

# On Q-points and GCH

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## Abstract

We construct models with  $2^\kappa > \kappa^+$  and ultrafilters  $U$  over  $\kappa$  such that  $U \supseteq \text{Cub}_\kappa$  and  $\{\nu < \kappa \mid 2^\nu = \nu^+\} \in U$ .

## 1 Introduction

Let  $\kappa$  be a measurable cardinal and  $2^\kappa > \kappa^+$ . Then, by a classical result of D. Scott, for unboundedly many  $\nu < \kappa$ ,  $2^\nu > \nu^+$ . Moreover, if  $U$  is a normal ultrafilter over  $\kappa$ , then

$$\{\nu < \kappa \mid 2^\nu > \nu^+\} \in U.$$

But what will happen if we drop the normality assumption? It is easy to construct a model in which  $2^\kappa > \kappa^+$  and for some  $\kappa$ -complete ultrafilter  $U$  over  $\kappa$ ,

$$\{\nu < \kappa \mid 2^\nu = \nu^+\} \in U.$$

Just start with a sufficiently large cardinal  $\kappa$ , say supercompact or 2-strong. Assume GCH. Force with an Easton support iteration

$$\langle P_\alpha, \mathcal{Q}_\beta \mid \alpha \leq \kappa + 1, \beta \leq \kappa \rangle,$$

where  $\mathcal{Q}_\beta$  be trivial unless  $\beta$  is an inaccessible cardinal. For an inaccessible  $\beta \leq \kappa$ , let  $\mathcal{Q}_\beta$  be the Cohen forcing  $\text{Cohen}(\beta, \beta^{++})$  which adds  $\beta^{++}$ -many subsets to  $\beta$ . Let  $G \subseteq P_{\kappa+1}$  be a generic.  $\kappa$  will remain a measurable in  $V[G]$  and  $2^\kappa = \kappa^{++}$ . Let  $W$  be a normal ultrafilter over  $\kappa$ . Consider its ultrapower embedding  $j_W : V[G] \rightarrow M_W$ . Note that in  $V[G]$  for every

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successor cardinal  $\nu < \kappa$ ,  $2^\nu = \nu^+$ . Set  $\delta = (\kappa^+)^{M_W}$ . Then, by elementarity,  $M_W \models 2^\delta = \delta^+$ . Define

$$U = \{X \subseteq \kappa \mid \delta \in j_W(X)\}.$$

Then

$$\{\nu < \kappa \mid 2^\nu = \nu^+\} \in U.$$

In this example  $U$  is Rudin-Keisler equivalent to a normal ultrafilter and concentrate on a non-stationary set.

But what will happen if we require that  $U$  contains all closed unbounded subsets of  $\kappa$ ?

The answer is that it is still possible.

We will present several constructions. The first one will be an easy one from a supercompact. The second will be from a strong and with some additional properties. However, the gap between  $\kappa$  and  $2^\kappa$  which we can reach is only 2.

It turns out that the situation here is surprisingly similar to those of the previous sections. The third construction will again use a supercompactness.

## 2 The first construction

Assume GCH and let  $\kappa$  be a  $\kappa^{++}$ -supercompact cardinal.

Fix a normal ultrafilter  $W$  over  $\mathcal{P}_\kappa(\kappa^{++})$ . Let  $j_W : V \rightarrow M_W$  be the corresponding elementary embedding. Then  ${}^{\kappa^{++}}M_W \subseteq M_W$ .

As above, we force with an Easton support iteration

$$\langle P_\alpha, \mathcal{Q}_\beta \mid \alpha \leq \kappa + 1, \beta \leq \kappa \rangle,$$

where  $\mathcal{Q}_\beta$  be trivial unless  $\beta$  is an inaccessible cardinal. For an inaccessible  $\beta \leq \kappa$ , let  $\mathcal{Q}_\beta$  be the Cohen forcing  $Cohen(\beta, \beta^{++})$  which adds  $\beta^{++}$ -many subsets to  $\beta$ . Let  $G \subseteq P_{\kappa+1}$  be a generic.  $\kappa$  will remain a  $\kappa^{++}$ -supercompact cardinal in  $V[G]$  and  $2^\kappa = \kappa^{++}$ . Moreover  $W$  extends to a normal ultrafilter  $W^*$  and  $j_W$  extends to  $j_{W^*} : V[G] \rightarrow M_{W^*} = M_W[G^*]$ . Still we have  ${}^{\kappa^{++}}M_{W^*} \subseteq M_{W^*}$ .

Let  $\langle C_\alpha \mid \alpha < \kappa^{++} \rangle$  be an enumeration of all clubs of  $\kappa$  in  $V[G]$ . Then, the set  $Y = \{j_{W^*}(C_\alpha) \mid \alpha < \kappa^{++}\}$  is in  $M_{W^*}$  and consists of less than  $j_W(\kappa)$ -many clubs their. Hence,  $C = \bigcup Y$  is a club of  $j_W(\kappa)$  in  $M_{W^*}$ .

Note that in  $V[G]$  for every singular cardinal  $\nu < \kappa$ ,  $2^\nu = \nu^+$ .

Working in  $M_{W^*}$ , pick a singular cardinal  $\delta \in C$  of cofinality  $\omega$ . Then, by elementarity,

$M_{W^*} \models 2^\delta = \delta^+$ . Define

$$U = \{X \subseteq \kappa \mid \delta \in j_{W^*}(X)\}.$$

Then

$$\{\nu < \kappa \mid 2^\nu = \nu^+\} \in U.$$

Moreover, by the choice of  $\delta$ , we will have that  $U \supseteq \text{Cub}_\kappa$ .

Let

$$U_\kappa = \{X \subseteq \kappa \mid \kappa \in j_{W^*}(X)\}.$$

Then, using commutativity of embeddings,

$$U_\kappa = \{X \subseteq \kappa \mid \kappa \in j_U(X)\},$$

and it is the projection of  $U$  to the least normal below it in the Rudin-Keisler ordering. Let  $k : M_{U_\kappa} \rightarrow M_U$  be the corresponding embedding. Then  $\text{crit}(k) < [id]_U$ , since  $M_U \models \text{cof}([id]_U) = \omega$ .

### 3 Construction from the optimal assumptions

Assume GCH and suppose that a cardinal  $\kappa$  is 2-strong. Let  $E$  be a witnessing extender. Instead, we can start from  $o(\kappa) = \kappa^{++}$  which is optimal and, using [7], arrange the same type of a situation.

Define an Easton support iteration

$$\langle P_\alpha, \mathcal{Q}_\beta \mid \alpha \leq \kappa + 1, \beta \leq \kappa \rangle.$$

Let  $\mathcal{Q}_\beta$  be trivial unless  $\beta$  is an inaccessible cardinal.

If  $\beta < \kappa$  is an inaccessible cardinal then set  $\mathcal{Q}_\beta = Q_\beta^0 * Q_\beta^1$ , where  $Q_\beta^0$  is an atomic forcing which consists of two incompatible elements 0 and 1.

If a generic for  $Q_\beta^0$  is 0, then  $Q_\beta^1$  is the Cohen forcing for adding  $\beta^{++}$  subsets to  $\beta$ ,  $\text{Cohen}(\beta, \beta^{++})$ .

Otherwise, i.e. if a generic for  $Q_\beta^0$  is 1, then  $Q_\beta^1 = \text{Cohen}(\beta, \beta^{++}) * \text{Col}(\beta, \beta^+)$ .

Set  $Q_\kappa = \text{Cohen}(\kappa, (\kappa^{++})^{M_E(\kappa)}) * (\text{Cohen}(\kappa^+, \kappa^{++}) \times \text{Cohen}(\kappa, [(\kappa^{++})^{M_E(\kappa)}, \kappa^{++}]))$ .

Let  $G$  be generic subset of  $P_{\kappa+1}$ . Then, in  $V[G]$ ,  $2^\kappa = \kappa^{++}$ .

By Woodin's arguments, see [5],  $\kappa$  will remain a measurable in  $V[G]$  and the embedding  $j_E : V \rightarrow M_E$  extends to  $j^* : V[G] \rightarrow M_E[G^*]$ .

Set

$$U^* = \{X \subseteq \kappa \mid \kappa \in j^*(X)\}.$$

Then

1.  $U^* \supseteq U$ ,
2.  $j_{U^*} = j^*$
3.  $M_{U^*} = M_E[G^*]$ .

We have

$$j_E(P) = P_\kappa * \text{Cohen}(\kappa, \kappa^{++}) * P_{(\kappa, j_E(\kappa))} * \text{Cohen}(j_E(\kappa), (j_E(\kappa))^{++})^{M_{j_E(E(\kappa))}} \\ * (\text{Cohen}(j_E(\kappa^+), j_E(\kappa^{++})) \times \text{Cohen}(j_E(\kappa), [(j_E(\kappa))^{++}]^{M_{j_E(E(\kappa))}}, j_E(\kappa^{++}))).$$

Consider now  $U^* \times U^*$ . We have that  $j_{U^* \times U^*}$  extends  $j_{E \times E} = j_{j_E(E)} \circ j_E$ . Denote  $j_E(\kappa)$  by  $\kappa_1$  and  $j_{E \times E}(\kappa) = j_{j_E(E)}(\kappa_1)$  by  $\kappa_2$ . Then  $j_{j_E(E)} : M_E \rightarrow M_{E \times E}$  and  $\kappa_1$  is its critical point.

Apply  $j_{E \times E}$  to  $P_{\kappa+1}$ . In  $M_{E \times E}$ ,

$$P_{\kappa_2+1} = P_\kappa * \underset{\sim}{Q}_\kappa * P_{(\kappa, \kappa_1)} * \underset{\sim}{Q}_{\kappa_1} * P_{(\kappa_1, \kappa_2)} * \underset{\sim}{Q}_{\kappa_2}.$$

We have, in  $M_{E \times E}[G^* \cap P_{\kappa_1}]$ ,  $Q_{\kappa_1} = Q_{\kappa_1}^0 * Q_{\kappa_1}^1$ . Let us take a generic for  $Q_{\kappa_1}^0$  to be 1. Then  $Q_{\kappa_1}^1$  will be  $\text{Cohen}(\kappa_1, \kappa_1^{++}) * \text{Col}(\kappa_1, \kappa_1^+)$ . Still  $j_{j_E(E)}$  extends. Let  $k : M_E[G^*] \rightarrow M_{E \times E}[G^{**}]$  be such extension. We will have also  $j^{**} : V[G] \rightarrow M_{E \times E}[G^{**}]$  such that  $j^{**} = k \circ j^*$ .

Define

$$W = \{X \subseteq \kappa \mid \kappa_1 \in j^{**}(X)\}.$$

Then  $W$  will be a  $\kappa$ -complete ultrafilter over  $\kappa$  which extends  $\text{Cub}_\kappa$ . Since for every club  $C \subseteq \kappa$ ,  $j^*(C)$  is a club in  $\kappa_1$  in  $M_E[G^*]$ , and so,  $\kappa_1 \in k(j^*(C)) = j^{**}(C)$ .

Now, in  $M_{E \times E}[G^{**}]$ ,  $2^{\kappa_1} = \kappa_1^+$ , since  $\text{Col}(\kappa_1, \kappa_1^+)$  was applied to restore GCH over  $\kappa_1$ . Hence,

$$\{\nu < \kappa \mid 2^\nu = \nu^+\} \in W,$$

and we are done.

In addition,  $U^* \leq_{R-K} W$ , and if  $k : M_{U^*} \rightarrow M_W$  is a corresponding embedding, then  $\text{crit}(k) = \kappa_1$ .

**Remark 3.1** *Note that as in the Woodin construction, the above gives a gap two and not more. We will see the reasons for such phenomenon in the next section.*

## 4 On a strength

Suppose that  $W \supseteq Cub_\kappa$ ,  $2^\kappa = \kappa^{++}$  and  $\{\nu < \kappa \mid 2^\nu = \nu^+\} \in W$ .

Assume that there is no inner model with a strong cardinal. Let  $\mathcal{K}$  be the core model. By Mitchell[16] or by Schindler [17],  $j_W \upharpoonright \mathcal{K}$  is an iterated ultrapower of  $\mathcal{K}$ .

An ordinal  $\alpha < j_W(\kappa)$  is called a *generator* of  $j_W$ , if there is no  $n < \omega$  and  $f : [\kappa]^n \rightarrow \kappa$  such that for some  $\alpha_1 < \dots < \alpha_n < \alpha$ ,  $j_W(f)(\alpha_1, \dots, \alpha_n) = \alpha$ .

Let us call an ordinal  $\alpha < j_W(\kappa)$  a *principal generator* of  $j_W$ , if there is no  $n < \omega$  and  $f : [\kappa]^n \rightarrow \kappa$  such that for some  $\alpha_1 < \dots < \alpha_n < \alpha$ ,  $j_W(f)(\alpha_1, \dots, \alpha_n) \geq \alpha$ .

Then,  $\kappa$  and  $[id]_W$  are principle generators, but probably there are more.

Denote by  $P$  the set of all generators of  $j_W$ .

Consider

$$\{j_W(f)(\alpha_1, \dots, \alpha_n) \mid n < \omega, \alpha_1 < \dots < \alpha_n, \alpha_1, \dots, \alpha_n \in P, f : [\kappa]^n \rightarrow V\}.$$

Let  $M$  be the transitive collapse of it and let  $j : V \rightarrow M$  be the corresponding elementary embedding.

Define  $k : M \rightarrow M_W$  by setting  $k(j(f)(\alpha_1, \dots, \alpha_n)) = j_W(f)(\alpha_1, \dots, \alpha_n)$ .

Then  $j_W = k \circ j$  and  $[id]_W = j(\kappa)$  will be the critical point of  $k$ .

Note that in the construction of the previous section, we have  $P = \{\kappa, [id]_W\}$  and  $M$  is the ultrapower by the normal ultrafilter generated by  $\kappa$ .

Denote  $[id]_W$  by  $\kappa_1$  and  $j_W(\kappa)$  by  $\kappa_2$ .

We have then that  $M \models 2^{\kappa_1} = \kappa_1^{++}$ , by the elementarity of  $j$ , and  $M_W \models 2^{\kappa_1} = \kappa_1^+$ , since  $\{\nu < \kappa \mid 2^\nu = \nu^+\} \in W$ .

**Lemma 4.1**  $(\mathcal{P}(\kappa_1))^M \subseteq (\mathcal{P}(\kappa_1))^{M_W}$ , and so,  $(\kappa_1^+)^M \leq (\kappa_1^+)^{M_W}$ .

*Proof.* Let  $A \subseteq \kappa_1$ ,  $A \in M$ . Then  $k(A) \in M_W$ . in We have  $\kappa_1 = \text{crit}(k)$ , so  $k(A) \cap \kappa_1 = A$ . Hence,  $A \in M_W$ .

□

**Assume now that  $(\kappa_1)^M$  is not collapsed in  $M_W$ .**

Let  $\vec{A} = \langle A_\alpha \mid \alpha < \kappa^{++} \rangle$  be an enumeration of  $\mathcal{P}(\kappa)$ . Consider the images of  $\vec{A}$  under  $j$  and  $j_W$ . Let  $\vec{A}^1 = j(\vec{A}) = \langle A_\beta^1 \mid \beta < (\kappa_1^{++})^M \rangle$  and  $\vec{A}^2 = j_W(\vec{A}) = \langle A_\gamma^2 \mid \gamma < (\kappa_2^{++})^{M_W} \rangle$ .

**Lemma 4.2** If  $\vec{A}^1 \in M_W$ , then  $((\kappa_1)^{++})^M$  is collapsed to  $(\kappa_1^+)^{M_W}$  in  $M_W$ .

*Proof.* This follows from  $M_W \models 2^{\kappa_1} = \kappa_1^+$ .

□

**Lemma 4.3**  $((\kappa_1)^{++})^M$  cannot be collapsed to  $(\kappa_1^+)^{M_W}$ .

*Proof.* By the anti-large cardinal assumption made, we have

$$\text{cof}(((\kappa_1)^+)^{M_U}) = \kappa^+ \text{ and } \text{cof}(((\kappa_1)^{++})^{M_U}) = \kappa^{++},$$

since the pointwise image of  $\kappa^+$ , or of  $\kappa^{++}$ , is unbounded in  $((\kappa_1)^+)^M, ((\kappa_1)^{++})^M$  respectively.

If the cofinality of  $((\kappa_1)^{++})^M$  is changed to something below, in  $M_W$ , then  $((\kappa_1)^{++})^M$  will be measurable in  $\mathcal{K}^M$  and  $M \models 2^{\kappa_1} = \kappa_1^{++}$ , so, by [12], there must be an extender in  $\mathcal{K}^M$  of a measurable length. Such possibility is ruled out as well by the anti-large cardinal assumption made.

□

**Remark 4.4** Similar, if  $2^\kappa > \kappa^{++}$ , then there will be no room for such type of collapses.

Let us analyze the situation in which no such collapses occur.

We are unable to exclude such possibility, unless some additional assumptions are made.

**Assume that**

( $\aleph$ )  $\vec{A}^1 \in M_W$ ,

or

( $\beth$ )  $(\mathcal{P}(\kappa_1))^{M_W} \subseteq (\mathcal{P}(\kappa_1))^M$ ,

or

( $\beth$ )  $\neg \diamond_{par}^-(W)$ , see [3],

or

( $\beth$ )  $W$  has the Galvin property.

If ( $\aleph$ ) holds, then we can use 4.2 and 4.3.

Suppose that ( $\beth$ ) holds.

Let  $\langle B_\xi \mid \xi < \kappa_1^+ \rangle$  be an enumeration of subsets of  $\kappa_1$  in  $M_W$ . Remember that  $j''\kappa^+$  is unbounded in  $\kappa_1^+$  of  $M_W$  or the same of  $M$ . So, there will be  $S \subseteq \kappa^{++}, |S| = \kappa^{++}$  and  $\eta < \kappa_1^+$  such that every  $A_\alpha^1, \alpha \in j''S$  appears below  $\eta$  in the enumeration  $\langle B_\xi \mid \xi < \kappa_1^+ \rangle$  of subsets of  $\kappa_1$  in  $M_W$ . Fix, in  $M_W$ , a function  $e_\eta : \kappa_1 \leftrightarrow \eta_1$ . Then there will be  $\mu < \kappa_1$  and  $S' \subseteq S$ , of cardinality  $\kappa^{++}$ , if  $\text{cof}(\kappa_1) = \kappa^+$  (which usually the case), or of  $\kappa^+$ , otherwise such that  $e_\eta''\mu$  includes the indexes of all  $A_\alpha^1, \alpha \in j''S'$ .

Now, using ( $\beth$ ), it is not hard to find  $X \in M, |X| < \kappa_1$  such that  $A_{j(\beta)}^1 \in X$ , for every  $\beta \in S'$ .

Then, there is  $Y \in M, |Y| < \kappa_1$  such that  $Y \supseteq j''S'$ , which is impossible.

Note that the family  $\{B_\xi \mid \xi \in e_\eta''\mu\}$  will be a cover in  $M_W$  of  $\{A_\alpha^1 \mid \alpha \in j''S'\}$ . This

witnesses  $\diamond_{par}^-(W)$ , which is impossible by (2).

By [3], the Galvin property implies  $\neg\diamond_{par}^-(W)$ . So we are done.

## 5 Construction - gaps above 2

We will use supercompactness in order to get gaps bigger than 2 and  $k \upharpoonright \kappa_1 = id$ .

Let us deal with a gap 3. The general case uses the same idea.

Assume GCH and let  $\kappa$  be a  $\kappa^+$ -supercompact cardinal. Fix a normal ultrafilter  $U$  over  $\mathcal{P}_\kappa(\kappa^{+3})$  witnessing this. Let  $j_U : V \rightarrow M_U \simeq V^{\mathcal{P}_\kappa(\kappa^{+3})}/U$  be the correspondent elementary embedding. Denote  $j_U$  by  $j$  and  $M_U$  by  $M$ . Let  $\kappa_1 = j(\kappa)$ .

GCH and the closure of  $M$  under  $\kappa^{+3}$ -sequences of its elements imply the following:

1. for every  $k \leq 4$ ,  $(\kappa^{+k})^M = \kappa^{+k}$ ,
2.  $|j(\kappa)| = \kappa^{+4}$ ,
3.  $\text{cof}(j(\kappa)) = \kappa^{+4}$ ,
4.  $j(\kappa^{+4}) = \bigcup j''\kappa^{+4}$ ,
5.  $j(\kappa^{+5}) = \kappa^{+5}$ ,
6. for every  $1 \leq k \leq 4$ ,  $\text{cof}(j(\kappa^{+k})) = \kappa^{+4}$ .

Define an Easton support iteration

$$\langle P_\alpha, \mathcal{Q}_\beta \mid \alpha \leq \kappa + 1, \beta \leq \kappa \rangle,$$

as in the gap 2, only replace  $Cohen(\beta, \beta^{++})$  by  $Cohen(\beta, \beta^{+3})$  and  $Col(\beta, \beta^+)$  by  $Col(\beta^+, \beta^{+3})$ .

Let  $G$  be generic subset of  $P_{\kappa+1}$ . Then, in  $V[G]$ ,  $2^\kappa = \kappa^{+3}$ . Using the closure of  $M$  under  $\kappa^{+3}$ -sequences of its elements we construct  $G^* \subseteq P_{j(\kappa)+1}$  which  $M$ -generic. Then  $j$  extends to  $j^* : V[G] \rightarrow M[G^*]$  and  $U$  to  $U^*$ .

Now we proceed as in the gap 2 construction and use  $U \times U$ . Finally, over  $\kappa_1$  in  $M_{U \times U}[G^*]$ ,  $Col(\kappa_1^+, \kappa_1^{+3})$  is used. Note that we have a generic for it in  $V[G]$ , by items (1)-(6).

The rest is as in the gap 2 construction.

## 6 Construction gaps above 2 from optimal assumptions

We would like here to show that the limitations of Section 4. Ideas of [4] which allow to create kind of many Cohen functions from a few will be used.

The following is a typical theorem under this lines:

**Theorem 6.1** *Assume GCH. Let  $\kappa$  be a 3-strong cardinal. Then in a generic extension which satisfies  $2^\kappa = \kappa^{+3}$  there is a  $\kappa$ -complete ultrafilter  $W$  over  $\kappa$  which includes  $Cub_\kappa$  such that  $\{\nu < \kappa \mid 2^\nu = \nu^+\} \in W$ .*

*Proof.* Let  $E$  be a  $(\kappa, \kappa^{+3})$ -extender. Let  $j_1 = j_E : V \rightarrow M_1 = M_2$ . Consider also the second ultrapower, i.e.  $k = j_{j(E)} : M_1 \rightarrow M_2$ . Also, let  $j_2 = j_{E \times E} : V \rightarrow M_2$  be the ultrapower by  $E \times E$ . Denote  $j_1(\kappa)$  by  $\kappa_1$  and  $j_2(\kappa)$  by  $\kappa_2$ .

Force with the Cohen forcing  $Cohen(\nu, \nu^{+3})$ , for every  $\nu \leq \kappa$ . Let  $G$  be a generic. Then in  $V[G]$ , let  $j_1$  extends to an embedding  $j_1^* : V[G] \rightarrow M_1[G^*]$ . Set  $U^* = \{X \subseteq \kappa \mid \kappa \in j_1^*(X)\}$ . Let  $j_2^* : V[G] \rightarrow M_2[G^{**}]$  be the extension of  $j_2$  and  $k^* : M_1[G^*] \rightarrow M_2[G^{**}]$  of  $k$  which correspond to  $U^* \times U^*$ .

Now we would like to extend  $j_2$  and  $k$  differently.

Let  $g_1$  denotes  $G^* \cap Cohen(\kappa_1, \kappa_1)$ .

Use [4] and reorganize  $g_1$  in order to generate  $g^* \subseteq Cohen(\kappa_1, \kappa_1^{+3})^{M_1[G^* \upharpoonright \kappa_1]}$  which is  $M_1[G^* \upharpoonright \kappa_1]$ -generic. Then  $\mathcal{P}(\kappa_1)^{M_1[G^* \upharpoonright \kappa_1, g^*]} \subseteq \mathcal{P}(\kappa_1)^{M_1[G^* \upharpoonright \kappa_1, g_1]}$ .

Extend now  $k$  to some  $k' : M_1[G^* \upharpoonright \kappa_1, g^*] \rightarrow M_2[G^{**} \upharpoonright \kappa_2, g^{**}]$ .

Then,

$$\{A_\alpha^2 \cap \kappa_1 \mid \alpha < (\kappa_2^{+3})^{M_2}\} \subseteq \{B_\beta \mid \beta < (\kappa_1^+)^{M_2}\},$$

where  $\langle A_\alpha^2 \mid \alpha < (\kappa_2^{+3})^{M_2} \rangle$  is an enumeration in  $M_2[G^{**} \upharpoonright \kappa_2, g^{**}]$  of subsets of  $\kappa_2$  and  $\langle B_\beta \mid \beta < (\kappa_1^+)^{M_2} \rangle$  is an enumeration in  $M_2[G^{**} \upharpoonright \kappa_2, g^{**}]$  of subsets of  $\kappa_1$ .

Now, since  $\text{cof}(\kappa_1) = \text{cof}((\kappa_1^+)^{M_2} = \kappa^+$  and  $\text{cof}((\kappa_2^{++})^{M_2} = \text{cof}((\kappa_1^{++})^{M_1} = \kappa^{++}$ ,

there will be  $S \subseteq \kappa^{++}$ ,  $|S| = \kappa^{++}$ ,  $I \in M_2$  and  $\eta < \kappa_1$ , such that

$$M_2 \models |I| = \eta \text{ and } \{A_\alpha^2 \cap \kappa_1 \mid \alpha \in j_2''S\} \subseteq \{B_\beta \mid \beta \in I\}.$$

We are exactly in a situation that was excluded in Section 4.

□

A similar construction, based on  $(\kappa, \kappa^{++})$ -extender, shows that the assumption about preservation of  $(\kappa_1^+)^M$  made in Section 4 is possible to realize.



**Theorem 6.2** *Assume GCH. Let  $\kappa$  be a 2-strong cardinal. Then in a generic extension which satisfies  $2^\kappa = \kappa^{++}$  there is a  $\kappa$ -complete ultrafilter  $W$  over  $\kappa$  which includes  $Cub_\kappa$  such that  $\{\nu < \kappa \mid 2^\nu = \nu^+\} \in W$  and  $(j_U(\kappa)^+)^{M_U}$  is preserved, where as usual,  $U = \{X \subseteq \kappa \mid \kappa \in j_W(X)\}$ .*

Let us deal now with the strength of the principle  $\diamond_{par}^-(W)$ . It was introduced by T. Benhamou and G. Goldberg in [3]. The results above show that its consistency strength falls down to 2-strong, but let us push it further down to a measurable. The point is that there is no need here to blow up the power of  $\kappa$ .

**Theorem 6.3** *Assume GCH. Let  $\kappa$  be a measurable cardinal. Then in a generic extension  $\diamond_{par}^-(W)$  holds for a  $\kappa$ -complete ultrafilter  $W$  over  $\kappa$  which includes  $Cub_\kappa$ .*

*Proof.* Fix a normal ultrafilter  $U$  over  $\kappa$ . Let  $j_1 = j_U : V \rightarrow M_1 = M_2$ . Consider also the second ultrapower, i.e.  $k = j_{j(U)} : M_1 \rightarrow M_2$ . Also, let  $j_2 = j_{U \times U} : V \rightarrow M_2$  be the ultrapower by  $U \times U$ . Denote  $j_1(\kappa)$  by  $\kappa_1$  and  $j_2(\kappa)$  by  $\kappa_2$ .

Force with the Cohen forcing  $Cohen(\nu, \nu^+)$ , for every  $\nu \leq \kappa$ . Let  $G$  be a generic. Then in  $V[G]$ , let  $j_1$  extend to an embedding  $j_1^* : V[G] \rightarrow M_1[G^*]$ . Set  $U^* = \{X \subseteq \kappa \mid \kappa \in j_1^*(X)\}$ . Let  $j_2^* : V[G] \rightarrow M_2[G^{**}]$  be the extension of  $j_2$  and  $k^* : M_1[G^*] \rightarrow M_2[G^{**}]$  of  $k$  which correspond to  $U^* \times U^*$ .

Now we would like to extend  $j_2$  and  $k$  differently.

Let  $g_1$  denote  $G^* \cap Cohen(\kappa_1, \kappa_1^+)$ . Use [4] and reorganize  $g_1 \upharpoonright \kappa_1$  (i.e. only first  $\kappa_1$ -many Cohen functions are used for this), in order to generate  $g^* \subseteq Cohen(\kappa_1, \kappa_1^{++})^{M_1[G^* \upharpoonright \kappa_1]}$  which is  $M_1[G^* \upharpoonright \kappa_1]$ -generic. Then  $\mathcal{P}(\kappa_1)^{M_1[G^* \upharpoonright \kappa_1, g^*]} \subseteq \mathcal{P}(\kappa_1)^{M_1[G^* \upharpoonright \kappa_1, g_1]}$ .

Extend now  $k$  to some  $k' : M_1[G^* \upharpoonright \kappa_1, g^*] \rightarrow M_2[G^{**} \upharpoonright \kappa_2, g^{**}]$ .

Then,

$$\{A_\alpha^2 \cap \kappa_1 \mid \alpha < (\kappa_2^{++})^{M_2}\} \subseteq \{B_\beta \mid \beta < (\kappa_1^+)^{M_2}\},$$

where  $\langle A_\alpha^2 \mid \alpha < (\kappa_2^{++})^{M_2} \rangle$  is an enumeration in  $M_2[G^{**} \upharpoonright \kappa_2, g^{**}]$  of subsets of  $\kappa_2$  and  $\langle B_\beta \mid \beta < (\kappa_1^+)^{M_2} \rangle$  is an enumeration in  $M_2[G^{**} \upharpoonright \kappa_2, g^{**}]$  of subsets of  $\kappa_1$ .

Now, since  $\text{cof}(\kappa_1) = \text{cof}((\kappa_1^+)^{M_2} = \kappa^+$  and  $\text{cof}((\kappa_2^{++})^{M_2} = \text{cof}((\kappa_1^{++})^{M_1} = \kappa^{++}$ ,

there will be  $S \subseteq \kappa^{++}$ ,  $|S| = \kappa^{++}$ ,  $I \in M_2$  and  $\eta < \kappa_1$ , such that

$$M_2 \models |I| = \eta \text{ and } \{A_\alpha^2 \cap \kappa_1 \mid \alpha \in j_2''S\} \subseteq \{B_\beta \mid \beta \in I\}.$$

Now pick some  $S' \subseteq S$ ,  $|S'| = \kappa^+$ . Still, clearly,  $\{A_\alpha^2 \cap \kappa_1 \mid \alpha \in j_2''S'\} \subseteq \{B_\beta \mid \beta \in I\}$ .

We have that  $j_2''\kappa^{++}$  is unbounded in  $(\kappa_2^{++})^{M_2}$ , so there is some  $\delta$ ,  $\kappa^+ \leq \delta < \kappa^{++}$  such that  $\text{sup}(j_2''S') < j_2(\delta)$ .

So, instead of adding  $(\kappa_1^{++})^{M_1}$ –many Cohen functions over  $M_1$  we can add and use only  $j_1(\delta)$ –many.

Clearly, the forcing  $Cohen(\kappa, \kappa^+)$  is equivalent to  $Cohen(\kappa, \delta)$ . Hence, all the embeddings  $j_1, j_2, k$  extend now, but the property  $\{A_\alpha^2 \cap \kappa_1 \mid \alpha \in j_2''S'\} \subseteq \{B_\beta \mid \beta \in I\}$  remained valid. Hence we will have  $\diamond_{par}^-(W)$  in  $V[G]$ .

□

Let us deal with two other similar principals which were introduced by T. Benhamou and G. Goldberg in [3].

**Definition 6.4** (T. Benhamou and G. Goldberg)  $\kappa$  is called *non-Galvin cardinal* if there are elementary embeddings  $j : V \rightarrow M, i : V \rightarrow N, k : N \rightarrow M$  such that

1.  $k \circ i = j$ ,
2.  $\text{crit}(j) = \kappa, \text{crit}(k) = i(\kappa)$ ,
3.  ${}^\kappa N \subseteq N, {}^\kappa M \subseteq M$ ,
4. there is  $A \in M$  such that  $i''\kappa^+ \subseteq A$  and  $M \models |A| < i(\kappa)$ .

**Proposition 6.5** *Suppose that there exists a non-Galvin cardinal. Then there exists an inner model with a strong cardinal (or an inner model with Woodin cardinal).*

*Proof.* Assume that the core model  $\mathcal{K}$  exists. By Mitchell [16], Schindler [17]  $i \upharpoonright \mathcal{K}, j \upharpoonright \mathcal{K}$  are iterated ultrapowers of  $\mathcal{K}$ .

For every club  $C \subseteq \kappa$ , we have  $i(\kappa) \in j(C)$ . Hence, the iteration  $i \upharpoonright \mathcal{K}$  continues by using an extender over  $i(\kappa)$  in  $\mathcal{K}^N$ . Let us denote by  $\sigma : \mathcal{K}^N \rightarrow \mathcal{K}_1$  this embedding. Then the iteration continues to the final  $j \upharpoonright \mathcal{K}$ .

We have  $i(\kappa^+) = (i(\kappa)^+)^{\mathcal{K}_1} = (i(\kappa)^+)^{\mathcal{K}_M}$ .

By the definition of a non-Galvin cardinal, there is  $A \subseteq i(\kappa^+), A \in M$  which covers  $i''\kappa^+$  and  $|A|^M < i(\kappa)$ .

Apply the Covering Lemma to  $A$  over  $\mathcal{K}^M$ . It will imply existence of measurable cardinals in the interval  $(i(\kappa), (i(\kappa)^+)^{\mathcal{K}_M}]$  which is impossible.

□

Another principle  $\diamond_{thin}^-(W)$ , similar to  $\diamond_{par}^-(W)$ , was defined in [3], Definition 3.4. Set  $U = \{X \mid \kappa \in j_W(X)\}$ . If  $\lambda$  of [3], Definition 3.4 is  $j_U(\kappa)$ , then  $\kappa$  is a non-Galvin cardinal. Hence, in the view of 6.5, this principle is rather strong as well.

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