\vec{U}}(\rho) = \alpha)$$

is well defined.  $x = \sup(\delta_\alpha \mid \alpha < \theta) \leq \kappa_i(p)$  is an element of  $C_G$ , and by proposition 2.15.4,  $o^{\vec{U}}(x) \geq o^{\vec{U}}(\kappa_i(p))$ , hence  $x = \kappa_i(p)$ . For every  $\alpha < o^{\vec{U}}(\kappa_i(p))$ ,  $\text{otp}(C_G \cap [\kappa_i(p), \delta_\alpha)) = \omega^\alpha$ , since  $p \hat{\ } \langle \delta_\alpha \rangle \in G$  and by induction hypothesis. It follows that

$$\text{otp}(C_G \cap [\kappa_{i-1}(p), \kappa_i(p))) = \sup_{\alpha < o^{\vec{U}}(\kappa_i(p))} (\text{otp}(C_G \cap [\kappa_{i-1}(p), \delta_\alpha)) = \sup_{\alpha < o^{\vec{U}}(\kappa_i(p))} \omega^\alpha = \omega^{o^{\vec{U}}(\kappa_i(p))})$$

For (2), use (1), and the limit stage to conclude that if  $o^{\vec{U}}(\kappa_i(p)) = \kappa_i(p)$ , then

$$\text{otp}(C_G \cap [\kappa_{i-1}(p), \kappa_i(p))) = \kappa_i(p)$$

If  $o^{\vec{U}}(\kappa_i(p)) > \kappa_i(p)$ , then  $\{\alpha < \kappa_i(p) \mid o^{\vec{U}}(\alpha) = \alpha\} \in U(\kappa_i(p), \kappa_i(p))$ , hence by proposition 2.15, there are unboundedly many  $\alpha \in C_G \cap [\kappa_{i-1}(p), \kappa_i(p)) =: Y$  such that  $o^{\vec{U}}(\alpha) = \alpha$ . Hence

$$\kappa_i(p) = \sup(Y) = \sup(\text{otp}(C_G \cap [\kappa_{i-1}(p), \alpha) \mid \alpha \in Y) \leq \kappa_i(p)$$

So equality holds. ■

Proposition 2.17 suggest a connection between the index in  $C_G$  of ordinals appearing in  $p$  and Cantor normal form.

**Definition 2.18** Let  $p \in G$ . For each  $i \leq l(p)$  define

$$\gamma_i(p) = \sum_{j=1}^i \omega^{o^{\vec{U}}(\kappa_j(p))}$$

**Corollary 2.19** Let  $G$  be  $\mathbb{M}[\vec{U}]$ -generic and  $C_G$  the corresponding Magidor sequence. Let  $p \in G$ , then for every  $1 \leq i \leq l(p)$

$$p \Vdash \mathcal{C}_G(\gamma_i(p)) = \kappa(t_i)$$

*Proof.* This is directly from 2.17. ■

For more details and basic properties of Magidor forcing see [5],[2] or [1].

We are going to handle subsequences of the generic club, the following simple definition will turn out being usefull.



**Definition 2.20** Let  $X, X'$  be sets of ordinals such that  $X' \subseteq X \subseteq On$ . Let  $\alpha = otp(X, \in)$  be the order type of  $X$  and  $\phi : \alpha \rightarrow X$  be the order isomorphism witnessing it. The indices of  $X'$  in  $X$  are

$$I(X', X) = \phi^{-1} X' = \{\beta < \alpha \mid \phi(\beta) \in X'\}$$

In the last part of the proof we will need the definition of quotient forcing.

**Definition 2.21** Let  $\mathcal{C}'$  be a  $\mathbb{M}[\vec{U}]$ -name such that  $\mathcal{C}'_G = C'$ . Define  $\mathbb{P}_{\mathcal{C}'}$ , the complete subalgebra of  $RO(\mathbb{M}[\vec{U}])$  generated by the conditions  $X = \{\|\alpha \in \mathcal{C}'\| \mid \alpha < \kappa\}$ .

By [4, 15.42],  $V[C'] = V[H]$  for some  $V$ -generic filter  $H$  of  $\mathbb{P}_{\mathcal{C}'}$ . In fact

$$C' = \{\alpha < \kappa \mid \|\alpha \in \mathcal{C}'\| \in X \cap H\}$$

**Definition 2.22** Define the function  $\pi : \mathbb{M}[\vec{U}] \rightarrow \mathbb{P}_{\mathcal{C}'}$  by

$$\pi(p) = \inf\{b \in \mathbb{P}_{\mathcal{C}'} \mid b \geq p\}$$

It not hard to check that  $\pi$  is a projection i.e.

1.  $\pi$  is order preserving.
2.  $\forall p \in \mathbb{M}[\vec{U}] \forall \pi(p) \leq q \exists p' \geq p. \pi(p') \geq q$ .
3.  $Im(\pi)$  is dense in  $\mathbb{P}_{\mathcal{C}'}$ .

**Definition 2.23** Let  $\pi : \mathbb{P} \rightarrow \mathbb{Q}$  be any projection, let  $H \subseteq \mathbb{Q}$  be  $V$ -generic, define

$$\mathbb{P}/H = \pi^{-1} H$$

We abuse notation by defining  $\mathbb{M}[\vec{U}]/C' = \mathbb{M}[\vec{U}]/H$ , where  $H$  is some generic for  $\mathbb{P}_{\mathcal{C}'}$  such that  $V[H] = V[C']$ . It is known that if  $G$  is  $V[C']$ -generic for  $\mathbb{M}[\vec{U}]/C'$  then  $G$  is  $V$  generic for  $\mathbb{M}[\vec{U}]$  and  $\pi''G = H$ , hence  $V[G] = V[C'][G]$ .

### 3 Magidor forcing with $o^{\vec{U}}(\kappa) \leq \kappa$

**Proposition 3.1** Assume that  $o^{\vec{U}}(\kappa) \leq \kappa$ . Let  $G \subseteq \mathbb{M}[\vec{U}]$  be a  $V$ -generic filter, and let  $p \in G$ . Then  $otp(C_G \cap (\kappa_{l(p)}(p), \kappa)) = \omega^{o^{\vec{U}}(\kappa)}$ . Hence,  $cf^{V[G]}(\kappa) = cf^{V[G]}(\omega^{o^{\vec{U}}(\kappa)})$ .

**Corollary 3.2** 1. If  $o^{\vec{U}}(\kappa) < \kappa$ , then  $\kappa$  is singular in  $V[G]$ .

2. If  $o^{\vec{U}}(\kappa) = \kappa$ , then  $cf^{V[G]}(\kappa) = \omega$ .

*Proof.* (1) is direct from proposition 3.1. For (2), The set  $E = \{\alpha < \kappa \mid o^{\vec{U}}(\alpha) < \alpha\} \in \cap \vec{U}(\kappa)$ . Hence, by proposition 2.15 find  $\rho < \kappa$  such that  $C_G \setminus \rho \subseteq E$ . In  $V[G]$  consider the sequence:  $\alpha_0 = \min(C_G \setminus \rho)$ , then  $\alpha_{n+1} = C_G(\alpha_n)$ . This is a well defined sequence of ordinals below  $\kappa$  since  $\text{otp}(C_G) = \kappa$ . Also, since  $\{\alpha < \kappa \mid \omega^\alpha = \alpha\} \in \cap \vec{U}(\kappa)$ , there is  $n < \omega$ , such that for every  $m \geq n$ ,  $o^{\vec{U}}(\alpha_{m+1}) = \alpha_m$ .

To see that  $\alpha^* := \sup_{n < \omega} \alpha_n = \kappa$ , assume otherwise, then by closure of  $C_G$ ,  $\alpha^* \in C_G$ . Also  $\alpha^* > \rho$ , hence  $o^{\vec{U}}(\alpha^*) < \alpha^*$ . By proposition 2.15.4,

$$o^{\vec{U}}(\alpha^*) \geq \limsup_{n < \omega} o^{\vec{U}}(\alpha_n) + 1 = \sup_{n < \omega} \alpha_n = \alpha^*$$

contradiction. ■

If  $o^{\vec{U}}(\kappa) \leq \kappa$ . We can decompose every set  $A \in \cap \vec{U}(\kappa)$  in a very canonical way:

**Proposition 3.3** Assume that  $o^{\vec{U}}(\kappa) \leq \kappa$ . Let  $A \in \cap \vec{U}(\kappa)$ .

1. For every  $i < \kappa$  define  $A_i = \{\nu \in A \mid o^{\vec{U}}(\nu) = i\}$ . Then  $A = \biguplus_{i < \kappa} A_i$  and  $A_i \in U(\kappa, i)$ .

2. There exists  $A^* \subseteq A$  such that:

(a)  $A^* \in \cap \vec{U}(\kappa)$

(b) For every  $0 < j < o^{\vec{U}}(\kappa)$  and  $\alpha \in A_j^*$ ,  $A^* \cap \alpha \in \cap \vec{U}(\alpha)$ .

*Proof.* 1. Note that  $X_i := \{\nu < \kappa \mid o^{\vec{U}}(\nu) = i\} \in U(\kappa, i)$  and  $A_i = X_i \cap A \in U(\kappa, i)$ . Moreover, every  $\alpha < \kappa$  must satisfy  $o^{\vec{U}}(\alpha) < \kappa$ , since there are at most  $2^{2^\alpha} < \kappa$  measures on  $\alpha$ .

2. For any  $i < o^{\vec{U}}(\kappa)$ ,

$$\text{Ult}(V, U(\kappa, j)) \models A = j_{U(\kappa, j)}(A) \cap \kappa \in \bigcap_{i < j} U(\kappa, i)$$

Coherency of the sequence imply that  $A' := \{\alpha < \kappa \mid A \cap \alpha \in \cap \vec{U}(\alpha)\} \in U(\kappa, j)$ , this is for every  $j < o^{\vec{U}}(\kappa)$ .

Define inductively  $A^{(0)} = A$ ,  $A^{(n+1)} = A'^{(n)}$ . By definition,  $\forall \alpha \in A_j^{(n+1)}$ ,  $A^{(n)} \cap \alpha \in \cap \vec{U}(\alpha)$ .

Define  $A^* = \bigcap_{n < \omega} A^{(n)} \in \cap \vec{U}(\kappa)$ , this set has the required property. ■

### 3.1 Extention Type

**Definition 3.4** Let  $p \in \mathbb{M}[\vec{U}]$ . Define

1. For every  $i \leq l(p) + 1$ , let  $B_{i,j}(p) = B_i(p) \cap X_j$ , where  $X_j := \{\alpha < \kappa \mid o^{\vec{U}}(\alpha) = j\}$  are the sets defined in 3.3.
2.  $Ex(p) = \prod_{i=1}^{l(p)+1} [o^{\vec{U}}(\kappa_i(p))]^{<\omega}$  ( $[\lambda]^{<\omega}$  is the set of finite, not necessarily increasing sequences in  $\lambda$ ).
3. If  $X \in Ex(p)$ , then  $X$  is of the form  $\langle X_1, \dots, X_{n+1} \rangle$ . Denote  $x_{i,j}$ , the  $j$ -th element of  $X_i$ , for  $1 \leq j \leq |X_i|$  and  $mc(X)$  is the last element of  $X$ .
4. Let  $X \in Ex(p)$ , then

$$\vec{\alpha} = \langle \alpha_1, \dots, \alpha_{l(p)+1} \rangle \in \prod_{i=1}^{l(p)+1} \prod_{j=1}^{|X_i|} B_{i,x_{i,j}}(p) =: X(p)$$

call  $X$  an *extension-type* of  $p$  and  $\vec{\alpha}$  is of *type*  $X$ , note that  $\vec{\alpha}$  is an increasing sequence of ordinals.

The idea of extension types is simply to classify extensions of  $p$  according to the measures from which the ordinals added to the stem of  $p$  are chosen. Note that if  $o^{\vec{U}}(\kappa) = \lambda < \kappa$  then there is a bound on the number of extension types,  $|Ex(p)| < \min(\nu > \lambda \mid o^{\vec{U}}(\nu) > 0)$ .

By proposition 3.3 any  $p \in \mathbb{M}[\vec{U}]$  can be extended to  $p \leq^* p^*$  such that for every  $X \in Ex(p)$  and any  $\vec{\alpha} \in X(p)$ ,  $p \hat{\ } \vec{\alpha} \in \mathbb{M}[\vec{U}]$ . Let us move to this dense subset of  $\mathbb{M}[\vec{U}]$ .

**Proposition 3.5** Let  $p \in \mathbb{M}[\vec{U}]$  be any condition and  $p \leq q \in \mathbb{M}[\vec{U}]$ . Then there exists unique  $X \in Ex(p)$  and  $\vec{\alpha} \in X(p)$  such that  $p \hat{\ } \vec{\alpha} \leq^* q$ . Moreover, for every  $X \in Ex(p)$  the set  $\{p \hat{\ } \vec{\alpha} \mid \vec{\alpha} \in X(p)\}$  form a maximal antichain above  $p$ .

*Proof.* The first part is trivial. We will prove that  $\{p \hat{\ } \vec{\alpha} \mid \vec{\alpha} \in X(p)\}$  form a antichain above  $p$ , by induction on  $|X|$ . For  $|X| = 1$ , we merely have some  $X(p) = B_{i,\xi}(p) \in U(\kappa_i(p), \xi)$ . To see it is an antichain, let  $\beta_1 < \beta_2$  are in  $X(p)$ . Toward a contradiction, assume that  $p \hat{\ } \beta_1, p \hat{\ } \beta_2 \leq q$ , then  $\beta_1$  appear in a pair in  $q$  and is added between  $\kappa_{i-1}(p)$  and  $\beta_2$ , so by definition 2.2, it must be that  $\xi = o^{\vec{U}}(\beta_1) < o^{\vec{U}}(\beta_2) = \xi$  contradiction.

To see it is maximal, fix  $q \geq p$  and let  $\vec{\alpha}$  be such that  $p \hat{\ } \vec{\alpha} \leq^* q$ . Consider the type of  $\vec{\alpha}$ ,

$$Y \in Ex(p)$$

, then  $\vec{\alpha} \in Y(p)$ . In  $Y_i$  let  $j$  be the minimal such that  $y_{i,j} \geq \xi$ . If  $y_{i,j} = \xi$  then  $q \geq p \frown \langle \alpha_{i,j} \rangle \in X(p)$  and we are done. Otherwise,  $y_{i,j} > \xi$ , then one of the pairs in  $q$  is of the form  $\langle \alpha_{i,j}, B \rangle$  where  $B \in \cap \vec{U}(\alpha_{i,j})$  and  $B \subseteq B_i(p)$ . Any  $\alpha \in B \cap B_{i,\xi}(p)$ , will satisfy that  $p \frown \langle \alpha \rangle \in X(p)$  and  $p \frown \langle \alpha \rangle, q \leq q \frown \langle \alpha \rangle$ .

Assume that the claim holds for  $n$ , and let  $X \in Ex(p)$  be such that  $|X| = n + 1$ . Let  $\vec{\alpha}, \vec{\beta} \in X(p)$  be distinct, if for some  $x_{i,j} \neq mc(X)$  we have  $\alpha_{i,j} \neq \beta_{i,j}$  apply the induction to  $X \setminus mc(X)$  to see that  $p \frown \vec{\alpha} \setminus \alpha^*, p \frown \vec{\beta} \setminus \beta^*$  are incompatible, hence  $p \frown \vec{\alpha}, p \frown \vec{\beta}$  are incompatible. If  $\vec{\alpha} \setminus \alpha^* = \vec{\beta} \setminus \beta^*$ , then  $\alpha^* \neq \beta^*$  and by the case  $n = 1$  we are done. To see it is maximal, let  $q \geq p$  apply the induction to  $X \setminus mc(X)$  to find  $\vec{\alpha} \in [X \setminus mc(X)](p)$  such that  $p \frown \vec{\alpha}$  is compatible with  $q$  and let  $q'$  be a common extension. Again by the case  $n = 1$ , there is  $\langle \alpha \rangle \in mc(X)(p \frown \vec{\alpha})$  such that  $p \frown \vec{\alpha} \frown \langle \alpha \rangle$  and  $q'$  are compatible. ■

**Definition 3.6** Let  $U_1, \dots, U_n$  be ultrafilters on a  $\kappa_1 \leq \dots \leq \kappa_n$  respectively, define recursively the ultrafilter  $\prod_{i=1}^n U_i$  over  $\prod_{i=1}^n \kappa_i$ , as follows: for  $B \subseteq \prod_{i=1}^n \kappa_i$

$$B \in \prod_{i=1}^n U_i \leftrightarrow \{\alpha_1 < \kappa_1 \mid B_{\alpha_1} \in \prod_{i=2}^n U_i\} \in U_1$$

where  $B_\alpha = B \cap \left( \{\alpha\} \times \prod_{i=2}^n \kappa_i \right)$ .

**Proposition 3.7** If  $U_1, \dots, U_n$  are normal  $\theta$ -complete ultrafilter, then  $\prod_{i=1}^n U_i$  is generated by sets of the form  $A_1 \times \dots \times A_n$  (increasing sequences of the product) such that  $A_i \in U_i$ .

*Proof.* Directly from the definition of normality. ■

Every  $X \in Ex(p)$  defines an ultrafilter

$$\vec{U}(X, p) = \prod_{i=1}^{n+1} \prod_{j=1}^{|X_i|} U(\kappa_i(p), x_{i,j})$$

Note that  $X(p) \in \vec{U}(X, p)$  by the definition of the product. Fix an extension type  $X$  of  $p$ , every extension of  $p$  of type  $X$  correspond to some element in the set  $X(p)$  which is just a product of large sets.

Let us state here some combinatorial properties, the proof can be found in [1].

**Lemma 3.8** Let  $\kappa_1 \leq \kappa_2 \leq \dots \leq \kappa_n$  be a non descending finite sequence of measurable cardinals and let  $U_1, \dots, U_n$  be normal measures<sup>3</sup> over them respectively. Assume  $F : \prod_{i=1}^n A_i \longrightarrow$

---

<sup>3</sup>A measure over a measurable cardinal  $\lambda$  is a  $\lambda$ -complete non trivial ultrafilter over  $\lambda$ .

$\nu$  where  $\nu < \kappa_1$  and  $A_i \in U_i$ . Then there exists  $H_i \subseteq A_i$ ,  $H_i \in U_i$  such that  $\prod_{i=1}^n H_i$  is homogeneous for  $F$  i.e.  $|Im(F \upharpoonright \prod_{i=1}^n H_i)| = 1$ .

■

Let  $F : \prod_{i=1}^n A_i \rightarrow X$  be a function, and  $I \subseteq \{1, \dots, n\}$ . Let

$$\left(\prod_{i=1}^n A_i\right)_I = \{\vec{\alpha} \upharpoonright I \mid \vec{\alpha} \in \prod_{i=1}^n A_i\}$$

For  $\vec{\alpha}' \in \left(\prod_{i=1}^n A_i\right)_I$ , define  $F_I(\vec{\alpha}') = F(\vec{\alpha})$  where  $\vec{\alpha} \upharpoonright I = \vec{\alpha}'$ . With no further assumption,  $F_I$  is not a well define function.

**Lemma 3.9** *Let  $\kappa_1 \leq \kappa_2 \leq \dots \leq \kappa_n$  be a non descending finite sequence of measurable cardinals and let  $U_1, \dots, U_n$  be normal measures over them respectively. Assume  $F : \prod_{i=1}^n A_i \rightarrow B$  where  $B$  is any set, and  $A_i \in U_i$ . Then there exists  $H_i \subseteq A_i$ ,  $H_i \in U_i$  and set  $I \subseteq \{1, \dots, n\}$  such that  $F_I \upharpoonright \left(\prod_{i=1}^n H_i\right)_I : \left(\prod_{i=1}^n H_i\right)_I \rightarrow B$  is well defined and injective.*

**Definition 3.10** Let  $F : \prod_{i=1}^n A_i \rightarrow X$  be a function. An important coordinate is an index  $r \in \{1, \dots, n\}$ , such that for every  $\vec{\alpha}, \vec{\beta} \in \prod_{i=1}^n A_i$ ,  $F(\vec{\alpha}) = F(\vec{\beta}) \rightarrow \vec{\alpha}(r) = \vec{\beta}(r)$ .

Proposition 3.9 insures the existence of a set  $I$  of important coordinates, such that  $I$  is ideal in the sense that removing any coordinate defect definition of  $F_I$  as a function, and any coordinate outside of  $I$  is redundant.

We will need here another property that does not appear in [1].

**Lemma 3.11** *Let  $\kappa_1 \leq \kappa_2 \leq \dots \leq \kappa_n$  and  $\theta_1 \leq \theta_2 \dots \leq \theta_m$  be a non descending finite sequences of measurable cardinals with corresponding normal measures  $U_1, \dots, U_n, W_1, \dots, W_m$ . Let*

$$F : \prod_{i=1}^n A_i \rightarrow X, \quad G : \prod_{j=1}^m B_j \rightarrow X$$

*be functions such that  $X$  is any set,  $A_i \in U_i$  and  $B_j \in W_j$ . Assume that  $I \subseteq \{1, \dots, n\}$  and  $J \subseteq \{1, \dots, m\}$  are sets of important coordinates for  $F, G$  respectively obtained by lemma 3.9. Then there exists  $A'_i \in U_i$  and  $B'_j \in W_j$ . such that one of the following holds*

1.  $Im(F \upharpoonright \prod_{i=1}^n A'_i) \cap Im(G \upharpoonright \prod_{j=1}^m B'_j) = \emptyset$ .
2.  $\left(\prod_{i=1}^n A'_i\right)_I = \left(\prod_{j=1}^m B'_j\right)_J$  and  $F_I \upharpoonright \left(\prod_{i=1}^n A'_i\right)_I = G_J \upharpoonright \left(\prod_{j=1}^m B'_j\right)_J$ .

*Proof.* Fix  $F, G$ . without loss of generality, assume that  $\kappa_1 \leq \theta_1$ . If  $\kappa_1 < \theta_1$  shrink the sets so that  $\min(B_1) > \kappa_1$ . By induction on  $\langle n, m \rangle \in \mathbb{N}_+^2$ .

**Case 1: Assume that  $n = m = 1$ , define**

$$H_1 : A_1 \times B_1 \rightarrow \{0, 1\}, \quad H(\alpha, \beta) = 1 \Leftrightarrow F(\alpha) = G(\beta)$$

By 3.8, shrink  $A_1, B_1$  to  $A'_1, B'_1$  so that  $H_1$  are constant with colors  $c_1$ . If  $c_1 = 1$  by fixing  $\alpha$  we see that  $G$  is constant on  $B'_1$  with some value  $\gamma$ . It follows that  $J = \emptyset$ . Also  $F$  is constant since for every  $\alpha \in A'_1$  we can take  $\beta > \alpha$  and  $F(\alpha) = G(\beta) = \gamma$ . Hence  $I = \emptyset$  and  $F_\emptyset \upharpoonright (A'_1)_\emptyset = G_\emptyset \upharpoonright (B'_1)_\emptyset = \{\langle \rangle\}$ . Assume that  $c_1 = 0$ , then for every  $\alpha \in A_1, \beta \in B_1$  if  $\alpha < \beta$  then  $H_1(\alpha, \beta) = 0$ , this suffices for the case  $\kappa_1 < \theta_1$ . If  $\kappa_1 = \theta_1$ , define

$$H_2 : B_1 \times A_1 \rightarrow \{0, 1\} \quad H_2(\beta, \alpha) = 1 \Leftrightarrow F(\alpha) = G(\beta)$$

Again shrink the sets so that  $H_2$  is constantly  $c_2 \in \{0, 1\}$ . The case  $c_2 = 1$  is similar to  $c_1 = 1$ . Assume that  $c_2 = 0$ , hence if  $\beta < \alpha$  then  $H_2(\beta, \alpha) = 0$ , it follows that  $F(\alpha) \neq G(\beta)$ . If  $U_1 \neq W_1$  then we are done since we can separate  $A'_1, B'_1$  and conclude that

$$Im(F \upharpoonright A'_1) \cap Im(G \upharpoonright B'_1) = \emptyset$$

If  $U_1 = W_1$  then define

$$H_3 : A'_1 \cap B'_1 \rightarrow \{0, 1\}, \quad H_3(\alpha) = 1 \Leftrightarrow F(\alpha) = G(\alpha)$$

Again by 3.8 we can assume that  $H_3$  is constant on  $A^*$ , if that constant is 1 then we have  $F \upharpoonright A^* = G \upharpoonright A^*$  (in particular  $I = J = \{1\}$  and  $F_I \upharpoonright (A^*)_I = G_J \upharpoonright (A^*)_J$ ) otherwise,

$$Im(F \upharpoonright A^*) \cap Im(G \upharpoonright A^*) = \emptyset$$

**Case 2: Assume  $\langle n, m \rangle >_{LEX} \langle 1, 1 \rangle$  If  $n = 1$ , define**

$$H_1 : A_1 \times \prod_{j=1}^m B_j \rightarrow \{0, 1\}, \quad H_1(\alpha, \vec{\beta}) = 1 \Leftrightarrow F(\alpha) = G(\vec{\beta})$$

Shrink the sets so that  $H_1$  is constantly  $c_1$ . As before, if  $c_1 = 1$  then  $F, G$  are constant on large sets, thus  $I = J = \emptyset$  and we are done. Assume that  $c_1 = 0$ . If  $n > 1$ , for  $\alpha \in A_1$  define the functions

$$F_\alpha : \prod_{i=2}^n A_i \setminus (\alpha + 1) \rightarrow X, \quad F_\alpha(\vec{\alpha}) = F(\alpha, \vec{\alpha})$$

By the induction hypothesis applied to  $F_\alpha, G$  and  $I \setminus \{1\}, J$ , we obtain

$$A_i^\alpha \in U_i \text{ for } 2 \leq i \leq n, \quad B_j^\alpha \in W_j \text{ for } 1 \leq j \leq m$$

such that one of the following holds:

1.  $(\prod_{i=2}^n A_i^\alpha)_{I \setminus \{1\}} = (\prod_{j=1}^m B_j^\alpha)_J$ , and  $(F_\alpha)_{I \setminus \{1\}} \upharpoonright (\prod_{i=2}^n A_i^\alpha)_{I \setminus \{1\}} = G_J \upharpoonright (\prod_{j=1}^m B_j^\alpha)_J$ .
2.  $Im(F_\alpha \upharpoonright \prod_{i=2}^n A_i^\alpha) \cap Im(G \upharpoonright \prod_{j=1}^m B_j^\alpha) = \emptyset$ .

Denote by  $i_\alpha \in \{1, 2\}$  the relevant case. There is  $A'_1 \subseteq A_1$ ,  $A'_1 \in U_1$ , and  $i^* \in \{1, 2\}$  such that for every  $\alpha \in A'_1$ ,  $i_\alpha = i^*$ . Let

$$A'_i = \Delta_{\alpha \in A_1} A_i^\alpha, \quad B'_j = \Delta_{\alpha \in A_1} B_j^\alpha \quad (\text{Since } \theta_1 \geq \kappa_1 \text{ we can take the diagonal intersection})$$

If  $i^* = 1$ , then  $(\prod_{i=2}^n A_i^\alpha)_{I \setminus \{1\}} = (\prod_{j=1}^m B_j^\alpha)_J$ , denote by  $I \setminus \{1\} = \{i_1, \dots, i_k\}$ ,  $J = \{j_1, \dots, j_k\}$ . Then necessarily,  $U_{i_r} = W_{j_r}$  for every  $1 \leq r \leq k$ . Define

$$A_{i_r}^* = B_{j_r}^* := A'_{i_r} \cap B'_{j_r}$$

If  $i \notin I$  or  $j \notin J$  then keep  $A_i^* = A'_i$  and  $B_j^* = B'_j$ . Then  $(\prod_{i=1}^n A_i^*)_{I \setminus \{1\}} = (\prod_{j=1}^m B_j^*)_J$ . Let  $\alpha, \alpha' \in A'_1$ ,  $\vec{\alpha} \in \prod_{i=2}^n A_i^\alpha$  with  $\min(\vec{\alpha}) > \alpha, \alpha'$ , then

$$F_\alpha(\vec{\alpha}) = (F_\alpha)_{I \setminus \{1\}}(\vec{\alpha} \upharpoonright I) = G_J(\vec{\alpha} \upharpoonright I) = (F_{\alpha'})_{I \setminus \{1\}}(\vec{\alpha} \upharpoonright I) = F_{\alpha'}(\vec{\alpha})$$

From this it follows that  $1 \notin I$  and  $F_I = F_{I \setminus \{1\}} = G_J$ . Assume  $i^* = 2$ . If  $\theta_1 = \kappa_1$ , we repeat the same process, if  $m = 1$  we define  $H_2$  as above, if  $c_2 = 1$  again we are done, so we assume that  $c_2 = 0$ . If  $m > 1$  we use  $G_\beta$  and fix  $F$ , denoting  $j_\beta$  the relevant case, shrink the sets so that  $j^*$  is constant. In case  $j^* = 1$  the proof is the same as  $i^* = 1$ . So we assume that  $i^* = j^* = 2$ , meaning that for every  $\langle \alpha, \vec{\alpha} \rangle \in \prod_{i=1}^n A_i^\alpha$  and every  $\langle \beta, \vec{\beta} \rangle \in \prod_{j=1}^m B_j^\beta$  if  $\alpha < \beta$  then  $\langle \beta, \vec{\beta} \rangle \in \prod_{j=1}^m B_j^\alpha$  and by  $i^* = 2$  (or  $c_1 = 0$  if  $n = 1$ )

$$F(\alpha, \vec{\alpha}) = F_\alpha(\vec{\alpha}) \neq G(\beta, \vec{\beta})$$

Similarly, if  $\beta < \alpha$  then  $\langle \alpha, \vec{\alpha} \rangle \in \prod_{i=1}^n A_i^\beta$  and by  $j^* = 2$  (or  $c_2 = 0$ ),  $F(\alpha, \vec{\alpha}) \neq G(\beta, \vec{\beta})$ . Hence we are left with the case  $\alpha = \beta$ .

**Case 2a:** Assume that  $U_1 \neq W_1$  Then we can just shrink the sets  $A'_1, B'_1$  so that  $A'_1 \cap B'_1 = \emptyset$ . Together with the construction of case 2, conclude that

$$Im(F \upharpoonright \prod_{i=1}^n A_i) \cap Im(G \upharpoonright \prod_{j=1}^m B_j) = \emptyset$$

**Case 2b:** Assume that  $U_1 = W_1$ , then we shrink the sets so that  $A'_1 = B'_1$ . If  $n = 1$  (the case  $m = 1$  is similar) let

$$T_1 : A'_1 \times \prod_{j=2}^m B'_j \rightarrow \{0, 1\}, \quad T_1(\alpha, \vec{\beta}) = 1 \Leftrightarrow F(\alpha) = G(\alpha, \vec{\beta})$$

We shrink  $A'_1$  and  $B'_j$  so that  $T_1$  is constantly  $d_1$ . If  $d_1 = 0$  then we have eliminated the possibility of  $\alpha = \beta$  and  $F(\alpha) = G(\beta, \vec{\beta})$  and so we are done again we conclude that

$$\text{Im}(F \upharpoonright \prod_{i=1}^n A'_i) \cap \text{Im}(G \upharpoonright \prod_{j=1}^m B'_j) = \emptyset$$

If  $d_1 = 1$  then  $F \upharpoonright A'_1 = G_{\{1\}} \upharpoonright (A'_1 \times \prod_{j=2}^m B'_j)_{\{1\}}$ . In particular  $J \subseteq \{1\}$ , it follows that  $F_I \upharpoonright (A'_1)_I = G_J \upharpoonright (A'_1 \times \prod_{j=2}^m B'_j)_J$ . If  $n, m > 1$ , for every  $\alpha \in A'_1$  we apply the induction hypothesis to the functions  $F_\alpha, G_\alpha$ , this time denoting the cases by  $r^*$ . If  $r^* = 2$ , then we have eliminated the possibility of  $F(\alpha, \vec{\alpha}) = G(\alpha, \vec{\beta})$ , together with  $i^* = 2, j^* = 2$  we are done. Finally, assume  $r^* = 1$ , namely that for

$$I^* := I \setminus \{1\} \subseteq \{2, \dots, n\}, \quad J^* := J \setminus \{1\} \subseteq \{2, \dots, m\}$$

We have

$$\left(\prod_{i=2}^n A'_i\right)_{I^*} = \left(\prod_{j=2}^m B'_j\right)_{J^*} \text{ and } (F_\alpha)_{I^*} \upharpoonright \left(\prod_{i=2}^n A'_i\right)_{I^*} = (G_\alpha)_{J^*} \upharpoonright \left(\prod_{j=2}^m B'_j\right)_{J^*}$$

Since  $A'_1 = B'_1$  it follows that

$$(*) \quad \left(\prod_{i=1}^n A'_i\right)_{I^* \cup \{1\}} = \left(\prod_{j=1}^m B'_j\right)_{J^* \cup \{1\}} \text{ and } (F_\alpha)_{I^* \cup \{1\}} \upharpoonright \left(\prod_{i=1}^n A'_i\right)_{I^* \cup \{1\}} = (G_\alpha)_{J^* \cup \{1\}} \upharpoonright \left(\prod_{j=1}^m B'_j\right)_{J^* \cup \{1\}}$$

Since if  $\langle \alpha \rangle \hat{\ } \vec{\alpha} \in \left(\prod_{i=1}^n A'_i\right)_I$ ,

$$F_{I^* \cup \{1\}}(\alpha, \vec{\alpha}) = (F_\alpha)_{I^*}(\vec{\alpha}) = (G_\alpha)_{J^*}(\vec{\alpha}) = G_{J^* \cup \{1\}}(\alpha, \vec{\alpha})$$

We claim that  $1 \in I$  if and only if  $1 \in J$ . By symmetry, it suffices to prove one implication, for example, if  $1 \in I$ , then  $I = I^* \cup \{1\}$ , take  $\vec{\alpha} \upharpoonright I, \vec{\alpha}' \upharpoonright I \in \left(\prod_{i=1}^n A'_i\right)_I$  which differs only at the first coordinate, therefore  $F(\vec{\alpha}) \neq F(\vec{\alpha}')$ . By (\*), there are  $\vec{\beta}, \vec{\beta}' \in \prod_{i=1}^m B'_i$  such that

$$\vec{\beta} \upharpoonright (J^* \cup \{1\}) = \vec{\alpha} \upharpoonright I \text{ and } \vec{\beta}' \upharpoonright (J^* \cup \{1\}) = \vec{\alpha}' \upharpoonright I$$

It follows that from (\*) that  $G(\vec{\beta}) = F(\vec{\alpha}) \neq F(\vec{\alpha}') = G(\vec{\beta}')$ , therefore  $1 \in J$ .

In any case,  $F_I \upharpoonright \left(\prod_{i=1}^n A'_i\right)_I = G_J \upharpoonright \left(\prod_{i=1}^m B'_i\right)_J$ . ■

## 4 The main result

Let us turn to prove the main result (theorem 1.1) for Magidor forcing with  $o^{\vec{U}}(\kappa) < \kappa$ . The proof presented here is based on what was done in [1] and before that in [3], it is a proof by induction of  $\kappa$ .



## 4.1 Short Sequences

In this section we prove the theorem for sets  $A$  of small cardinality.

**Proposition 4.1** *Let  $p \in \mathbb{M}[\vec{U}]$  be any condition,  $X$  an extension type of  $p$ . For every  $\vec{\alpha} \in X(p)$  let  $p_{\vec{\alpha}} \geq^* p \hat{\ } \vec{\alpha}$ . Then there exists  $p \leq^* p^*$  such that for every  $\vec{\beta} \in X(p^*)$ , every  $p^* \hat{\ } \vec{\beta} \leq q$  is compatible with  $p_{\vec{\beta}}$ .*

*Proof.* By induction of  $|X|$ .  $X = \langle \xi \rangle$ , then  $\vec{U}(X, p) = U(\kappa_i(p), \xi)$  and  $X(p) = B_{i, \xi}(p)$ . For each  $\beta \in B_{i, \xi}(p)$

$$p_\beta = \langle \langle \kappa_1(p), A_1^\beta \rangle, \dots, \langle \kappa_{i-1}(p), A_{i-1}^\beta \rangle, \langle \beta, B_\beta \rangle, \langle \kappa_i(p), A_i^\beta \rangle, \dots, \langle \kappa, A_\beta \rangle \rangle$$

For  $j > i$  let  $A_j^* = \bigcap_{\beta \in B_{i, \xi}(p)} A_j^\beta$ . For  $j < i$  we can find  $A_j^*$  and shrink  $B_{i, \xi}(p)$  to  $E_\xi$  so that for every  $\beta \in E_\xi$  and  $j < i$   $A_j^\beta = A_j^*$ . For  $i$ , first let  $E = \Delta_{\alpha \in B_{i, \xi}(p)} A_i^\alpha$ . By ineffability of  $\kappa_i(p)$  we can find  $A_\xi^* \subseteq E_\xi$  and a set  $B^* \subseteq \kappa_i(p)$  such that for every  $\beta \in A_\xi^*$   $B^* \cap \beta = B_\beta$ . Claim that  $B^* \in U(\kappa_i(p), \gamma)$  for every  $\gamma < \xi$ ,

$$Ult(V, U(\kappa_i(p), \xi)) \models B^* = j_{U(\kappa_i(p), j)}(B^*) \cap \kappa_i(p)$$

and since

$$\{\beta < \kappa \mid B^* \cap \beta \in \bigcap \vec{U}(\beta)\} \in U(\kappa_i(p), \xi)$$

it follows that  $B^* \in \bigcap j_{U(\kappa_i(p), \xi)}(\vec{U})(\kappa_i(p))$ . By coherency  $B^* \in \bigcap_{\gamma < \xi} U(\kappa_i(p), \gamma)$ . Define

$$A_i^* = B^* \uplus A_\xi^* \uplus \left( \bigcup_{\xi < i} E_i \right) \in \bigcap \vec{U}(\kappa_i(p))$$

Let  $q \geq p^* \hat{\ } \beta$  and suppose that  $q \geq^* (p^* \hat{\ } \beta) \hat{\ } \vec{\gamma}$ . Then every  $\gamma \in \vec{\gamma}$  such that  $\gamma > \beta$  belong to some  $A_j^* \setminus \beta$  for  $j \geq i$ , and by the definition of these sets  $\gamma \in A_j^\beta$ . If  $\gamma < \kappa_{i-1}$  then also  $\gamma \in A_j^*$  for some  $j < i$ . Since  $\beta \in E_\xi$  it follows that  $A_j^\beta = A_j^*$  so  $\gamma \in A_j^\beta$ . For  $\gamma \in (\kappa_{i-1}, \beta)$ , by definition of the order we have  $o^{\vec{U}}(\gamma) < o^{\vec{U}}(\beta) = \xi$  and therefore  $\gamma \in A_{i, \eta}^* \cap \beta$  for some  $\eta < \xi$ , but

$$A_{i, \eta}^* \cap \beta \subseteq B^* \cap \beta = B_\beta$$

it follows that  $q, p_\beta$  are compatible. For general  $X$ , fix  $\min(\vec{\beta}) = \beta$ . Apply the induction hypothesis to  $p \hat{\ } \beta$  and  $p_{\vec{\beta}}$  to find  $p_\beta^* \geq^* p \hat{\ } \beta$ . Next apply the case  $n = 1$  to  $p_\beta^*$  and  $p$ , find  $p^* \geq p$ . Let  $q \geq p^* \hat{\ } \vec{\beta}$  and denote  $\beta = \min(\vec{\beta})$  then  $q$  is compatible with  $p_\beta^*$  thus let  $q' \geq q, p_\beta^*$ . Since  $q' \geq p_\beta^*$  and  $q' \geq p^* \hat{\ } \vec{\beta}$  it follows that  $q' \geq p_\beta^* \hat{\ } \vec{\beta}$ . Therefore there is  $q'' \geq q', p_{\vec{\beta}}$ . ■

**Lemma 4.2** *Let  $\lambda < \kappa$ ,  $p \in \mathbb{M}[\vec{U}] \upharpoonright (\lambda, \kappa)$ ,  $q \in \mathbb{M}[\vec{U}] \upharpoonright \lambda$  and  $X \in Ex(p)$ . Also. let  $\underline{x}$  be an ordinal  $\mathbb{M}[\vec{U}]$ -name. There is  $p \leq^* p^*$  such that*

$$\text{If } \exists \vec{\alpha} \in X(p^*) \exists p' \geq^* p^* \hat{\ } \vec{\alpha} \langle q, p' \rangle \parallel \underline{x} \text{ Then } \forall \vec{\alpha} \in X(p^*) \langle q, p^* \hat{\ } \vec{\alpha} \rangle \parallel \underline{x}$$

*Proof.* Fix  $p, \lambda, q, X$  as in the lemma. Consider the set

$$B_0 = \{\vec{\beta} \in X(p) \mid \exists p' \geq p \wedge \vec{\beta} \text{ s.t. } \langle q, p' \rangle \parallel \underline{x}\}$$

One and only one of  $B_0$  and  $X(p) \setminus B_0$  is in  $\vec{U}(X, P)$ . Denote this set by  $A'$ . By proposition 3.7, we can find  $A'_{i,j} \in U(\alpha_i, x_{i,j})$  such that  $\prod_{i=1}^{l(p)+1} \prod_{j=1}^{|X_i|} A'_{i,j} \subseteq A'$ , let  $p \leq^* p'$  be the condition obtained by shrinking  $B_{i,j}(p)$  to  $A'_{i,j}$  so that  $X(p') = \prod_{i=1}^{n+1} \prod_{j=1}^{|X_i|} A'_{i,j}$ . If

$$\exists \vec{\beta} \in X(p') \exists p'' \geq p' \wedge \vec{\beta} \langle q, p'' \rangle \parallel \underline{x}$$

Then  $\vec{\beta} \in B_0 \cap A'$  and therefore  $B_0 = A'$ , we conclude that

$$\forall \vec{\beta} \in X(p') \exists p_{\vec{\beta}} \geq p' \wedge \vec{\beta} \langle q, p_{\vec{\beta}} \rangle \parallel \underline{x}$$

By proposition 4.1 we can amalgamate all these  $p_{\vec{\beta}}$  to find  $p' \leq^* p^*$  such that for every  $\vec{\beta} \in X(p^*)$ ,  $p^* \wedge \vec{\beta}$  decides  $\underline{x}$ , then  $p^*$  is as wanted. ■

**Lemma 4.3** *Consider the decomposition of 2.7 at some  $\lambda \geq o^{\vec{U}}(\kappa)$  and let  $\underline{x}$  be a  $\mathbb{M}[\vec{U}]$ -name for an ordinal. Then for every  $p \in \mathbb{M}[\vec{U}] \upharpoonright (\lambda, \kappa)$ , there exists  $p \leq^* p^*$  such that for every  $X \in Ex(p)$  and  $q \in \mathbb{M}[\vec{U}] \upharpoonright \lambda$  the following holds:*

$$\text{If } \exists \vec{\alpha} \in X(p^*) \exists p' \geq^* p^* \wedge \vec{\alpha} \langle q, p' \rangle \parallel \underline{x} \text{ Then } \forall \vec{\alpha} \in X(p^*) \langle q, p^* \wedge \vec{\alpha} \rangle \parallel \underline{x}$$

*Proof.* Fix  $q \in \mathbb{M}[\vec{U}] \upharpoonright \lambda$  and  $X \in Ex(p)$ . Use 4.2, to find  $p \leq^* p_{q,X}$  such that

$$\text{If } \exists \vec{\alpha} \in X(p_{q,X}) \exists p' \geq^* (p_{q,X}) \wedge \vec{\alpha} \text{ s.t. } \langle q, p' \rangle \parallel \underline{x} \text{ Then } \forall \vec{\alpha} \in X(p_{q,X}) \langle q, (p_{q,X}) \wedge \vec{\alpha} \rangle \parallel \underline{x}$$

By the definition of  $\lambda$ , the forcing  $\mathbb{M}[\vec{U}] \upharpoonright (\lambda, \kappa)$  is  $\leq^*$ -max $(|Ex(p)|^+, |\mathbb{M}[\vec{U}] \upharpoonright \lambda|^+)$ -directed. Hence we can find  $p \leq^* p^*$  so that for every  $X, q, p_{q,X} \leq^* p^*$ . ■

**Lemma 4.4** *Let  $A \in V[G]$  be a set of ordinals such that  $|A| < \kappa$ . Then there exists  $C' \subseteq C_G$  such that  $V[A] = V[C']$ .*

*Proof.* Assume that  $|A| = \lambda' < \kappa$  and let  $\delta = \max(\lambda', \text{otp}(C_G)) < \kappa$ . Split  $\mathbb{M}[\vec{U}]$  as in proposition 2.7. Find  $p \in G$  such that some  $\delta \leq \lambda$  appears in  $p$ . The generic  $G$  also splits to  $G = G_1 \times G_2$  where  $G_1$  is the generic for Magidor forcing below  $\lambda$  and  $G_2$  above it. Let  $\langle \underline{q}_i \mid i < \lambda' \rangle$  be a  $\mathbb{M}[\vec{U}]$ -name for  $A$  in  $V$  and  $p \in \mathbb{M}[\vec{U}] \upharpoonright (\lambda, \kappa)$ . For every  $i < \lambda'$  find  $p \leq^* p_i$  as in lemma 4.3, such that for every  $q \in \mathbb{M}[\vec{U}] \upharpoonright \lambda$  and  $X \in Ex(p)$  we have:

$$\text{If } \exists \vec{\alpha} \in X(p_i) \exists p_i \wedge \vec{\alpha} \leq^* p' \langle q, p' \rangle \parallel \underline{q}_i \text{ Then } \forall \vec{\alpha} \in X(p_i) \langle q, p_i \wedge \vec{\alpha} \rangle \parallel \underline{q}_i \quad (*)$$

Since we have  $\lambda'$ -closure for  $\leq^*$  we can find  $p_i \leq^* p_*$ . Next, for every  $i < \lambda'$ , fix a maximal anti chain  $Z_i \subseteq \mathbb{M}[\vec{U}] \upharpoonright \lambda$  such that for every  $q \in Z_i$  there is an extension type  $X_{q,i}$  for which

$\forall \vec{a} \in p_* \widehat{X}_{q,i} \langle q, p_* \widehat{\vec{a}} \rangle \parallel \underline{a}_i$ , these anti chains can be found using (\*) and Zorn's lemma. Recall the sets  $X_{q,i}(p_*)$  is a product of large sets. Define  $F_{q,i} : X_{q,i}(p_*) \rightarrow On$  by

$$F_{q,i}(\vec{a}) = \gamma \iff \langle q, p_* \widehat{\vec{a}} \rangle \Vdash \underline{a}_i = \tilde{\gamma}$$

By lemma 3.9 we can assume that there are important coordinates

$$I_{q,i} \subseteq \{1, \dots, \text{dom}(X_{q,i}(p_*))\}$$

Fix  $i < \lambda'$ , for every  $q, q' \in Z_i$  we apply lemma 3.11 to the functions  $F_{q,i}, F_{q',i}$  and find  $p_* \leq^* p_{q,q'}$  for which one of the following holds:

1.  $\text{Im}(F_{q,i} \upharpoonright A(X_{q,i}, p_{q,q'})) \cap \text{Im}(F_{q',i} \upharpoonright A(X_{q',i}, p_{q,q'})) = \emptyset$
2.  $(F_{q,i})_{I_{q,i}} \upharpoonright (A(X_{q,i}, p_{q,q'}))_{I_{q,i}} = (F_{q',i})_{I_{q',i}} \upharpoonright (A(X_{q',i}, p_{q,q'}))_{I_{q',i}}$

Finally find  $p^*$  such that for every  $q, q', p_{q,q'} \leq^* p^*$ . By density, there is such  $p^* \in G_2$ . We use  $F_{q,i}$  to translate information from  $C_G$  to  $A$  and vice versa, distinguishing from [1] this translation is made in  $V[G_1]$  rather than  $V$ : For every  $i < \lambda'$ ,  $G_1 \cap Z_i = \{q_i\}$ . Use lemma 3.5, to find  $D_i \in X_{q_i,i}(p^*)$  be such that  $p^* \widehat{D}_i \in G_2$ , define  $C_i = D_i \upharpoonright I_{q_i,i}$  and let  $C' = \bigcup_{i < o^{\vec{U}}(\kappa)} C_i$ .

Define as in 2.20,  $I(C_i, C') \in [\text{otp}(\kappa)]^{<\omega}$ , since  $\text{otp}(C') \leq \text{otp}(C_G) \leq \lambda$  and  $V[G_2]$  does not add sequences to  $\lambda$  we have that  $\langle I(C_i, C') \mid i < \lambda' \rangle \in V[G_1]$ . It follows that

$$(V[G_1])[A] = (V[G_1])[\langle C_i \mid i < \lambda' \rangle] = (V[G_1])[C']$$

In fact let us prove that  $\langle C_i \mid i < \lambda' \rangle \in V[A]$ . Indeed, define in  $V[A]$  the sets

$$M_i = \{q \in Z_i \mid a_i \in \text{Im}(F_{q,i})\}$$

then, for any  $q, q' \in M_i$   $a_i \in \text{Im}(F_{q,i}) \cap \text{Im}(F_{q',i}) \neq \emptyset$ . Hence 2 must hold for  $F_{q,i}, F_{q',i}$  i.e.

$$(F_{q,i})_{I_{q,i}} \upharpoonright (X_{q,i}(p^*))_{I_{q,i}} = (F_{q',i})_{I_{q',i}} \upharpoonright (X_{q',i}(p^*))_{I_{q',i}}$$

This means that no matter how we pick  $q'_i \in M_i$ , we will end up with the same function  $(F_{q'_i,i})_{I_{q'_i,i}} \upharpoonright (X_{q'_i,i}(p^*))_{I_{q'_i,i}}$ . In  $V[A]$ , choose any  $q'_i \in M_i$  and let  $D'_i \in F_{q'_i,i}^{-1}(a_i)$ ,  $C'_i = D'_i \upharpoonright I_{q'_i,i}$ . Since  $q_i, q'_i \in M_i$  we have  $C_i = C'_i$ , hence  $\langle C_i \mid i < \lambda' \rangle \in V[A]$ . We still have to determine what information  $A$  uses in the part of  $G_1$ , namely,  $\{q'_i \mid i < \lambda'\}, \langle I(C_i, C') \mid i < \lambda' \rangle \in V[A]$ . This sets can be coded as a subset of ordinals below  $(2^\lambda)^+$ , therefore,

$$\{q'_i \mid i < \lambda'\}, \langle I(C_i, C') \mid i < \lambda' \rangle \in V[G_1]$$

By the induction hypothesis, we can find  $C'' \subseteq C_{G_1}$  such that

$$V[\{q'_i \mid i < \lambda'\}, \langle I(C_i, C') \mid i < \lambda' \rangle] = V[C'']$$

Since all the information needed to restore  $A$  is coded in  $C' \uplus C''$ , it is clear that  $V[A] = V[C'' \uplus C']$ . ■

## 4.2 General Subsets of $\kappa$

Assume that  $A \in V[G]$  such that  $A \subseteq \kappa$ . For some  $A$ 's, the proof is similar to the one in [1] works. This proof relays on the following lemma:

**Lemma 4.5** *Assume that  $o^{\vec{U}}(\kappa) < \kappa$  and let  $A \in V[G]$ ,  $\text{sup}(A) = \kappa$ . Assume that  $\exists C^* \subseteq C_G$  such that*

1.  $C^* \in V[A]$  and  $\forall \alpha < \kappa$   $A \cap \alpha \in V[C^*]$
2.  $cf^{V[A]}(\kappa) < \kappa$

Then  $\exists C' \subseteq C_G$  such that  $V[A] = V[C']$ .

*Proof.* Let  $\langle \alpha_i \mid i < \lambda \rangle \in V[A]$  be cofinal in  $\kappa$ . Since  $|C^*| < \kappa$ , by 4.4, we can find  $C'' \subseteq C_G$  such that

$$V[C''] = V[C', \langle \alpha_i \mid i < \lambda \rangle] \subseteq V[A]$$

In  $V[C'']$  choose for every  $i$ , a bijection  $\pi_i : 2^{\alpha_i} \rightarrow P^{V[C'']}(A \cap \alpha_i)$ . Since  $A \cap \alpha_i \in V[C'']$  there is  $\delta_i$  such that  $\pi_i(\delta_i) = A \cap \alpha_i$ . Finally let  $C' \subseteq C_G$  such that

$$V[C'] = V[C'', \langle \delta_i \mid i < \lambda \rangle]$$

We claim that  $V[A] = V[C']$ . Obviously,  $C' \in V[A]$ , for the other direction,

$$\langle A \cap \alpha_i \mid i < \lambda \rangle = \langle \pi_i(\delta_i) \mid i < \lambda \rangle \in V[C']$$

Thus  $A \in V[C']$ . ■

**Definition 4.6** We say that  $A \cap \alpha$  stabilizes, if

$$\exists \alpha^* < \kappa. \forall \alpha < \kappa. A \cap \alpha \in V[A \cap \alpha^*]$$

First we deal with  $A$ 's such that  $A \cap \alpha$  does not stabilize.

**Lemma 4.7** *Assume  $o^{\vec{U}}(\kappa) < \kappa$ ,  $A \subseteq \kappa$  unbounded in  $\kappa$  such that  $A \cap \alpha$  does not stabilize, then there is  $C' \subseteq C_G$  such that  $V[C'] = V[A]$ .*

*Proof.* Work in  $V[A]$ , define the sequence  $\langle \alpha_\xi \mid \xi < \theta \rangle$ :

$$\alpha_0 = \min(\alpha \mid V[A \cap \alpha] \not\supseteq V)$$

Assume that  $\langle \alpha_\xi \mid \xi < \lambda \rangle$  has been defined and for every  $\xi$ ,  $\alpha_\xi < \kappa$ . If  $\lambda = \xi + 1$  then set

$$\alpha_\lambda = \min(\alpha \mid V[A \cap \alpha] \not\supseteq V[A \cap \alpha_\xi])$$

If  $\alpha_\lambda = \kappa$ , then  $\alpha_\lambda$  satisfies that

$$\forall \alpha < \kappa \quad A \cap \alpha \in V[A \cap \alpha_\xi]$$

Thus  $A \cap \alpha$  stabilizes which by our assumption is a contradiction. If  $\lambda$  is limit, define

$$\alpha_\lambda = \sup(\alpha_\xi \mid \xi < \lambda)$$

if  $\alpha_\lambda = \kappa$  define  $\theta = \lambda$  and stop. The sequence  $\langle \alpha_\xi \mid \xi < \theta \rangle \in V[A]$  is a continues, increasing unbounded sequence in  $\kappa$ . Therefore,  $cf^{V[A]}(\kappa) = cf^{V[A]}(\theta)$ . Let us argue that  $\theta < \kappa$ . Work in  $V[G]$ , for every  $\xi < \theta$  pick  $C_\xi \subseteq C_G$  such that  $V[A \cap \alpha_\xi] = V[C_\xi]$ . The map  $\xi \mapsto C_\xi$  is injective from  $\theta$  to  $P(C_G)$ , by the definition of  $\alpha_\xi$ 's. Since  $o^{\vec{U}}(\kappa) < \kappa$ ,  $|C_G| < \kappa$ , and  $\kappa$  stays strong limit in the generic extension. Therefore

$$\theta \leq |P(C_G)| = 2^{|C_G|} < \kappa$$

Hence  $\kappa$  changes cofinality in  $V[A]$ , according to lemma 4.5, it remains to find  $C^*$ . Denote  $\lambda = |C_G|$  and work in  $V[A]$ , for every  $\xi < \theta$ ,  $C_\xi \in V[A]$  (Although the sequence  $\langle C_\xi \mid \xi < \theta \rangle$  may not be in  $V[A]$ ).  $C_\xi$  witnesses that

$$\exists d_\xi \subseteq \kappa. |d_\xi| \leq \lambda \text{ and } V[A \cap \alpha_\xi] = V[d_\xi]$$

Fix  $d = \langle d_\xi \mid \xi < \theta \rangle \in V[A]$ . It follows that  $d$  can be coded as a subset of  $\kappa$  of cardinality  $\leq \lambda \cdot \theta < \kappa$ . Finally, by 4.4, there exists  $C^* \subseteq C_G$  such that  $V[C^*] = V[d] \subseteq V[A]$  so

$$\forall \alpha < \kappa. A \cap \alpha \in V[d_\xi] \subseteq V[C^*]$$

■

Next we assume that  $A \cap \alpha$  stabilizes on some  $\alpha^* < \kappa$ . By lemma 4.4 There exists  $C^* \subseteq C_G$  such that  $V[A \cap \alpha^*] = V[C^*]$ , if  $A \in V[C^*]$  then we are done, assume that  $A \notin V[C^*]$ . To apply 4.5, it remains to prove that  $cf^{V[A]}(\kappa) < \kappa$ . The subsequence  $C^*$  must be bounded, denote  $\kappa_1 = \sup(C^*) < \kappa$  and  $\kappa^* = \max(\kappa_1, \text{otp}(C_G))$ . Find  $p \in G$  that decides the value of  $\kappa^*$  and assume that  $\kappa^*$  appear in  $p$  (otherwise take some ordinal above it). As in lemma 2.7 we split

$$\mathbb{M}[\vec{U}]/p \simeq \left( \mathbb{M}[\vec{U}] \upharpoonright \kappa^* \right) / \left( p \upharpoonright \kappa^* \right) \times \left( \mathbb{M}[\vec{U}] \upharpoonright (\kappa^*, \kappa) \right) / \left( p \upharpoonright (\kappa^*, \kappa) \right)$$

There is a subforcing  $\mathbb{P}$  of  $RO\left(\left(\mathbb{M}[\vec{U}] \upharpoonright \kappa^*\right) / \left(p \upharpoonright \kappa^*\right)\right)$  such that  $V[C^*]$  is a generic for  $\mathbb{P}$ . Let

$$\mathbb{Q} = \left[ \left( \mathbb{M}[\vec{U}] \upharpoonright \kappa^* \right) / \left( p \upharpoonright \kappa^* \right) \right] / C^*$$

be the quotient forcing completing  $\mathbb{P}$  to  $\left(\mathbb{M}[\vec{U}] \upharpoonright \kappa^*\right) / \left(p \upharpoonright \kappa^*\right)$ . Finally note that  $G$  is generic over  $V[C^*]$  for

$$\mathbb{S} = \mathbb{Q} \times \left( \mathbb{M}[\vec{U}] \upharpoonright (\kappa^*, \kappa) \right) / \left( p \upharpoonright (\kappa^*, \kappa) \right)$$

**Lemma 4.8**  $cf^{V[A]}(\kappa) < \kappa$

*Proof.* Let  $G = G_1 \times G_2$  be the decomposition such that  $G_1$  is generic for  $\mathbb{Q}$  above  $V[C^*]$  and  $G_2$  is  $\mathbb{M}[\vec{U}] \upharpoonright (\kappa^*, \kappa)$  generic over  $V[C^*][G_1]$ . Let  $\underline{A}$  be a  $\mathbb{S}$ -name for  $A$  in  $V[C^*]$ . and  $\langle q_0, p_0 \rangle \in G$  such that

$$\langle q_0, p_0 \rangle \Vdash \text{"}\forall \alpha < \kappa \ \underline{A} \cap \alpha \text{ is old" (i.e. in } V[C^*])$$

Proceed by a density argument in  $\mathbb{M}[\vec{U}] \upharpoonright (\kappa^*, \kappa)/p \upharpoonright (\kappa^*, \kappa)$ , let  $p_0 \leq p$ , as in 4.4 find  $p \leq^* p^*$  such that for all  $q_0 \leq q \in \mathbb{Q}$  and  $X \in Ex(p^*)$ :

$$\exists \vec{\alpha} \hat{\wedge} \langle \alpha \rangle \in X(p^*) \exists p' \geq^* p^* \hat{\wedge} \langle \alpha \rangle \langle q, p' \rangle \Vdash \underline{A} \cap \alpha \Rightarrow \forall \vec{\alpha} \hat{\wedge} \langle \alpha \rangle \in X(p^*) \langle q, p^* \hat{\wedge} \vec{\alpha} \hat{\wedge} \langle \alpha \rangle \rangle \Vdash \underline{A} \cap \alpha$$

Denote the consequent by  $(*)_{X,q}$ , since  $\underline{A} \cap \alpha$  is forced to be old, we will find Many  $q, X$  for which  $(*)_{q,X}$  holds. For such  $q, X$ , for every  $\vec{\alpha} \hat{\wedge} \langle \alpha \rangle \in X(p^*)$  define the value forced for  $\underline{A} \cap \alpha$  by  $a(q, \vec{\alpha}, \alpha)$ . Fix  $q, X$  such that  $(*)_{q,X}$  holds. Assume that the maximal measure which appears in  $X$  is  $U(\kappa_i(p), mc(X))$  and fix  $\vec{\alpha} \in (X \setminus \{mc(X)\})(p^*)$ . For every  $\alpha \in B_{i,mc(X)}(p) \setminus \max(\vec{\alpha})$  the set  $a(q, \vec{\alpha}, \alpha) \subseteq \alpha$  is defined. By ineffability, we can shrink  $B_{i,mc(X)}(p)$  to  $A_{i,mc(X)}^{q,\vec{\alpha}}$  and find a set  $A(q, \vec{\alpha}) \subseteq \kappa_i(p)$  such that for every  $\alpha \in A_{i,mc(X)}^{q,\vec{\alpha}}$ ,  $A(q, \vec{\alpha}) \cap \alpha = a(q, \vec{\alpha}, \alpha)$  define

$$A'_{i,mc(X)} = \Delta_{\vec{\alpha},q} A_{i,mc(X)}^{q,\vec{\alpha}}$$

Let  $p^* \leq^* p'$  be the condition obtained by shrinking to those sets.  $p'$  has the property that whenever  $(*)_{q,X}$  holds for some  $q \in \mathbb{Q}$  and  $X \in Ex(p')$ , there exists sets  $A(q, \vec{\alpha})$  for  $\vec{\alpha} \in X \setminus \{mc(X)\}$  such that for every  $\vec{\alpha} \hat{\wedge} \langle \alpha \rangle \in X(p')$ ,  $A(q, \vec{\alpha}) \cap \alpha = a(q, \vec{\alpha}, \alpha)$ . By density there is such  $p' \in G_2$ .

Work  $V[A]$ , for every  $\vec{\alpha}$  and  $q$ , if  $A(q, \vec{\alpha})$  is defined, let

$$\eta(q, \vec{\alpha}) = \min(A \Delta A(q, \vec{\alpha}))$$

otherwise  $\eta(q, \vec{\alpha}) = 0$ .  $\eta(q, \vec{\alpha})$  is well defined since  $A \notin V[C^*]$  and  $A \in V[C^*]$ . Also let

$$\eta(\vec{\alpha}) = \sup(\eta(q, \vec{\alpha}) \mid q \in \mathbb{Q})$$

If  $\eta(\vec{\alpha}) = \kappa$  then we are done (since  $|\mathbb{Q}| < \kappa$ ). Define a sequence in  $V[A]$ :  $\alpha_0 = \kappa^*$ . Fix  $\xi < \text{otp}(C_G)$  and assume that  $\langle \alpha_i \mid i < \xi \rangle$  is defined. At limit stages take

$$\alpha_\xi = \sup(\alpha_i \mid i < \xi) + 1$$

Assume that  $\xi = \lambda + 1$  and let

$$\alpha_\xi = \sup(\eta(\vec{\alpha}) + 1 \mid \vec{\alpha} \in [\alpha_\lambda]^{<\omega})$$

If at some point we reach  $\kappa$  we are done. If not, let us prove by induction on  $\xi$  that  $C_G(\xi) < \alpha_\xi$  which will indicate that the sequence  $\alpha_\xi$  is unbounded in  $\kappa$ . At limit  $\xi$  we have  $C_G(\xi) = \sup(C_G(\beta) \mid \beta < \xi)$  since the Magidor sequence is a club. By the definition of the sequence  $\alpha_\xi$  and the induction hypothesis,  $\alpha_\xi > C_G(\xi)$ . If  $\xi = \lambda + 1$ , use corollary 2.19 to find  $\vec{\alpha}, \alpha$  and  $q$  such that

$$\langle q, p' \frown \vec{\alpha} \frown \langle \alpha \rangle \rangle \Vdash \check{\alpha} = \check{C}_G(\check{\xi})$$

Fix any  $q' \geq q$ , and split the forcing at  $\alpha$  so that  $\langle q', p' \frown \vec{\alpha}, \alpha \rangle = \langle q', r_1, r_2 \rangle$  where  $r_1 \in \mathbb{M}[\vec{U}] \upharpoonright (k^*, \alpha)$  and  $r_2 \in \mathbb{M}[\vec{U}] \upharpoonright (\alpha, \kappa)$ . Let  $H_1$  be some generic up to  $\alpha$  with  $\langle q, r_1 \rangle \in H_1$  and work in  $V[C^*][H_1]$ , the name  $\check{A}$  has a natural interpretation in  $V[C^*][H_1]$  as a  $\mathbb{M}[\vec{U}] \upharpoonright (\alpha, \kappa)$ -name,  $(\check{A})_{H_1}$ . Use the fact that  $\mathbb{M}[\vec{U}] \upharpoonright \alpha$  is  $\leq^*$ -closed and the prikry condition to find  $r_2 \leq^* r'_2$  and  $X$  such that

$$r'_2 \Vdash_{\mathbb{M}[\vec{U}] \upharpoonright (\alpha, \kappa)} (\check{A})_{G_1} \cap \alpha = X$$

since it is forced that  $\check{A}$  is old,  $X \in V[C^*]$  and therefore we can find  $\langle q'', r'_1 \rangle \geq \langle q', r_1 \rangle$  such that

$$\langle q'', r'_1 \rangle \Vdash \text{"} r'_2 \Vdash \check{A} \cap \alpha = X \text{"} \Rightarrow \langle q'', r'_1, r'_2 \rangle \Vdash \check{A} \cap \alpha = X$$

and  $\vec{\alpha}, \alpha$  such that

$$\langle q', p^{**} \frown \vec{\alpha} \frown \langle \alpha \rangle \rangle \parallel \check{A} \cap \check{\alpha}$$

but then  $\langle r'_1, r'_2 \rangle$  is of the form  $p' \frown \vec{\beta}, \alpha \leq^* p''$  for some  $\vec{\beta}$ . Let  $X$  be the extension type of  $\vec{\beta}, \alpha$ , by definition of  $p'$ ,  $(*)_{q'', X}$  holds. Use density to find a  $q^*$  in the generic of  $\mathbb{Q}$  such that for some  $X$  that decides the  $\xi$ th element of  $C_G$ ,  $(*)_{X, q^*}$  holds. The set  $\{p' \frown \vec{\gamma} \mid \gamma \in X\}$  is a maximal antichain according to proposition 3.5, so let  $\vec{C}, C_G(\xi)$  be the extension of  $p'$  of type  $X$  in  $C_G$ . By the construction of  $q^*$  and  $p^{**}$  we have that

$$\langle q^*, p' \frown \langle \vec{C}, C_G(\xi) \rangle \rangle \Vdash \check{A} \cap C_G(\check{\xi}) = A(q^*, \vec{C}) \cap C_G(\check{\xi})$$

Since  $(\check{A})_G = A$ ,  $A(q^*, \vec{C}) \cap C_G(\xi) = A \cap C_G(\xi)$  (otherwise we would've found compatible conditions forcing contradictory information). This imply that

$$\eta(q^*, \vec{C}) \geq C_G(\xi)$$

By the induction hypothesis  $\alpha_\lambda > C_G(\lambda)$  and  $\vec{C} \subseteq C_G(\lambda)$  thus  $\vec{C} \in [\alpha_\lambda]^{<\omega}$  thus

$$\alpha_\xi > \sup(\eta(\vec{\alpha}) \mid \vec{\alpha} \in [\alpha_\lambda]^{<\omega}) \geq \eta(\vec{C}) \geq \eta(q^*, \vec{C}) \geq C_G(\xi)$$

This proves that  $\langle \alpha_\xi \mid \xi < \text{otp}(C_G) < \kappa \rangle \in V[A]$  is cofinal in  $\kappa$  indicating  $cf^{V[A]}(\kappa) < \kappa$ . ■

Thus we have proven the result for any subset of  $\kappa$ .

**Corollary 4.9** *Let  $A \in V[G]$  be a set of ordinals, such that  $|A| = \kappa$  then there is  $C' \subseteq C_G$  such that  $V[A] = V[C']$ .*

*Proof.* By  $\kappa^+$ -c.c. of  $\mathbb{M}[\vec{U}]$ , there is  $B \in V$ ,  $|B| = k$  such that  $A \subseteq B$ . Fix in  $V$   $\phi : \kappa \rightarrow B$  a bijection and let  $B' = \phi^{-1} \upharpoonright A$ . then  $B' \subseteq \kappa$ . By the theorem for subsets of  $\kappa$  there is  $C' \subseteq C_G$  such that  $V[C'] = V[B'] = V[A]$ . ■

### 4.3 general sets of ordinals

In [1], we gave an explicit formulation of subforcings of  $\mathbb{M}[\vec{U}]$  using the indices of subsequences of  $C_G$ . In the larger framework of this paper, these indices might not be in  $V$ . By example 1.2, subforcing of the Magidor forcing can be an iteration of Magidor type forcing.

**Lemma 4.10** *Let  $A \in V[G]$  be such that  $A \subseteq \kappa^+$ . Then there is  $C^* \subseteq C_G$  closed such that*

1.  $\exists \alpha^* < \kappa^+$  such that  $C^* \in V[A \cap \alpha^*] \subseteq V[A]$ .
2.  $\forall \alpha < \kappa^+$   $A \cap \alpha \in V[C^*]$ .

*Proof.* Work in  $V[G]$ , for every  $\alpha < \kappa^+$  find subsequences  $C_\alpha \subseteq C_G$  such that

$$V[C_\alpha] = V[A \cap \alpha]$$

using corollary 4.9. The function  $\alpha \mapsto C_\alpha$  has range  $P(C_G)$  and domain  $\kappa^+$  which is regular in  $V[G]$ , and since  $o^{\vec{U}}(\kappa) < \kappa$  then  $|P(C_G)| < \kappa^+$ . Therefore there exist  $E \subseteq \kappa^+$  unbounded in  $\kappa^+$  and  $\alpha^* < \kappa^+$  such that for every  $\alpha \in E$ ,  $C_\alpha = C_{\alpha^*}$ . Set  $C^* = C_{\alpha^*}$ . By lemma 4.12 we may assume that  $C^*$  is closed. Note that for every  $\alpha < \kappa$  there is  $\beta \in E$  such that  $\beta > \alpha$  therefore

$$A \cap \alpha = (A \cap \beta) \cap \alpha \in V[A \cap \beta] = V[C^*]$$

■

**Lemma 4.11** *Let  $C^*$  be as in the last lemma. If there is  $\alpha < \kappa$  such that  $A \in V[C_G \cap \alpha][C^*]$  then  $V[A] = V[C^*]$ .*

*Proof.* Consider the quotient forcing  $\mathbb{M}[\vec{U}]/C^* \subseteq \mathbb{M}[\vec{U}]$  completing  $V[C^*]$  to  $V[C^*][G]$ . Then the forcing

$$\mathbb{Q} = (\mathbb{M}[\vec{U}]/C^*) \upharpoonright \alpha$$

completes  $V[C^*]$  to  $V[C^*][C_G \cap \alpha]$  and  $|\mathbb{Q}| < \kappa$ . By the assumption,  $A \in V[C^*][C_G \cap \alpha]$ , and for every  $\alpha < \kappa^+$ ,  $A \cap \alpha \in V[C^*]$ . Let  $\dot{A} \in V[C^*]$  be a  $\mathbb{Q}$ -name for  $A$  and  $q \in G \upharpoonright \alpha$  be any condition such that

$$q \Vdash \forall \alpha < \kappa^+, \dot{A} \cap \alpha \in V[C^*]$$

In  $V[C^*]$ , for every  $\alpha < \kappa^+$  find  $q_\alpha \geq q$  such that  $q_\alpha \Vdash \dot{A} \cap \alpha$ , there is  $q^* \geq q$  and  $E \subseteq \kappa^+$  of cardinality  $\kappa^+$  such that for every  $\alpha \in E$ ,  $q_\alpha = q^*$ . By density, find such  $q^* \in G \upharpoonright \alpha$  in the generic. In  $v[C^*]$ , consider the set

$$B = \{X \subseteq \kappa^+ \mid \exists \alpha q^* \Vdash X = \dot{A} \cap \alpha = X\}$$



Let us argue that  $\cup B = A$ . Let  $X \in B$  then there is  $\alpha < \kappa^+$  such that  $q^* \Vdash X = \underline{A} \cap \alpha$  then  $X = A \cap \alpha \subseteq A$ , thus,  $\cup B \subseteq A$ . Let  $\gamma \in A$ , there is  $\alpha \in E$  such that  $\gamma < \alpha$ , by the definition of  $E$  there is  $X \subseteq \alpha$  such that  $q^* \Vdash \underline{A} \cap \alpha = X$  it must be that  $X = A \cap \alpha$  otherwise would have found compatible conditions forcing contradictory information. but the  $\gamma \in A \cap \alpha = X \subseteq \cup B$ . We conclude that  $A = \cup B \in V[C^*]$ . ■

Eventually we will prove that there is  $\alpha < \kappa$  such that  $A \in V[C_G \cap \alpha][C^*]$  and by the last lemma we will be done.

We would like to change  $C^*$  so that it is closed. We can do that above  $\alpha_0 := \text{otp}(C_G)$ :

**Lemma 4.12**  $V[C_G \cap \alpha_0][Cl(C^*)] = V[C_G \cap \alpha_0][C^*]$ .<sup>4</sup>

*Proof.* Consider  $I(C^*, Cl(C^*)) \subseteq \text{otp}(C_G)$ , by proposition 2.15.5,  $I(C^*, Cl(C^*)) \in V[C_G \cap \alpha_0]$ . Thus  $V[C_G \cap \alpha_0][C^*] = V[C_G \cap \alpha_0][Cl(C^*)]$ . ■

Work in  $V[C_G \cap \alpha_0]$ , since  $C^* \cap \alpha_0 \in V[C_G \cap \alpha_0]$ , we can assume  $\min(C^*) > \alpha_0$ . Since  $I = I(C^*, C_G \setminus \alpha_0) \subseteq \text{otp}(C_G)$ , it follows that  $I \in V[C_G \cap \alpha_0]$ . Let  $N = V[C_G \cap \alpha_0]$ , consider the coherent sequence

$$\vec{W} = \vec{U}^* \upharpoonright (\alpha_0, \kappa] = \langle U^*(\beta, \delta) \mid \delta < o^{\vec{U}}(\beta), \alpha_0 < \delta < \kappa \rangle$$

where  $U^*(\beta, \delta)$  is the ultrafilter generated by  $U(\beta, \delta)$  in  $N$ . Also denote  $G^* = G \upharpoonright (\alpha_0, \kappa)$ .

**Proposition 4.13**  $N[G^*]$  is a  $\mathbb{M}[\vec{W}]$  generic extension of  $N$ .

*Proof.* Let us argue that the Mathias criteria holds. Let  $X \in \cap \vec{W}(\delta)$  where  $\delta \in \text{Lim}(C_{G^*})$ . By definition of  $\vec{W}$ , for every  $i < o^{\vec{W}}(\delta)$ , there is  $X_i \in U(\delta, i)$ , such that  $X_i \subseteq X$ . The choice of  $X_i$ 's is done in  $N$  and the sequence  $\langle X_i \mid i < o^{\vec{W}}(\delta) \rangle$  might not be in  $V$ . Fortunately,  $\mathbb{M}[\vec{U}] \upharpoonright \alpha_0$  is  $\alpha_0^+$ -c.c. and  $\alpha_0^+ < \delta$ , so in  $V$ , we can find sets

$$E_i := \{X_{i,j} \mid j \leq \alpha_0\} \subseteq U(\delta, i)$$

such that  $X_i \in E_i$  By  $\delta$ -completeness of  $U(\delta, i)$ , the set  $X_i^* := \cap E_i \in U(\delta, i)$  and  $X_i^* \subseteq X_i \subseteq X$ . Note that  $X^* := \cup_{i < o^{\vec{W}}(\delta)} X_i^* \in \cap \vec{U}(\delta)$  and therefore by genericity of  $G$  there is  $\xi < \delta$  such that

$$C_G \cap (\xi, \delta) \subseteq X^* \subseteq X$$

Hence  $C_{G^*} \cap (\max(\alpha_0, \xi), \delta) \subseteq X$ . ■

<sup>4</sup>For a set of ordinals  $X$ ,  $Cl(X) = X \cup \text{Lim}(X) \{ \xi \mid \xi \in X \vee \sup(X \cap \xi) = \xi \}$

Note that  $o^{\vec{W}}(\kappa) < \min(\nu \mid o^{\vec{W}}(\nu) = 1)$  and  $I(C^*, C) \in N$ , which is the situation dealt with in [1]. We state here the main results and definitions and refer the reader to [1] for the proofs:

We will define a Magidor type forcing that produces the sequence  $C^*$  above  $N$ . Thinking of  $C^*$  as a function with domain  $I$ , we would like to have a function similar to  $\gamma(t_i, p)$  which tells us the coordinate we unveil. Given any sequence of pairs,  $p = \langle t_1, \dots, t_n, t_{n+1} \rangle$ , define<sup>5</sup>

$$I(t_1, p) = \min(j \in I \mid o_L(j) = o^{\vec{W}}(t_i))$$

then recursively,

$$I(t_i, p) = \min(j \in I \setminus I(t_{i-1}, p) + 1 \mid o_L(j) = o^{\vec{W}}(t_i))$$

It is tacitly assumed that  $\{j \in I \setminus I(t_{i-1}, p) + 1 \mid o_L(j) = o^{\vec{W}}(t_i)\} \neq \emptyset$ . If at some point of the inductive definition we obtain  $\emptyset$ , leave  $I(t_i, p)$  undefined, we will ignore such conditions  $p$  anyway.

**Definition 4.14** The conditions of  $\mathbb{M}_I[\vec{W}]$  are of the form  $p = \langle t_1, \dots, t_{n+1} \rangle$  such that:

1.  $I$  is defined on  $p$ .
2.  $\kappa(t_1) < \dots < \kappa(t_n) < \kappa(t_{n+1}) = \kappa$
3. For  $i = 1, \dots, n + 1$ 
  - (a) If  $I(t_i, p) \in \text{Succ}(I)$ 
    - i.  $t_i = \kappa(t_i)$
    - ii.  $I(t_{i-1}, p)$  is the predecessor of  $I(t_i, p)$  in  $I$
    - iii.  $I(t_{i-1}, p) + \sum_{i=1}^m \omega^{\gamma_i} = I(t_i, p)$  is the Cantor normal form difference, then

$$Y(\gamma_1) \times \dots \times Y(\gamma_{m-1}) \bigcap [(\kappa(t_{i-1}), \kappa(t_i))]^{<\omega} \neq \emptyset$$

$$\text{where } Y(\gamma) = \{\alpha < \kappa \mid o^{\vec{U}}(\alpha) = \gamma\}$$

- (b) If  $I(t_i, p) \in \text{Lim}(I)$ 
  - i.  $t_i = \langle \kappa(t_i), B(t_i) \rangle$  ,  $B(t_i) \in \bigcap_{\xi < o^{\vec{W}}(t_i)} U(t_i, \xi)$
  - ii.  $I(t_{i-1}, p) + \omega^{o^{\vec{W}}(t_i)} = I(t_i, p)$ . (i.e. there are no elements of higher order than  $o^{\vec{W}}(t_i)$  to add in the interval  $(\kappa(t_{i-1}), \kappa(t_i))$ ).
  - iii.  $\min(B(t_i)) > \kappa(t_{i-1})$

---

<sup>5</sup>For an ordinal  $\alpha$ , denote by  $o_L(\alpha) = \gamma$  if the cantor normal form of  $\alpha = \sum_{i=1}^n \omega^{\gamma_i} m_i$  and  $\gamma = \gamma_n$ .

**Definition 4.15** Let  $p = \langle t_1, \dots, t_n, t_{n+1} \rangle, q = \langle s_1, \dots, s_m, s_{m+1} \rangle \in \mathbb{M}_I[\vec{W}]$  be two conditions. Define  $\langle t_1, \dots, t_n, t_{n+1} \rangle \leq_I \langle s_1, \dots, s_m, s_{m+1} \rangle$  iff  $\exists 1 \leq i_1 < \dots < i_n \leq m < i_{n+1} = m + 1$  such that

1. For every  $1 \leq r \leq n$   $\kappa(t_r) = \kappa(s_{i_r})$  and  $B(s_{i_r}) \subseteq B(t_r)$
2. For  $i_k < j < i_{k+1}$ 
  - (a)  $\kappa(s_j) \in B(t_{k+1})$
  - (b) If  $I(s_j, q) \in \text{Succ}(I)$  then

$$[(\kappa(s_{j-1}), \kappa(s_j))]^{<\omega} \cap B(t_{k+1}, \gamma_1) \times \dots \times B(t_{k+1}, \gamma_{k-1}) \neq \emptyset$$

where  $I(s_{i-1}, q) + \sum_{i=1}^k \omega^{\gamma_i} = I(s_i, q)$  (Cantor normal form difference)

- (c) If  $I(s_j, q) \in \text{Lim}(I)$  then  $B(s_j) \subseteq B(t_{k+1}) \cap \kappa(s_j)$

**Lemma 4.16** Let  $G_I \subseteq \mathbb{M}_I[\vec{W}]$  be  $N$ -generic, define

$$C_I = \bigcup \{ \{ \kappa(t_i) \mid i = 1, \dots, n \} \mid \langle t_1, \dots, t_n, t_{n+1} \rangle \in G_I \}$$

Then  $N[G_I] = N[C_I]$

**Lemma 4.17** There is a projection  $\pi : \mathbb{M}[\vec{W}] \rightarrow \mathbb{M}_I[\vec{W}]$ .

**Corollary 4.18** Let  $C \subseteq C_G$  be closed, Assume that  $I = I(C, C_G) \in N$  and consider  $\pi_I, \mathbb{M}_I[\vec{W}]$ , then  $N[G_I] = N[C]$  where  $G_I = \pi''G \subseteq \mathbb{M}_I[\vec{W}]$ .

**Lemma 4.19** Let  $G^* \subseteq \mathbb{M}[\vec{W}]$  be  $N$ -generic filter. Then the forcing  $\mathbb{M}[\vec{W}]/G_I$  satisfies  $\kappa^+$ -c.c. in  $N[G^*]$ .

**Theorem 4.20**  $A \in N[C^*]$ .

*Proof.* Let  $I = I(\text{Cl}(C^*), C_G)$ . Then

$$I, \mathbb{M}_I[\vec{W}], \pi_I \in N$$

Let  $G_I$  be the generic induced for  $\mathbb{M}_I[\vec{W}]$  from  $G$ , it follows that  $\mathbb{M}[\vec{W}]/G_I$  is defined in  $N$ . Toward a contradiction, assume that  $A \notin N[C^*]$ . By lemma 4.12,  $N[C^*] = N[\text{Cl}(C^*)]$ , hence  $A \notin N[\text{Cl}(C^*)]$ . Let  $\dot{A}$  be a name for  $A$  in  $\mathbb{M}[\vec{W}]/G_I$  where  $\pi_I''G = G_I$ . Work in  $N[G_I]$ , by corollary 4.18,  $N[G_I] = N[\text{Cl}(C^*)]$ . For every  $\alpha < \kappa^+$  define

$$X_\alpha = \{ B \subseteq \alpha \mid \|\dot{A} \cap \alpha = B\| \neq 0 \}$$

where the truth value is taken in  $RO(\mathbb{M}[\vec{W}]/G_I)$ - the complete boolean algebra of regular open sets for  $\mathbb{M}[\vec{W}]/G_I$ . Different  $B$ 's in  $X_\alpha$  yield incompatible conditions of  $\mathbb{M}[\vec{W}]/G_I$  and we have  $\kappa^+$ -c.c by lemma 4.19 thus

$$\forall \alpha < \kappa^+ |X_\alpha| \leq \kappa$$

For every  $B \in X_\alpha$  define

$$b(B) = \|\underline{A} \cap \alpha = B\|$$

Assume that  $B' \in X_\beta$  and  $\alpha \leq \beta$  then  $B = B' \cap \alpha \in X_\alpha$ . Moreover  $b(B') \leq_B b(B)$  (we Switch to boolean algebra notation  $p \leq_B q$  means  $p$  extends  $q$ ). Note that for such  $B, B'$  if  $b(B') <_B b(B)$ , then there is

$$0 < p \leq_B (b(B) \setminus b(B')) \leq_B b(B)$$

Therefore

$$p \cap b(B') \leq_B (b(B) \setminus b(B')) \cap b(B') = 0$$

meaning  $p \perp b(B')$ . Work in  $N[G^*]$ , denote  $A_\alpha = A \cap \alpha$ . Recall that

$$\forall \alpha < \kappa^+ A_\alpha \in N[Cl(C^*)] = N[G_I]$$

thus  $A_\alpha \in X_\alpha$ . Consider the  $\leq_B$ -non-increasing sequence  $\langle b(A_\alpha) \mid \alpha < \kappa^+ \rangle$ . If there exists some  $\gamma^* < \kappa^+$  on which the sequence stabilizes, define

$$A' = \bigcup \{B \subseteq \kappa^+ \mid \exists \alpha b(A_{\gamma^*}) \Vdash \underline{A} \cap \alpha = B\} \in N[Cl(C^*)]$$

Claim that  $A' = A$ , notice that if  $B, B', \alpha, \alpha'$  are such that

$$b(A_{\gamma^*}) \Vdash \underline{A} \cap \alpha = B, \quad b(A_{\gamma^*}) \Vdash \underline{A} \cap \alpha' = B'$$

WLOG  $\alpha \leq \alpha'$  then we must have  $B' \cap \alpha = B$  otherwise, the non zero condition  $b(A_{\gamma^*})$  would force contradictory information. Consequently, for every  $\xi < \kappa^+$  there exists  $\xi < \gamma < \kappa^+$  such that

$$b(A_{\gamma^*}) \Vdash \underline{A} \cap \gamma = A \cap \gamma$$

hence  $A' \cap \gamma = A \cap \gamma$ . This is a contradiction to  $A \notin N[Cl(C^*)]$ . We conclude that the sequence  $\langle b(A_\alpha) \mid \alpha < \kappa^+ \rangle$  does not stabilize. By regularity of  $\kappa^+$ , there exists a subsequence

$$\langle b(A_{i_\alpha}) \mid \alpha < \kappa^+ \rangle$$

which is strictly decreasing. Use the observation we made to find  $p_\alpha \leq_B b(A_{i_\alpha})$  such that  $p_\alpha \perp b(A_{i_{\alpha+1}})$ . Since  $b(A_{i_\alpha})$  are decreasing, for any  $\beta > \alpha$   $p_\alpha \perp b(A_{i_\beta})$  thus  $p_\alpha \perp p_\beta$ . This shows that  $\langle p_\alpha \mid \alpha < \kappa^+ \rangle \in N[G^*]$  is an antichain of size  $\kappa^+$  which contradicts Lemma 4.19. ■

**Sets of ordinals above  $\kappa^+$ :** By induction on  $\sup(A) = \lambda > \kappa^+$ . It suffices to assume that  $\lambda$  is a cardinal.

case1:  $cf^{V[G]}(\lambda) > \kappa$ , the arguments for  $\kappa^+$  works.

case2:  $cf^{V[G]}(\lambda) \leq \kappa$  and since  $\kappa$  is singular in  $V[G]$  then  $cf^{V[G]}(\lambda) < \kappa$ . Since  $\mathbb{M}[\vec{U}]$  satisfies  $\kappa^+ - c.c.$  we must have that  $\nu := cf^V(\lambda) \leq \kappa$ . Fix

$$\langle \gamma_i \mid i < \nu \rangle \in V$$

cofinal in  $\lambda$ . Work in  $V[A]$ , for every  $i < \nu$  find  $d_i \subseteq \kappa$  such that  $V[d_i] = V[A \cap \gamma_i]$ . By induction, there exists  $C^* \subseteq C_G$  such that  $V[\langle d_i \mid i < \nu \rangle] = V[C^*]$ , therefore

1.  $\forall i < \nu \ A \cap \gamma_i \in V[C^*]$
2.  $C^* \in V[A]$

Work in  $V[C^*]$ , for  $i < \nu$  fix

$$\langle X_{i,\delta} \mid \delta < 2^{\gamma_i} \rangle = P(\gamma_i)$$

then we can code  $A \cap \gamma_i$  by some  $\delta_i$  such that  $X_{i,\delta_i} = A \cap \gamma_i$ . By 4.9, we can find  $C'' \subseteq C_G$  such that

$$V[C''] = V[\langle \delta_i \mid i < \nu \rangle]$$

Finally we can find  $C' \subseteq C_G$  such that  $V[C'] = V[C^*, C'']$ , it follows that  $V[A] = V[C']$ . ■

## 5 Classification of Intermediate Models

Let  $G \subseteq \mathbb{M}[\vec{U}]$  be a  $V$ -generic filter. Assume that for every  $\alpha \leq \kappa$ ,  $o^{\vec{U}}(\alpha) < \alpha$ . Let  $M$  be a transitive  $ZFC$  model such that  $V \subseteq M \subseteq V[G]$ . We would like to prove it is a generic extension of a "Magidor-like" forcing which we will define shortly. First, by [4], there is a set  $A \in V[G]$  such that  $V[A] = M$ . By the results so far, there is  $C' \subseteq C_G$  such that  $M = V[A] = V[C']$ .

**Proposition 5.1** *Let  $C, D \subseteq C_G$ , then there is  $E$ , such that  $C \cup D \subseteq E \subseteq C_G \cap \text{sup}(C \cup D)$ . such that  $V[C, D] = V[E]$ .*

*Proof.* By induction on  $\text{sup}(C \cup D)$ . If  $\text{sup}(C \cup D) \leq C_G(\omega)$  then  $|C|, |D| \leq \aleph_0$ , we can take  $E = C \cup D$ , and

$$I(C, C \cup D), I(D, C \cup D) \subseteq \omega_1$$

and there fore in  $V$ . In the general case, consider  $I(C, C \cup D), I(D, C \cup D)$ . Since

$$o^{\vec{U}}(\text{sup}(C \cup D)) < \text{sup}(C \cup D)$$

it follows that

$$\text{otp}(C \cup D) \leq \text{otp}(C_G \cap \text{sup}(C \cup D)) < \text{sup}(C \cup D)$$

Denote by  $\lambda = \text{otp}(C_G \cap \text{sup}(C \cup D))$ . By theorem 1.1, there is  $F \subseteq C_G \cap \lambda$ , such that

$$V[I(C, C \cup D), I(D, C \cup D)] = V[F]$$

We apply the induction hypothesis to  $F, (C \cup D) \cap \lambda$  and find  $E_* \subseteq \lambda$  such that

$$V[E_*] = V[F, (C \cup D) \cap \lambda]$$

Let  $E = E_* \cup (D \cup C) \setminus \lambda$ , then  $E \in V[C, D]$  as the union of two sets in  $V[C, D]$ . In  $V[E]$  we can find

$$E_* = E \cap \lambda \text{ and } (D \cup C) \setminus \lambda = E \setminus \lambda$$

Thus  $F, (C \cup D) \cap \lambda \in V[E]$  and therefore also

$$D \cup C, I(C, C \cup D), I(D, C \cup D) \in V[E]$$

It follows that  $C, D \in V[E]$ . ■

**Corollary 5.2** *For every  $C' \subseteq C_G$  there is  $C^* \subseteq C_G \cap \text{sup}(C')$ , such that  $C^*$  is closed and  $V[C'] = V[C^*]$ .*

*Proof.* Again we go by induction on  $\text{sup}(C')$ . If  $\text{sup}(C') = C_G(\omega)$  then  $C^* = C'$  is already closed. For general  $C'$ , consider  $C' \subseteq Cl(C')^6$ , then  $I(C', Cl(C'))$  is bounded by some  $\nu < \text{sup}(C')$ . So there is  $D \subseteq C_G \cap \nu$  such that  $V[D] = V[I(C', Cl(C'))]$ . By the last proposition, we can find  $E$  such that

$$D \cup Cl(C') \cap \nu \subseteq E \subseteq C_G \cap \nu$$

and  $V[E] = V[D, Cl(C')]$ . By the induction hypothesis there is a closed  $E_*$ , such that  $E \subseteq E_* \subseteq C_G \cap \nu$  such that  $V[E] = V[E_*]$ . Finally, let

$$C^* = E_* \cup \{\text{sup}(E_*)\} \cup Cl(C') \setminus \nu$$

Then  $C^* \in V[C']$ , and also  $Cl(C')$  and  $I(C', Cl(C'))$  can be constructed in  $V[C^*]$  so  $C' \in V[C^*]$ . Obviously,  $C^*$  is closed, hence,  $C^*$  is as desired. ■

**Definition 5.3** Let  $\lambda < \kappa$  be any ordinal. A function  $f : \lambda \rightarrow \kappa$  is said to be suitable for  $\kappa$ , if for every limit  $\delta^7$

$$\limsup_{\alpha < \delta} f(\alpha) + 1 \leq f(\delta)$$

<sup>6</sup>For  $A \subseteq On$ ,  $Cl(A) = \{\alpha \mid \text{sup}(A \cap \alpha) = \alpha\} \cup A$

<sup>7</sup>For a sequence of ordinals  $\langle x_i \mid i < \rho \rangle$ , define  $\limsup_{i < \rho} x_i = \min(\{\text{sup}_{\alpha < i < \rho} x_i \mid \alpha < \rho\})$

**Proposition 5.4** *If  $C^* \subseteq C_G$  is a closed subset, let  $\lambda + 1 = \text{otp}(C^* \cup \{\sup(C^*)\})$ , and  $\langle c_i^* \mid i \leq \lambda \rangle$  be the increasing continuous enumeration of  $C^*$ , then the function  $f : \lambda + 1 \rightarrow \kappa$ , defined by  $f(i) = o^{\vec{U}}(c_i^*)$  is suitable.*

*Proof.* Let  $\delta < \lambda + 1$  be limit, then  $c_\delta^* \in \text{Lim}(C_G \cup \{\kappa\})$  and therefore, there is  $\xi < c_\delta^*$  such that for every  $x \in C_G \cap (\xi, c_\delta^*)$ ,  $o^{\vec{U}}(x) < o^{\vec{U}}(c_\delta^*)$ . Let  $\rho < \delta$  be such that  $\xi < c_i^* < c_\delta^*$  for every  $\rho < i < \delta$ , then  $\sup_{\rho < i < \delta} o^{\vec{U}}(c_i^*) + 1 \leq o^{\vec{U}}(c_\delta^*)$ . Thus also

$$\min(\{\sup_{\alpha < i < \delta} o^{\vec{U}}(c_i^*) + 1 \mid \alpha < \delta\}) \leq o^{\vec{U}}(c_\delta^*)$$

■

We would like to define  $\mathbb{M}_f[\vec{U}]$  for some suitable  $f$ , to be the forcing which construct a continuous sequence with orders as prescribed by  $f$ .

**Definition 5.5** Let  $f : \lambda + 1 \rightarrow \kappa$  be suitable for  $\kappa$ , define the forcing  $\mathbb{M}_f[\vec{U}]$ , the conditions are functions  $F$ , such that:

1.  $F$  is finite partial function, with  $\text{Dom}(F) \subseteq \lambda + 1$ . such that  $\lambda \in \text{Dom}(F)$ .
2. For every  $i \in \text{Dom}(F) \cap \text{Lim}(\lambda + 1)$ :
  - (a)  $F(i) = \langle \kappa_i^{(F)}, A_i^{(F)} \rangle$ .
  - (b)  $o^{\vec{U}}(\kappa_i^{(F)}) = f(i)$ .
  - (c)  $A_i^{(F)} \in \cap \vec{U}(\kappa_i)$ .
  - (d) Let  $j = \max(\text{Dom}(F) \cap i)$  or  $j = -1$  if  $i = \min(\text{Dom}(F))$ , then for every  $j < k < i$ ,  $f(k) < f(i)$ .
3. For every  $i \in \text{Dom}(F) \setminus \text{Lim}(\lambda)$ 
  - (a)  $F(i) = \kappa_i^{(F)}$ .
  - (b)  $o^{\vec{U}}(\kappa_i^{(F)}) = f(i)$ .
  - (c)  $i - 1 \in \text{Dom}(F)$ .
4. The map  $i \mapsto \kappa_i^{(F)}$  is increasing.

**Definition 5.6** The order of  $\mathbb{M}_f[\vec{U}]$  is defined as follows  $F \leq G$  iff

1.  $\text{Dom}(F) \subseteq \text{Dom}(G)$ .
2. For every  $i \in \text{Dom}(G)$ , let  $j = \min(\text{Dom}(F) \setminus i)$ .

- (a) If  $i \in \text{Dom}(F)$ , then  $\kappa_i^{(F)} = \kappa_i^{(G)}$ , and  $A_i^{(G)} \subseteq A_i^{(F)}$ .
- (b) If  $i \notin \text{Dom}(F)$ , then  $\kappa_i^{(G)} \in A_j^{(F)}$ , and  $A_i^{(G)} \subseteq A_j^{(F)}$ .

A straight forward verification shows that

**Proposition 5.7**  $\mathbb{M}_f[\vec{U}]$  is a forcing notion.

Note that if  $f : \kappa + 1 \rightarrow \kappa$ , defined by  $f(\alpha) = o_L(\alpha)$  (see footnote 5). Then  $\mathbb{M}_f[\vec{U}]$  is isomorphic to  $\mathbb{M}[\vec{U}]$ .<sup>8</sup>

Similar to  $\mathbb{M}[\vec{U}]$ , we have a decomposition  $A_i^{(F)} = \biguplus_{j < o^{\vec{U}}(\kappa_i^{(F)})} A_{i,j}^{(F)}$ . Also we have the notation  $F \hat{\ } \vec{\alpha}$  which we generalize from  $\mathbb{M}[\vec{U}]$ .

**Proposition 5.8** Let  $H \subseteq \mathbb{M}_f[\vec{U}]$  be a  $V$ -generic filter. Let

$$C_H^* = \{\kappa_i^{(F)} \mid i \in \text{Dom}(F), F \in H\}$$

Then

1.  $\text{otp}(C_H^*) = \lambda + 1$  and  $C_H^*$  is continuous.
2. For every  $i < \lambda$ ,  $o^{\vec{U}}(C_H^*(i)) = f(i)$ .
3.  $V[C_H^*] = V[H]$ .
4. For every  $\delta \in \text{Lim}(\lambda)$ , and every  $A \in \cap \vec{U}(\delta)$ , there is  $\xi < \delta$  such that  $C^* \cap (\xi, \delta) \subseteq A$ .
5. For every  $\rho < \lambda$ ,  $H \upharpoonright \rho := \{F \upharpoonright \rho \mid F \in H\}$  is  $V$ -generic for  $\mathbb{M}_{f \upharpoonright \rho}[\vec{U}]$ .

*Proof.* To see (1), let us argue by induction on  $i < \lambda$ . The set

$$E_i = \{F \in \mathbb{M}_f[\vec{U}] \mid i \in \text{Dom}(F)\}$$

is dense. Let  $F \in \mathbb{M}_f[\vec{U}]$ , if  $i \in \text{Dom}(F)$  we are done. Otherwise, let

$$j_M := \min(\text{Dom}(F) \setminus i) > i > \max(\text{Dom}(F) \cap i) =: j_m$$

By condition 3,  $j_M \in \text{Lim}(\lambda + 1)$ . Split into two cases. First, if  $i$  is successor, then we can find  $F \leq G$  such that  $i - 1 \in \text{Dom}(G)$  by induction hypothesis. by condition 2.d and 2.b,

---

<sup>8</sup>Compare with proposition 2.19



$f(i) < o^{\vec{U}}(\kappa_{j_M}^{(F)})$ . By condition 2.c, we can find  $\alpha \in A_{j_M}^{(F)}$  such that  $\alpha > \kappa_{j_M}^i$ ,  $o^{\vec{U}}(\alpha) = f(i)$  and  $A_{j_M}^{(F)} \cap \alpha \in \cap \vec{U}(\alpha)$ . Then

$$G' = G \cup \{\langle i, \langle \alpha, A_{j_M}^{(F)} \cap \alpha \rangle \rangle\}$$

is as wanted. If  $i$  is limit, since  $f$  is suitable, there is  $i' < i$ , such that for every  $i' < k < i$ ,  $f(k) < f(i)$ . Again by induction, find  $F \leq G$  such that  $i' \in \text{Dom}(G)$ . Then the desired  $G'$  is construct as in successor step. Denote by  $F_H$ , the function with domain  $\lambda + 1$ , and  $F_H(i) = \gamma$ , be the unique  $\gamma$  such that for some  $F \in H$ ,  $i \in \text{Dom}(F)$  and  $\kappa_i^{(F)} = \gamma$ . Then it is clear that  $F_H$  is order preserving and 1 – 1 from  $\lambda$  To  $C_H^*$ . By the same argument as for  $\mathbb{M}[\vec{U}]$ , we conclude also that  $F_H$  is continuous.

For (2), note that  $C_H^*(i) = F_H(i)$ , thus there is a condition  $F \in H$  such that  $F(i) = C_H^*(i)$ . Hence  $o^{\vec{U}}(C_H^*(i)) = f(i)$  by the definition of condition in  $\mathbb{M}_f[\vec{U}]$ .

For (3), as for  $\mathbb{M}[\vec{U}]$ , we note that  $H$  can be defined in terms of  $C_H^*$  as the filter  $H_{C_H^*}$  of all the conditions  $F \in \mathbb{M}_f[\vec{U}]$  such that for every  $i \leq \lambda$ ,

1. If  $i \in \text{Dom}(F)$ , then  $\kappa_i^{(F)} = C_H^*(i)$ .
2. If  $i \notin \text{Dom}(F)$ , then  $C_H^*(i) \in \bigcup_{i \in \text{Dom}(F)} A_i^{(F)}$ .

(4) is again the standard density argument given for  $\mathbb{M}[\vec{U}]$ .

As for (5), note that the restriction function  $\phi : \mathbb{M}_f[\vec{U}] \rightarrow \mathbb{M}_{f \upharpoonright \rho}[\vec{U}]$  is a projection of forcings which suffices o conclude (5). ■

The following theorem is a Mathias criteria for  $\mathbb{M}_f[\vec{U}]$ .

**Theorem 5.9** *Let  $f : \lambda \rightarrow \kappa$  be suitable, and let  $C \subseteq \kappa$  be such that:*

1.  $\text{otp}(C) = \lambda$  and  $C$  is continuous.
2. For every  $i < \lambda$ ,  $o^{\vec{U}}(C_i) = f(i)$ .
3. For every  $\delta \in \text{Lim}(\lambda)$ , and every  $A \in \cap \vec{U}(C_\delta)$ , there is  $\xi < \delta$  such that  $C \cap (\xi, \delta) \subseteq A$ .

Then There is a generic  $H$  for  $\mathbb{M}_f[\vec{U}]$  such that  $C_H^* = C$ .

*Proof.*

Define  $H_C$  to consist of all the conditions  $\langle F, A \rangle$  such that for every  $i \in \text{Dom}(F)$ :

1.  $F(i) = (C)_i$ .
2.  $C \setminus \{\kappa_i^{(F)} \mid i \in \text{Dom}(F)\} \subseteq \bigcup_{i \in \text{Dom}(F)} A_i^{(F)}$ .

We prove by induction on  $\text{sup}(C) = \kappa$  that  $H_C$  is  $V$ -generic. Assume for every  $\rho < \kappa$  and any suitable function  $g : \lambda \rightarrow \rho$ , every  $C'$  satisfying (1) – (3) the definition of  $H_{C'}$  is generic. Let  $f, C$  as in the theorem. For every  $\delta < \kappa$ , by definition,  $H_C \upharpoonright \delta = H_{C \upharpoonright \delta}$ . Hence by the induction hypothesis  $H_C \upharpoonright \delta$  is generic. Obviously condition (1) insures that  $C_{H_C}^* = C$ . Also it is a straight forward verification that  $H_C$  is a filter. Let  $D$  be a dense open subset of  $\mathbb{M}_f[\vec{U}]$ .

**Claim 1** For every  $F \in \mathbb{M}_f[\vec{U}]$ , there is  $F \leq G_F$  such that

1.  $\max(\text{Dom}(F) \cap \lambda) = \max(\text{Dom}(G_F) \cap \lambda)$ .
2. There is are  $i_1^{(F)} < \dots < i_k^{(F)}$  such that every  $\langle \alpha_1, \dots, \alpha_k \rangle \in \prod_{i=1}^k A_{\lambda, i}^{(F)}$ ,  $G_F \widehat{\langle \alpha_1, \dots, \alpha_n \rangle} \in D$ .

*Proof.* For every  $i_1 < \dots < i_k < o^{\vec{U}}(\kappa)$  and every  $F \leq G$  such that

$$\max(\text{Dom}(F) \cap \lambda) = \max(\text{Dom}(G) \cap \lambda \text{ and } G(\lambda) = F(\lambda))$$

consider the set

$$B = \{\vec{\alpha} \in \prod_{j=1}^k A_{\lambda, i_j}^{(F)} \mid \exists R. G \widehat{\vec{\alpha}} \leq^* R \in D\}$$

Then

$$B \in \prod_{j=1}^k U(\kappa, i_j) \quad \vee \quad \prod_{j=1}^k A_{\lambda, i_j}^{(F)} \setminus B \in \prod_{j=1}^k U(\kappa, i_j)$$

Denote this set by  $B'$ . Find  $B_{i_j} \in U(\kappa, i_j)$  such that  $\prod_{j=1}^k B_{i_j} \subseteq B'$ . Let  $A_{G, i_1, \dots, i_n}^*$  be the set obtained by shrinking  $A_{\lambda, i_j}^{(F)}$  to  $B_{i_j}$ . Since  $o^{\vec{U}}(\kappa) < \kappa$  the possibilities for  $G$  and  $i_1, \dots, i_n$  is less than  $\kappa$ . So by  $\kappa$ -completeness

$$A^* = \bigcap_{G, i_1, \dots, i_n} A_{G, i_1, \dots, i_n}^* \in \cap \vec{U}(\kappa)$$

Let  $F \leq^* F^*$  be the condition obtained by shrinking  $A_{\lambda}^{(F)}$  to  $A^*$ . By density, there is  $G \geq F$  such that  $G \in D$ . So there is  $\vec{\alpha} \in [A^*]^{<\omega}$  such that

$$(G \upharpoonright \max(\text{Dom}(F) \cap \lambda) \cup \{\langle \lambda, \langle \kappa, A^* \rangle \rangle \widehat{\vec{\alpha}} \leq^* G$$

Hence for every  $\vec{\beta}$  from the measures of  $\vec{\alpha}$ , there is

$$G_{\vec{\beta}} \geq^* (G \upharpoonright \max(\text{Dom}(F) \cap \lambda) \cup \{(\lambda, \langle \kappa, A^* \rangle)\}) \wedge \vec{\beta}$$

in  $D$ . Amalgamate all the  $G_{\vec{\beta}}$ 's to a single  $G^*$ . Then  $G^*$  is as wanted. ■

For every  $F$ , pick  $G_F$  and  $A_F$ . Let  $A^* = \Delta_F A_F$ . There is  $\xi < \kappa$  such that  $C \cap (\xi, \kappa) \subseteq A^*$ . Let  $F$  be a function in  $H_C$  such that for some  $i \in \text{Dom}(F)$ ,  $F(i) > \xi$ . To see that there is such a condition, pick any  $\delta \in C \setminus \xi$ . Use the induction hypothesis, and find  $F \in X_C$  such that  $F \upharpoonright \delta \in H_C \upharpoonright \delta$ .

By the claim, The set

$$E = \left\{ F \in \mathbb{M}_{f \upharpoonright \xi}[\vec{U}] \mid \exists i_1 < \dots < i_k. \forall \vec{\alpha} \in \prod_{j=1}^k A_{i_j}^*. G_F \widehat{C} \vec{\alpha} \in D \right\}$$

is dense. Find  $G^* \in H_C \upharpoonright \xi \cap E$ . We can find in the upper part  $c_1 < c_2, \dots < c_n \in C \cap A^*$  such that  $c_j \in A_{i_j}^*$ . Thus

$$(G^* \cup \{(\lambda, \langle \kappa, A^* \rangle)\}) \wedge \langle c_1, \dots, c_n \rangle \in H_C \cap D$$

And  $H_C$  is generic.

**Theorem 5.10** *Let  $G \subseteq \mathbb{M}[\vec{U}]$  be generic and let  $C^* \subseteq C_G$  be any closed subset. Let  $f$  be the suitable function derived from  $C^*$ . If  $f \in V$ , then there is a generic  $H$  for  $\mathbb{M}_f[\vec{U}]$  such that  $C_H^* = C^*$ .*

*Proof.* since  $C_G$  satisfy the Mathias criteria, also does  $C^*$ . ■

We will now prove that any transitive  $ZFC$  intermediate model  $V \subseteq M \subseteq V[G]$  is a generic extension of a finite iteration of the form

$$\mathbb{M}_{f_1}[\vec{U}] * \mathbb{M}_{f_2}[\vec{U}] \dots * \mathbb{M}_{f_n}[\vec{U}]$$

We start with  $M = V[C']$ , then find a closed  $C^*$  such that  $V[C'] = V[C^*]$ . Let  $\lambda_0 = \kappa$ , recursively define  $\lambda_{i+1} = \text{otp}(C_G \cap \lambda_i) < \lambda_i$ . After finitely many steps we reach  $\lambda_n \leq C_G(\omega)$ , denote  $\kappa_i = \lambda_{n-i}$ . Consider

$$\langle o^{\vec{U}}(x) \mid x \in C^* \cap (\kappa_{n-1}, \kappa_n) \rangle$$

This is added by a generic  $E \subseteq C_G \cap \kappa_{n-1}$ . Find a closed  $C_{n-1}^* \in V[C^*]$  such that  $V[C_{n-1}^*] = V[E, C^* \cap \kappa_{n-1}]$ . Now consider

$$\langle o^{\vec{U}}(x) \mid x \in C_{n-1}^* \cap (\kappa_{n-2}, \kappa_{n-1}) \rangle$$

There is a closed generic  $C_{n-2}^* \in V[C_{n-1}^*]$  such that

$$V[C_{n-2}^*] = V[C_{n-1}^*, \langle o^{\vec{U}}(x) \mid x \in C_{n-1}^* \cap (\kappa_{n-2}, \kappa_{n-1}) \rangle]$$

In a similar fashion we find after finitely many steps,  $\langle o^{\vec{U}}(x) \mid x \in C_0^* \rangle \in V$ . Define

$$C_{fin}^* = C_0^* \cup (C_1^* \setminus \kappa_0) \cup (C_2^* \setminus \kappa_1) \dots (C_{n-1}^* \setminus \kappa_{n-2})$$

Then  $C_{fin}^*$  is a closed, and have the property that for every  $i \leq n$ ,

$$\langle o^{\vec{U}}(x) \mid x \in C_{fin}^* \cap [\kappa_{i-1}, \kappa_i) \rangle \in V[C_{fin}^* \cap \kappa_{i-1}]$$

Also  $V[C_{fin}^*] = V[C^*] = M$ .

**Theorem 5.11** *Let  $f_i$  be the derived suitable function from  $o^{\vec{U}''}[C_{fin}^* \cap (\kappa_{i-1}, \kappa_i)]$ . Then:*

1.  $f_i \in V[C_{fin}^* \cap \kappa_{i-1}]$ . Therefore  $\mathbb{M}_{f_i}[\vec{U}]$  is defined in  $V[C_{fin}^* \cap \kappa_{i-1}]$

2. There is a  $V[C_{fin}^* \cap \kappa_{i-1}]$ -generic filter  $H \subseteq \mathbb{M}_{f_i}[\vec{U}]$  such that

$$V[C_{fin}^* \cap \kappa_{i-1}][H] = V[C_{fin}^* \cap \kappa_{i-1}][C_{fin}^* \cap (\kappa_{i-1}, \kappa_i)] = V[C_{fin}^* \cap \kappa_i]$$

3. Let  $\tilde{f}_i$  be a  $(\mathbb{M}_{f_1}[\vec{U}] * \mathbb{M}_{\tilde{f}_2}[\vec{U}] \dots * \mathbb{M}_{\tilde{f}_{i-1}}[\vec{U}])$ -name for  $f_i$ , then there is a  $V$ -generic  $H^*$  for  $\mathbb{M}_{f_1}[\vec{U}] * \mathbb{M}_{\tilde{f}_2}[\vec{U}] \dots * \mathbb{M}_{\tilde{f}_n}[\vec{U}]$  such that  $V[H^*] = V[C_{fin}^*] = M$ .

*Proof.* (1) is clear by the construction of  $C_{fin}^*$ , and the fact that  $f_i$  is definable from  $o^{\vec{U}''}[C_{fin}^* \cap (\kappa_{i-1}, \kappa_i)]$ .

For (2), we use theorem 5.10.

(3) follows by (2) and by the definition of iteration. ■

## References

- [1] Tom Benhamou and Moti Gitik, *Sets in Prikry and Magidor Generic Extensions*, submitted to APAL (2016), arXiv:2009.12774.
- [2] Moti Gitik, *Prikry-Type Forcings*, pp. 1351–1447, Springer Netherlands, Dordrecht, 2010.
- [3] Moti Gitik, Vladimir Kanovei, and Peter Koepke, *Intermediate Models of Prikry Generic Extensions*, Pre Print (2010), <http://www.math.tau.ac.il/~gitik/spr-kn.pdf>.

- [4] Thomas Jech, *Set Theory*, Springer Monographs in Mathematics, Springer-Verlag, Berlin, 2003, The third millennium edition, revised and expanded. MR 1940513
- [5] Menachem Magidor, *Changing the Cofinality of Cardinals*, *Fundamenta Mathematicae* **99** (1978), 61–71.
- [6] William Mitchell, *How Weak is a Closed Unbounded Filter?*, *stud. logic foundation math.* **108** (1982), 209–230.