

# Combining Short extenders forcings with Extender based Prikry.

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Let  $\kappa$  be  $< \kappa^{+\omega}$  strong cardinal. For every  $n < \omega$  fix an extender  $E_n$  which witness  $\kappa^{+n}$  strongness of  $\kappa$ . We would like to change the cofinality of  $\kappa$  to  $\omega$  and to add  $\kappa^{+\omega+2}$  many cofinal  $\omega$ -sequences to  $\kappa$ .

Let us describe three ways of doing this.

## 1 Way 1.

Change first the cofinality of  $\kappa$  to  $\omega$  by adding a Prikry sequence  $\langle \kappa_n \mid n < \omega \rangle$  such that each  $\kappa_n$  is  $\kappa_n^{+n+2}$ -strong. Then use the short extenders forcing which adds  $\kappa^{+\omega+2}$  many cofinal  $\omega$ -sequences to  $\kappa$  (after the preparation or simultaneously with it). All cardinals will be preserved then.

If one does not care about falling of  $\kappa^{+n}$ , then preform Gap 2 short extenders forcing. As a result  $\kappa^{+\omega+2}$  will turn into  $\kappa^{++}$  of the extension.

## 2 Way 2.

We combine the extender based Prikry forcing with Gap 2 short extenders forcing here.

### 2.1 Types.

Fix some  $n < \omega$ . Let  $1 < k < \omega$ . Let  $\chi$  be a regular cardinal large enough. Consider a structure

$$\mathfrak{A}_k = \langle H(\chi^{+k}), \in, <, \langle E_m \mid m < \omega \rangle, \langle \chi^{+i} \mid i \leq k \rangle, \kappa, 0, 1, \dots, \alpha, \dots \mid \alpha < \kappa^{+k} \rangle$$

in an appropriate language which we denote  $\mathfrak{L}_k$ .

For an ordinal  $\xi < \chi$ . Denote by  $tp_k(\xi)$  the  $\mathfrak{L}_k$ -type realized by  $\xi$  in  $\mathfrak{A}_k$ .

Let  $\mathfrak{L}'_k$  be the language obtained from  $\mathfrak{L}_k$  by adding a new constant  $c'$ . For  $\delta < \chi$  let  $\mathfrak{A}_{k\delta}$  be the  $\mathfrak{L}'_k$ -structure obtained from  $\mathfrak{A}_k$  by interpreting  $c'$  as  $\delta$ . The type  $tp_k(\delta, \xi)$  is the  $\mathfrak{L}'_k$ -type realized by  $\xi$  in  $\mathfrak{A}_{k\delta}$ . Further we shall identify types with ordinals corresponding to them in some fixed in advance well ordering.

Note that the total number of types  $tp_k$ , with  $1 \leq k < \omega$ , is  $2^{\kappa^{+k}}$ , and under GCH it is  $\kappa^{+k+1}$ . We are going to use here models of sizes  $\kappa^{+n}$ ,  $0 < n < \omega$ . So not all  $m$ -types for  $m > n$  can be inside a model of cardinality  $\kappa^{+n}$ .

**Definition 2.1** Let  $1 < k \leq n + 2$  and  $\beta < \kappa^{+n+2}$ .

$\beta$  is called  $k$ -good iff

1. for every  $\gamma < \beta$ ,  $tp_k(\gamma, \beta)$  is realized unboundedly often below  $\kappa^{+n+2}$ ,
2. for every bounded  $z \subseteq \beta$  of cardinality  $\leq \kappa$  there is  $\alpha < \beta$  which corresponds to  $z$  in the enumeration of  $[\kappa^{+n+2}]^{\leq \kappa}$ .

**Lemma 2.2** *The set  $\{\beta < \kappa^{+n+2} \mid \beta \text{ is } k\text{-good}\}$  contains a club, for every  $1 < k \leq n + 2$ .*

**Lemma 2.3** *The set  $\{\beta < \kappa^{+n+2} \mid \forall 1 < k \leq n + 2 \quad \beta \text{ is } k\text{-good}\}$  contains a club.*

**Lemma 2.4** *Let  $2 < k \leq n + 2$  and  $\beta < \kappa^{+n+2}$  be  $k$ -good. Then there are arbitrary large  $k - 1$ -good ordinals below  $\beta$ .*

We are going to use models of sizes  $\kappa^{+n}$ ,  $0 < n < \omega$ . So not all  $m$ -types for  $m > n$  can be inside a model of cardinality  $\kappa^{+n}$ , however, if  $M$  is a model of size  $\kappa^{+n+1}$  with  $\kappa^{+n+1} \subseteq M$ , all  $tp_n$ 's are in  $M$  (GCH is assumed). Hence, for every  $\xi$  there is  $\xi' \in M$  such that  $tp_n(\xi) = tp_n(\xi')$ .

## 2.2 Forcing.

Basically an assignment functions  $\langle a_n \mid n < \omega \rangle$  are added and  $a_n$  acts (partially) from  $\kappa^{+\omega+2}$  to  $\kappa^{+n+2}$ .

In the extension  $\kappa^{+\omega+2}$  will turn into  $\kappa^{++}$  and  $\kappa^{+n}$ 's will be collapsed for every  $n, 1 < n < \omega$ , as well as  $\kappa^{+\omega+1}$ .

We will follow Merimovich [8],[9].

Let us review the basic settings of [8] with adoptions made here.

### 2.2.1 Merimovich's type setting.

Denote the interval  $[\kappa, \kappa^{+n+2})$  by  $\mathfrak{D}_n$ .

Let  $d \in \mathcal{P}_{\kappa^+} \mathfrak{D}_n$ . Then  $\nu \in OB(d)$  iff

1.  $\nu : \text{dom}(\nu) \rightarrow \kappa$ ,
2.  $\kappa \in \text{dom}(\nu) \subseteq d$ ,
3.  $|\nu| \leq (\nu(\kappa))_0^{+n+2}$ ,  
where  $\rho_0$  denotes the largest inaccessible cardinal  $< \rho$ , if it exists and 0 otherwise.
4.  $\forall \alpha, \beta \in \text{dom}(\nu) (\alpha < \beta \implies \nu(\alpha) < \nu(\beta))$ .

The measure  $E_n(d)$  for  $d \in \mathcal{P}_{\kappa^+} \mathfrak{D}_n$  is defined as follows:

$$\forall X \subseteq OB(d) (X \in E_n(d) \iff mc(d) \in j_{E_{n+2}}(d)),$$

where

$$mc(d) = \{ \langle j_{E_{n+2}}(\alpha), \alpha \rangle \mid \alpha \in d \}.$$

Turn now to  $\mathbb{P}_{\vec{E}}^*$ . Here instead of a single function  $f : d \rightarrow \kappa^{<\omega}$  we will use a sequence  $\vec{f} = \langle f^i \mid \ell(\vec{f}) \leq i < \omega \rangle$  such that for every  $\ell(\vec{f}) \leq i \leq i' < \omega$ :

1.  $f^i : d^i \rightarrow \kappa^{<\omega}$ ,
2.  $\kappa \in d^i \in \mathcal{P}_{\kappa^+} \mathfrak{D}_i$ ,
3.  $f^{i'}$  extends  $f^i$ , i.e.  $d^{i'} \subseteq d^i$  and  $\forall \alpha \in d^{i'} \quad f^i(\alpha) = f^{i'}(\alpha)$ ;
4. for each  $\alpha \in d^i$ ,  $f^i(\alpha) = \langle f_0^i(\alpha), \dots, f_{k-1}^i(\alpha) \rangle$  is an increasing sequence of ordinals below  $\kappa$ ,
5. the length of the sequence  $f^i(\kappa)$  is  $\ell(\vec{f})$ .

Let  $\vec{f}, \vec{g} \in \mathbb{P}_{\vec{E}}^*$ . We say that  $\vec{f}$  is an extension of  $\vec{g}$  ( $\vec{f} \geq_{\mathbb{P}_{\vec{E}}^*} \vec{g}$ ) iff  $\ell(\vec{f}) = \ell(\vec{g})$  and for every  $\ell(\vec{f}) \leq i < \omega$ ,  $f^i \supseteq g^i$ .

Let  $\vec{f} \in \mathbb{P}_{\vec{E}}^*$  and  $\nu \in OB(\text{dom}(f_{\ell(\vec{f})}))$ . Define  $\vec{g} = \vec{f}_{(\nu)}$  to be  $\langle g^m \mid \ell(\vec{f}) < m < \omega \rangle$  where for every  $m$ ,  $\ell(\vec{f}) < m < \omega$ ,

1.  $\text{dom}(g^m) = \text{dom}(f^m)$ ,

2. for every  $\alpha \in \text{dom}(g^m)$

$$g^m(\alpha) = \begin{cases} f^m(\alpha) \frown \langle \nu(\alpha) \rangle, & \alpha \in \text{dom}(\nu), \nu(\alpha) > f^m_{|f^m(\alpha)|-1}(\alpha) \\ f^m(\alpha), & \text{otherwise.} \end{cases}$$

I.e.  $\nu(\alpha)$  is added once it is bigger than every element of the finite sequence  $f^m(\alpha)$ .

A condition  $p$  in the forcing notion  $\mathbb{P}_{\vec{E}}$  is of the form  $\langle \vec{f}, \vec{A} \rangle$  where:

1.  $\vec{f} \in \mathbb{P}_{\vec{E}}^*$ ,

2.  $\vec{A} = \langle A^i \mid \ell(\vec{f}) \leq i < \omega \rangle$  such that

(a)  $A^i \in E_i(\text{dom}(f^i))$ , for every  $i, \ell(\vec{f}) \leq i < \omega$ ,

(b) for each  $\langle \nu \rangle \in A^i$  and each  $\alpha \in \text{dom}(\nu)$ ,

$$f^i_{|f^i(\alpha)|-1}(\alpha) < \nu(\alpha).$$

We refer further to  $\ell(\vec{f})$  also as  $\ell(p)$ .

Note that we use here a sequence of sets of measures one instead of a single set in the intersections of the measures in Merimovich [8].

Further let us write  $\vec{f}^p, \vec{A}^p$  for  $\vec{f}, \vec{A}$  etc.

Let  $p, q \in \mathbb{P}_{\vec{E}}$ . Set  $p \geq_{\mathbb{P}_{\vec{E}}}^* q$  iff

1.  $\vec{f}^p \geq_{\mathbb{P}_{\vec{E}}}^* \vec{f}^q$ , in particular  $\ell(\vec{f}^p) = \ell(\vec{f}^q)$ ;

2.  $A^{p,i} \upharpoonright \text{dom}(f^{q,i}) \subseteq A^{q,i}$ , for every  $i, \ell(\vec{f}) \leq i < \omega$ .

Assume  $q \in \mathbb{P}_{\vec{E}}$  and  $\langle \nu \rangle \in A^{q, \ell(q)}$ . The condition  $p \in \mathbb{P}_{\vec{E}}$  is the one point extension of  $q$  by  $\langle \nu \rangle$  ( $p = q_{\langle \nu \rangle}$ ) if the following holds:

1.  $\vec{f}^p = \vec{f}^q_{\langle \nu \rangle}$ ,

2.  $\vec{A}^p = \langle A^{q,m} \mid m > \ell(q) \rangle$ .

$q_{\langle \nu_0, \dots, \nu_n \rangle}$  is defined recursively by  $(q_{\langle \nu_0, \dots, \nu_{n-1} \rangle})_{\langle \nu_n \rangle}$ .

Let  $p, q \in \mathbb{P}_{\vec{E}}$ . Then  $p$  is stronger than  $q$  ( $p \geq q$ ) if there are  $n < \omega$  and  $\langle \nu_{\ell(q)}, \dots, \nu_{n-1} \rangle \in \prod_{\ell(q) \leq k < n} A^{q,k}$  such that  $p \geq^* q_{\langle \nu_{\ell(q)}, \dots, \nu_{n-1} \rangle}$ .

The following was proved in [8]:

1.  $\langle \mathbb{P}_{\vec{E}}, \leq, \leq^* \rangle$  is Prikry type forcing notion,

2.  $\langle \mathbb{P}_{\vec{E}}, \leq \rangle$  satisfies  $\kappa^{++}$ -c.c.,
3.  $\langle \mathbb{P}_{\vec{E}}, \leq^* \rangle$  is  $\kappa$ -closed, and hence no new bounded subsets are added to  $\kappa$ ,
4.  $\kappa$  changes its cofinality to  $\omega$ ,
5.  $2^\kappa > \kappa^{+\omega}$ .

### 2.2.2 A short extenders setting.

Add now short extenders forcings ingredients.

We will add over  $\kappa$  an assignment function  $a_n$  which connects between  $\kappa^{+\omega+2}$  and  $\kappa^{+n+2}$  and a partial function  $f_n : \kappa^{+\omega+2} \rightarrow \kappa$ , which purpose is to hide  $a_n$  once  $\kappa_n$  is decided,, for every  $n < \omega$ .

So let  $p \in \mathbb{P}_{\vec{E}}$  and  $n < \omega$ . Consider  $f^{p,n}$ . We would like to add to it new components  $a_n$  and  $f_n$  which satisfy the following:

1.  $\text{rng}(a_n) \subseteq \text{dom}(f^{p,n})$ ,
2.  $a_n$  is partial order preserving function from  $\kappa^{+\omega+2}$  to  $\kappa^{+n+2}$ ,
3.  $f_n : \kappa^{+\omega+2} \rightarrow \kappa$  is a partial function of cardinality  $\leq \kappa$ ,
4.  $\text{dom}(f_n) \cap \text{dom}(a_n) = \emptyset$ ,
5.  $n < m$  implies that  $\text{dom}(a_n) \subseteq \text{dom}(a_m)$ ,
6. let  $\zeta \in \text{dom}(a_n)$ , for some  $n < \omega$ . Then for every  $k < \omega$  for all but finitely many  $m < \omega$ ,  $a_m(\zeta)$  is  $k$ -good.

**Definition 2.5** The forcing notion  $\mathbb{P}_{\vec{E}}^{se}$  (*se* for short extenders) consists of elements  $t$  of the form  $\langle p, \langle a_n \mid \ell(p) \leq n < \omega \rangle, \langle f_n \mid n < \omega \rangle \rangle$ , where

1.  $p \in \mathbb{P}_{\vec{E}}$ ,
2. for every  $n < \omega$ ,  $a_n$  and  $f_n$  satisfy the conditions 1-6 above.

Let us describe a one point extension of conditions in  $\mathbb{P}_{\vec{E}}^{se}$ .

**Definition 2.6** Let  $t = \langle p, \langle a_n \mid \ell(p) \leq n < \omega \rangle, \langle f_n \mid n < \omega \rangle \rangle \in \mathbb{P}_{\vec{E}}^{se}$  and  $\langle \nu \rangle \in A^{p, \ell(p)}$ . Define  $t_{\langle \nu \rangle}$  to be of the form  $\langle p_{\langle \nu \rangle}, \langle b_n \mid \ell(p) < n < \omega \rangle, \langle g_n \mid n < \omega \rangle \rangle$ , where

1. for every  $n > \ell(p)$ ,  $b_n = a_n$  and  $g_n = f_n$ ,
2. for every  $n < \ell(p)$ ,  $g_n = f_n$ ,
3.  $g_{\ell(p)} = f_{\ell(p)} \cup \{ \langle \eta, \xi \rangle \mid \eta \in \text{dom}(a_{\ell(p)}), \xi = \nu(a_{\ell(p)}(\eta)) \text{ and } \xi > f_{|f^{p, \ell(p)}(a_{\ell(p)}(\eta))| - 1}(a_{\ell(p)}(\eta)) \}$ .

Now the order  $\leq$  on  $\mathbb{P}_{\bar{E}}^{se}$  is defined recursively as  $\mathbb{P}_{\bar{E}}$  was defined using one step extensions and  $\leq^*$ .

The arguments of [8] adopt here straightforwardly to show that  $\langle \mathbb{P}_{\bar{E}}^{se}, \leq, \leq^* \rangle$  is a Prikry type forcing notion. Cardinals structure of a generic extension changes due to the assignment functions. Thus,  $\kappa^{+\omega+2}$  is collapsed to  $\kappa^+$ .

Define now the equivalence relation  $\longleftrightarrow$  and a forcing order  $\longrightarrow$  which will allow us to preserve  $\kappa^{+\omega+2}$ .

**Definition 2.7** (Equivalence of conditions) Let  $t, s \in \mathbb{P}_{\bar{E}}^{se}$ . Let  $t = \langle p, \langle a_n \mid \ell(p) \leq n < \omega \rangle \rangle, \langle f_n \mid n < \omega \rangle \rangle$  and  $s = \langle q, \langle p, \langle b_n \mid \ell(q) \leq n < \omega \rangle \rangle, \langle g_n \mid n < \omega \rangle \rangle$ . Set  $t \longleftrightarrow s$  iff

1.  $p = q$ ,
2.  $f_n = g_n$ , for every  $n < \omega$ ,
3. for every  $\ell(p) \leq n < \omega$ ,
  - (a)  $\text{dom}(a_n) = \text{dom}(b_n)$ ,
  - (b) for every  $k < \omega$ ,  $\text{rng}(a_n)$  and  $\text{rng}(b_n)$  realize the same  $k$ -type, for all but finitely many  $n$ 's. Moreover they always realize the same 4-type.

**Definition 2.8** (The new order of  $\mathbb{P}_{\bar{E}}^{se}$ )

Let  $t, s \in \mathbb{P}_{\bar{E}}^{se}$ . Set  $t \longrightarrow s$  iff there exists a finite sequence  $\langle r_i \mid i \leq k \rangle$  of elements of  $\mathbb{P}_{\bar{E}}^{se}$  such that

1.  $t = r_0$ ,
2.  $s = r_k$ ,
3. for every  $i < k$  either
  - $r_i \leq r_{i+1}$
  - or
  - $r_i \longleftrightarrow r_{i+1}$ .

The next lemmas are standard:

**Lemma 2.9**  $\langle \mathbb{P}_{\bar{E}}^{se}, \longrightarrow \rangle$  is a nice subforcing of  $\langle \mathbb{P}_{\bar{E}}^{se}, \leq \rangle$ .

**Lemma 2.10** The forcing  $\langle \mathbb{P}_{\bar{E}}^{se}, \longrightarrow \rangle$  satisfies  $\kappa^{+\omega+2}$ -c.c.

**Lemma 2.11** In  $V^{\langle \mathbb{P}_{\bar{E}}^{se}, \longrightarrow \rangle}$ ,  $\text{cof}(\kappa) = \omega$  and  $2^\kappa = (\kappa^{+\omega+2})^V = \kappa^{++}$ .

### 3 Way 3.

We will proceed as in Gap  $\omega + 2$ -doing without preparation [7] (or make the preparation preserving strongness of  $\kappa$ ).

Instead of the chain condition properness will be proved.

We will have an isomorphism  $a_n$  between a  $\leq \kappa$ -suitable structures over  $\kappa$  at a level  $n < \omega$ . Both will be here over  $\kappa$  and actually over  $\kappa^{+\omega+2}$ . Models of the size  $\kappa^{+\omega+1}$  will correspond to those of the size  $\kappa^{+n+1}$ . So  $\kappa^{+n+2}$  will correspond to  $\kappa^{+\omega+2}$ . Actually if  $E_n$  was a  $(\kappa, \kappa^{+\omega+1})$ -extender, then every regular cardinal of the interval  $[\kappa^{+n+2}, \kappa^{+\omega+1}]$  will correspond to  $\kappa^{+\omega+2}$ .

A difference here from the usual short extenders forcings setting is that the number of types may be beyond a size of a model in the domain of an assignment function. This may effect the arguments that show the properness. Thus, for example, suppose that we like to prove  $\eta$ -properness for some  $\eta = \kappa^{+n}$ . A model  $M$  of size  $\eta$  is picked with a condition  $p$  inside is picked. First we extend  $p$  by adding  $M$  as a top model of the domain. Then we need to argue that the resulting condition is  $M$ -generic. For this purpose extensions are taken, but here there is no guarantee that their ranges realize types inside  $M$ .

Let us split into two settings which deal with this problem differently and also produce different PCF configuration.

#### 3.1 First setting.

We restrict the number of types which will be allowed to use.

Fix  $\mathfrak{A}^* \prec \langle H(\chi^{+\omega+2}), \in, <, \kappa, \langle E_n \mid n < \omega \rangle, \dots \rangle$  of cardinality  $\kappa^+$ .

**Definition 3.1** A set  $t$  is called an allowed type if for some  $k < \omega$ ,  $t$  is a  $\mathfrak{L}_k$ -type realized by an ordinal  $\xi < \chi$  in  $\mathfrak{A}_k$  and  $t \in \mathfrak{A}^*$ .

**Lemma 3.2**

1. Let  $t$  be an allowed type. Then  $t$  is realized in  $\mathfrak{A}^*$ .
2. Let  $\xi \in \mathfrak{A}^* \cap \chi$ , then for every  $k < \omega$ ,  $tp_k(\xi)$  is an allowed type.

*Proof.* Both items follow since  $\mathfrak{A}^* \prec \langle H(\chi^{+\omega+2}), \in, <, \kappa, \langle E_n \mid n < \omega \rangle, \dots \rangle$  and so  $\mathfrak{A}_k \in \mathfrak{A}^*$ .  
 $\square$

**Lemma 3.3** Suppose  $M, A \in \mathfrak{A}^*$  are such that

1.  $M, A \prec H(\kappa^{+\omega+2})$ ,
2.  $M \in A$ ,
3.  $|M| = \kappa^{n+1} > |A| \geq \kappa$ , for some  $n < \omega$ ,
4.  $M \supseteq \kappa^{n+1}$ ,
5.  $\kappa_n M \subseteq M$ .

Then there is  $A' \in M \cap \mathfrak{A}^*$  which realizes the same  $n$ -type as  $A$  does over  $A \cap M$ , i.e.  $tp_n(A \cap M, A) = tp_n(A \cap M, A')$ .

*Proof.* All  $n$ -types are in  $M$ , since  $M \supseteq \kappa^{n+1}$ ,  $A \cap M \in M$ , since  $\kappa_n M \subseteq M$ . Hence there is  $A' \in H(\chi^{+\omega+2}) \cap M$  which realizes the same  $n$ -type as  $A$  does over  $A \cap M$ . But  $\mathfrak{A}^* \prec H(\chi^{+\omega+2})$  and all the needed parameters  $H(\chi^{+\omega+2}), A, M$  are in  $\mathfrak{A}^*$ . Hence there is such  $A'$  inside  $\mathfrak{A}^*$ .  
 $\square$

We define now the forcing.

At a level  $n$  we will have an isomorphism  $a_n$  between a  $\leq \kappa$ -suitable structures over  $\kappa$ . Both will be here over  $\kappa$ . Recall that  $E_n$  is a  $(\kappa, \kappa^{+n})$ -extender. Let us change enumeration a bit and assume that  $E_n$  is a  $(\kappa, \kappa^{+n+1+n+1})$ -extender. Models of the size  $\kappa^{+\omega+1}$  will correspond to those of the size  $\kappa^{+n+1+n+1}$ . So  $\kappa^{+2n+3}$  will correspond to  $\kappa^{+\omega+2}$ . Actually if  $E_n$  was a  $(\kappa, \kappa^{+\omega+1})$ -extender, then every regular cardinal of the interval  $[\kappa^{+2n+3}, \kappa^{+\omega+1}]$  will correspond to  $\kappa^{+\omega+2}$ .

Let us turn to formal definitions.

**Definition 3.4** The forcing notion  $\mathbb{P}_{\vec{E}}^{se}$  consists of elements  $t$  of the form  $\langle p, \langle a_n \mid \ell(p) \leq n < \omega \rangle, \langle f_n \mid n < \omega \rangle \rangle$ , where

1.  $p \in \mathbb{P}_{\vec{E}}$ ,
2. for every  $\ell(p) \leq n < \omega$ ,
  - (a)  $a_n$  is an isomorphism between a  $\kappa$ -suitable structure  $\mathfrak{X}$  over  $\kappa$  with models of cardinalities  $\{\kappa^+, \dots, \kappa^{+n}, \kappa^{+\omega+1}\}$  (see [7]) which include  $\mathfrak{A}^* \cap H(\kappa^{+\omega+2})$  and a  $\kappa$ -suitable structure  $\mathfrak{X}'$  over  $\kappa$  with models in  $\mathfrak{A}^*$  of cardinalities  $\{\kappa^{+n+1}, \kappa^{+n+2}, \dots, \kappa^{+n+1+n}, \kappa^{+n+1+n+1}\}$ , such that models of cardinality  $\kappa^{+k}, k \leq n$  are moved to models of cardinality  $\kappa^{+n+1+k}$ . Models of size  $\kappa^{+\omega+1}$  are moved to those of size  $\kappa^{+n+1+n+1}$ . This way  $\kappa^{+\omega+2}$  will correspond over the level  $n$  to  $\kappa^{+2n+3}$ .  
We can allow models of sizes  $\kappa^{+k}, n < k < \omega$  in  $\mathfrak{X}'$  and move them to models of cardinality  $\kappa^{+n+1+n+1}$  the same way as models of size  $\kappa^{+\omega+1}$  are moved.
  - (b)  $\text{rng}(a_n) \subseteq \text{dom}(f_n)$  under some fixed coding,
  - (c) types of members of  $\text{rng}(a_n)$  are allowed types only,
3. for every  $n < \omega, f_n : \kappa^{+\omega+2} \rightarrow \kappa$  is a partial function of cardinality  $\leq \kappa$ ,
4. for every  $\ell(p) \leq n \leq m < \omega$ ,  $\text{dom}(a_n) \leq \text{dom}(a_m)$  in the order of suitable structures of [7],
5. if  $\ell(p) \leq n \leq m$ , then  $\max(\text{dom}(a_n)) = \max(\text{dom}(a_m))$ .
6. for every  $n, \ell(p) \leq n < \omega$ , and  $X \in \text{dom}(a_n)$  we have that for each  $k < \omega$  the set  $\{m < \omega \mid \neg(a_m(X) \cap H(\chi^{+k}) \prec H(\chi^{+k}))\}$  is finite. [Alternatively require only that  $a_m(X) \subseteq \lambda_m$  but there is  $\tilde{X} \prec H(\chi^{+k})$  such that  $a_m(X) = \tilde{X} \cap \lambda_m$ . It is possible to define being  $k$ -good this way as well).
7. For every  $n \geq \ell(p)$  and  $\alpha \in \text{dom}(f_n)$  there is  $m, n \leq m < \omega$  such that  $\alpha \in \text{dom}(a_m) \setminus \text{dom}(f_m)$ .
8. There is a  $\kappa$ -structure with pistes  $\mathfrak{p}$  over  $\kappa$  such that
  - (a)  $\mathfrak{p} \geq \text{dom}(a_n)$ , for every  $n, \ell(p) \leq n < \omega$ ,
  - (b) if a model  $A$  appears in  $\mathfrak{p}$ , then  $A$  appears in  $\text{dom}(a_n)$  for some  $n, \ell(p) \leq n < \omega$  (and then in a final segment of them),

(c)  $\max(\text{dom}(a_n)) = \max(\mathfrak{p})$  (actually this follows from the previous condition).

The relations  $\leq, \leq^*, \longleftrightarrow, \longrightarrow$  are defined on  $\mathbb{P}_{\bar{E}}^{\text{se}}$  as in the previous section.

The next lemmas are standard:

**Lemma 3.5**  $\langle \mathbb{P}_{\bar{E}}^{\text{se}}, \longrightarrow \rangle$  is a nice subforcing of  $\langle \mathbb{P}_{\bar{E}}^{\text{se}}, \leq \rangle$ .

**Lemma 3.6** In  $V^{\langle \mathbb{P}_{\bar{E}}^{\text{se}}, \longrightarrow \rangle}$ ,  $\text{cof}(\kappa) = \omega$  and  $2^\kappa = (\kappa^{+\omega+2})^V = \kappa^{++}$ .

Let us deal with the cardinals preservation.

We will follow closely [7]

Our tusk will be to prove the following two lemmas:

**Lemma 3.7**  $\langle \mathcal{P}, \rightarrow \rangle$  is  $\kappa^+$ -proper.

**Lemma 3.8**  $\langle \mathcal{P}, \rightarrow \rangle$  is  $\eta$ -proper, for every regular  $\eta, \kappa^+ \leq \eta \leq \kappa^{+\omega+2}$ .

*Proof of 3.7.* Let  $p \in P$  and  $M \prec H(\lambda)$  (for large enough  $\lambda$ ) with  $|M| = \kappa^+, {}^\kappa M \subseteq M$ ,  $P, p, \mathfrak{A}^* \in M$ .

Set  $M' := M \cap H(\kappa^{+\omega+2})$ . Extend  $p$  by adding  $M'$  to  $\text{dom}(a_n(p))$  as the largest model, make it potentially limit point. Pick a sequence of models with increasing goodness and cardinalities realizing allowed types and which is in  $M'$  to be a sequence of images of  $M'$ .

Let  $p'$  be the resulting condition. We claim that  $p'$  is  $(M, P)$ -generic.

Let  $q \geq p'$  and  $D \in M$  be a dense open. Let us show that there is an element of  $D \cap M$  which is compatible with  $q$ . Consider  $\mathfrak{q}$  the  $\kappa$ -structure with pistes over  $\kappa$  of  $q$ . Now,  $\mathfrak{q} \upharpoonright M'$  is  $\kappa$ -structure with pistes over  $\kappa$ .

Pick some  $M'' \prec H(\kappa^{+\omega+2})$  of size  $\kappa^+$ ,  $M'' \in M'$  and such that  $\mathfrak{q} \upharpoonright M'$  with  $M'$  removed is in  $M''$ <sup>1</sup>. Add  $M''$  to  $\mathfrak{q} \upharpoonright M'$  both to domains of  $a_n(\mathfrak{q} \upharpoonright M')$  and pick a sequence of models with increasing goodness and cardinalities realizing allowed types and which is in  $M''$  to be a sequence of images of  $M''$ . Denote the result by  $\mathfrak{q}'$  and a corresponding condition by  $q'$  (i.e. we extend  $q$  in order to incorporate  $M''$ ).

Set  $\mathfrak{q}'' = \mathfrak{q}' \upharpoonright M''$ . It is a  $\kappa$ -structure with pistes over  $\kappa$ . Let  $q'' \in M$  be a corresponding condition. Pick  $r \in M \cap D$  above  $q''$ . Combine  $r$  with  $q$ . The result will be as desired. Notice that there is no need to pass to an equivalent condition here.

□

*Proof of 3.8.*

<sup>1</sup>This is possible since only allowed types are used in the range and hence all of them are in  $M'$  and here a crucial use of allowed types is made.

Let  $\eta$  be a regular cardinal such that  $\kappa^+ < \eta \leq \kappa^{+\omega+2}$ . Suppose that  $p \in P$  and  $M \prec H(\lambda)$  (for large enough  $\lambda$ ) with  $|M| = \eta$ ,  ${}^\eta M \subseteq M$ ,  $P, p, \mathfrak{A}^* \in M$ .

Set  $M' := M \cap H(\kappa^{+\omega+2})$ . If  $\eta = \kappa^{+n}$ , for some  $n < \omega$ , then we can assume without loss of generality that  $\ell(p) > n$ . Just otherwise replace  $p$  by its a non-direct extension  $p' \in M$  of with  $\ell(p') > n$ .

Extend  $p$  by adding  $M'$  to  $\text{dom}(a_n(p))$  as the largest model, make it potentially limit point. If  $\eta = \kappa^{+k}$ , for some  $k < \omega$ , then pick a sequence of models with increasing goodness realizing allowed types and which is in  $M'$  to be a sequence of images of  $M'$ .

Let  $p'$  be the resulting condition. We claim that  $p'$  is  $(M, P)$ -generic.

Let  $q \geq p'$  and  $D \in M$  be a dense open. Extending if necessary, we can assume that  $q \in D$ . Let us show that some condition in  $D \cap M$  which is compatible with  $q$ .

Consider  $\mathfrak{q}$  the  $\kappa$ -structure with pistes over  $\kappa$  of  $q$ . Extending if necessary, we can assume that  $A^{0\kappa^+}(\mathfrak{q})$  is the maximal model of  $\mathfrak{q}$ . Consider also  $\mathfrak{q} \upharpoonright M'$ . Note that it need not be  $\kappa$ -structure with pistes over  $\kappa$ , since there may be no single maximal model of size  $\kappa^+$  inside. Let us reflect  $A^{0\kappa^+}(\mathfrak{q})$  and  $q$  down to  $M$  over  $A^{0\kappa^+}(\mathfrak{q}) \cap M$ , i.e. we pick some  $A' \in M$  and  $q'$  which realizes the same  $k$ -type (for some  $k < \omega$  sufficiently big) over  $A^{0\kappa^+}(\mathfrak{q}) \cap M$  as  $A^{0\kappa^+}(\mathfrak{q})$  and  $q$  do in a rich enough language which includes  $D$  as well. <sup>2</sup> In particular  $q' \in D \cap M^3$ . Now  $q'$  is compatible with  $q$ . Just pick some model  $A$  of cardinality  $\kappa^+$  which includes all relevant information, i.e.  $A^{0\kappa^+}(\mathfrak{q}), A', q, q', M'$  etc. The triple  $A, A^{0\kappa^+}(\mathfrak{q}), A'$  will form a  $\Delta$ -system triple relatively to  $M'$  and the model which corresponds to  $M'$  in  $A'$ . Combine  $q, q'$  together adding  $A$  as the maximal model and replacing models in the range of  $q$  by equivalent ones in order to fit with the range of  $q'$ .

□

Finally, combining together the lemmas, we obtain the following:

**Theorem 3.9** *Let  $G$  be a generic subset of  $\langle \mathcal{P}, \longrightarrow \rangle$ . Then  $V[G]$  is a cardinal preserving extension of  $V$  in which  $\text{cof}(\kappa) = \omega$  and  $2^\kappa = \kappa^{+\omega+2}$ .*

Let us specify the resulting PCF structure in  $V[G]$ .

**Theorem 3.10** *Let  $G$  be a generic subset of  $\langle \mathcal{P}, \longrightarrow \rangle$  and  $\langle \kappa_n \mid n < \omega \rangle$  be the Prikry sequence for the normal measures of the extenders. Then  $V[G]$  satisfies the following:*

1. for every  $k, 1 \leq k < \omega$ ,  $\text{cof}(\langle \prod_{n < \omega} \kappa_n^{+k}, \text{<bounded> } \rangle) = \kappa^{+k}$ ,

<sup>2</sup>We follow here a suggestion by Carmi Merimovich to include  $D$  into the language.

<sup>3</sup>Note that  $\text{rng}(q) \in M$  since only allowed types are used there and all of them are in  $M$ , since  $\mathfrak{A}^* \in M$ .

2.  $\text{cof}(\langle \prod_{n < \omega} \kappa_n^{+n+1+k}, \langle \text{bounded} \rangle \rangle) = \kappa^{+k},$
3.  $\text{cof}(\langle \prod_{n < \omega} \kappa_n^{+n+1+n+1}, \langle \text{bounded} \rangle \rangle) = \kappa^{+\omega+1},$
4.  $\text{cof}(\langle \prod_{n < \omega} \kappa_n^{+n+1+n+2}, \langle \text{bounded} \rangle \rangle) = \kappa^{+\omega+2}.$

*Proof.* Follows by the construction. Only note that the first item is true since the equivalence  $\longleftrightarrow$  does not effect  $\kappa_n^{+k}$  for a fixed  $k$ .

□

**Remark 3.11** 1. A new element here that does not hold in the usual Short extenders settings is that all indiscernibles correspond here to a unique cardinal  $\kappa$ .

2. It is possible to replace  $\kappa^{+\omega+2}$  by any  $\kappa^{+\alpha+2}$  with  $\omega \leq \alpha < \omega_1$ .
3. Using stronger initial assumptions it is possible obtain arbitrary higher gaps in a similar setting.

### 3.2 Second setting.

Here all types will be allowed but assignment function will act on models of sizes  $< \kappa^{+\omega}$  almost as the identity. In particular models of cardinality  $\kappa^{+k}$  will be moved to models of the same cardinality, for every  $k, 1 \leq k < \omega$ . Properness for each size below  $< \kappa^{+\omega}$  will be proved as those for the smallest size  $\kappa^+$  without the reflection into a model. Only for  $\kappa^{+\omega}$ -properness the reflection argument will be used.

Let us turn to formal definitions.

**Definition 3.12** The forcing notion  $\mathbb{P}_{\bar{E}}^{se}$  consists of elements  $t$  of the form  $\langle p, \langle a_n \mid \ell(p) \leq n < \omega \rangle \rangle, \langle f_n \mid n < \omega \rangle \rangle$ , where

1.  $p \in \mathbb{P}_{\bar{E}}$ ,
2. for every  $\ell(p) \leq n < \omega$ ,
  - (a)  $a_n$  is an isomorphism between a  $\kappa$ -suitable structure  $\mathfrak{X}$  over  $\kappa$  with models of cardinalities  $\{\kappa^+, \dots, \kappa^{+n}, \kappa^{+\omega+1}\}$  (see [7]) and a  $\kappa$ -suitable structure  $\mathfrak{X}'$  over  $\kappa$  with models of cardinalities  $\{\kappa^+, \kappa^{++}, \dots, \kappa^{+n}, \kappa^{+n+1}\}$ , such that models of cardinality  $\kappa^{+k}, k \leq n$  are moved to models of cardinality  $\kappa^{+k}$ . Models of size  $\kappa^{+\omega+1}$  are moved to those of size  $\kappa^{+n+1}$ . This way  $\kappa^{+\omega+2}$  will correspond over the level  $n$  to  $\kappa^{+n+2}$ .

- (b)  $\text{rng}(a_n) \subseteq \text{dom}(f^{p,n})$  under some fixed coddling.
3. For every  $A$  in  $\mathfrak{X}$  there is a non-decreasing converging to infinity sequence of natural numbers  $\langle k_n \mid n < \omega \rangle$  such that for every  $n < \omega$ ,  $A$  and  $a_n(A)$  realize the same  $k_n$ -type. Note that once  $|A| < \kappa^{+\omega}$  then starting with  $n_0$  such that  $|A| < \kappa^{+k_{n_0}}$  we will have  $A \cap |A|^+ = a_n(A) \cap |A|^+$ , for every  $n \geq n_0$ , since they realize the same  $|A|^+$ -type.
  4. for every  $n < \omega, f_n : \kappa^{+\omega+2} \rightarrow \kappa$  is a partial function of cardinality  $\leq \kappa$ ,
  5. for every  $\ell(p) \leq n \leq m < \omega$ ,  $\text{dom}(a_n) \leq \text{dom}(a_m)$  in the order of suitable structures of [7],
  6. if  $\ell(p) \leq n \leq m$ , then  $\max(\text{dom}(a_n)) = \max(\text{dom}(a_m))$ .
  7. for every  $n, \ell(p) \leq n < \omega$ , and  $X \in \text{dom}(a_n)$  we have that for each  $k < \omega$  the set  $\{m < \omega \mid \neg(a_m(X) \cap H(\chi^{+k}) \prec H(\chi^{+k}))\}$  is finite.] (Alternatively require only that  $a_m(X) \subseteq \lambda_m$  but there is  $\tilde{X} \prec H(\chi^{+k})$  such that  $a_m(X) = \tilde{X} \cap \lambda_m$ . It is possible to define being  $k$ -good this way as well).
  8. For every  $n \geq \ell(p)$  and  $\alpha \in \text{dom}(f_n)$  there is  $m, n \leq m < \omega$  such that  $\alpha \in \text{dom}(a_m) \setminus \text{dom}(f_m)$ .
  9. There is a  $\kappa$ -structure with pistes  $\mathfrak{p}$  over  $\kappa$  such that
    - (a)  $\mathfrak{p} \geq \text{dom}(a_n)$ , for every  $n, \ell(p) \leq n < \omega$ ,
    - (b) if a model  $A$  appears in  $\mathfrak{p}$ , then  $A$  appears in  $\text{dom}(a_n)$  for some  $n, \ell(p) \leq n < \omega$  (and then in a final segment of them),
    - (c)  $\max(\text{dom}(a_n)) = \max(\mathfrak{p})$  (actually this follows from the previous condition).

The relations  $\leq, \leq^*, \longleftrightarrow, \longrightarrow$  are defined on  $\mathbb{P}_E^{se}$  as before.

The next lemmas are standard:

**Lemma 3.13**  $\langle \mathbb{P}_E^{se}, \longrightarrow \rangle$  is a nice subforcing of  $\langle \mathbb{P}_E^{se}, \leq \rangle$ .

**Lemma 3.14** In  $V^{\langle \mathbb{P}_E^{se}, \longrightarrow \rangle}$ ,  $\text{cof}(\kappa) = \omega$  and  $2^\kappa = (\kappa^{+\omega+2})^V = \kappa^{++}$ .

Let us deal with the cardinals preservation.

We will follow closely [7]

The next two lemmas are proved exactly as before. In the first lemma note that the restriction of a condition to the main model will be inside the model (up to passing to

equivalent one) (i.e.  $q \upharpoonright M \in M$ ) due to the item 3 of Definition 3.12. For the second lemma only note that for every  $n < \omega$  the number of  $n$ -types is  $\kappa^{+n+1}$  and it is below the size of the main model of the argument which is  $\kappa^{+\omega+1}$ .

**Lemma 3.15**  $\langle \mathcal{P}, \rightarrow \rangle$  is  $\kappa^+$ -proper.

**Lemma 3.16**  $\langle \mathcal{P}, \rightarrow \rangle$  is  $\kappa^{+\omega+1}$ -proper.

Let us turn to a lemma that requires now a new argument.

**Lemma 3.17**  $\langle \mathcal{P}, \rightarrow \rangle$  is  $\eta$ -proper, for every regular  $\eta, \kappa^+ < \eta < \kappa^{+\omega}$ .

*Proof.* Let  $\eta$  be a regular cardinal such that  $\kappa^+ < \eta < \kappa^{+\omega}$ . Suppose that  $p \in P$  and  $M \prec H(\lambda)$  (for large enough  $\lambda$ ) with  $|M| = \eta, {}^{\eta}M \subseteq M, P, p, \mathfrak{A}^* \in M$ .

Set  $M' := M \cap H(\kappa^{+\omega+2})$ . We can assume without loss of generality that  $\ell(p) > n$ . Just otherwise replace  $p$  by its a non-direct extension  $p' \in M$  of with  $\ell(p') > n$ .

Extend  $p$  by adding  $M'$  to  $\text{dom}(a_n(p))$  as the largest model, make it potentially limit point.

Pick a sequence of models  $\langle M'_n \mid n < \omega \rangle$  with increasing goodness realizing types as those of  $M'$  and let it be the sequence of images of  $M'$ .

Let  $p'$  be the resulting condition. We claim that  $p'$  is  $(M, P)$ -generic.

Let  $q \geq p'$  and  $D \in M$  be a dense open.

Note that models of the range of  $q$  nor their types need to be in  $M$  due to its relatively small cardinality. Namely  $\eta^+$ -types and up. So the previous reflection argument does not apply in the present situation.

Let us proceed a bit differently.

Without loss of generality assume that the largest model of  $q$  has cardinality  $\eta^-$ , i.e. the immediate predecessor of  $\eta$ . Reflect in the domain. Thus consider  $\mathfrak{X}(q)$ . Let  $A$  be its largest model. Reflect it to  $M'$  over  $M' \cap A$  and find  $A' \in M'$  which realizes the same  $\eta^-$ -type over  $M' \cap A$  as  $A$  does. Require also the measures which correspond to  $A$  and  $A'$  are the same (this is automatic once  $\eta^- > \kappa^+$ ). Then  $A, A'$  will be of a  $\Delta$ -system type relatively to  $M'$ .

Now, for every  $n < \omega$ , find  $A'_n \in M'_n$  which corresponds to  $A$  in  $M'_n$  (say have the same index in some fixed in advance enumeration etc.). Due to the similarity of types of  $M$  and  $M'_n$ 's (the item 3 of Definition 3.12) also  $a_n(q)(A), A'_n$  will be of a  $\Delta$ -system type relatively to  $M'_n$ .

Replace models of size  $\kappa^{+\omega+1}$  by those of size  $\kappa^{+n+1}$  in  $A'_n$  in the obvious fashion according to  $a_n(q)(A)$ . Denote the resulting reflection by  $q'$ .

Then  $q' \in M$  and so it is possible to extend it to some  $q'' \in M \cap D$ . Let  $B''$  denotes the largest model of  $q''$ . Again we can assume that  $|B''| = \eta^-$ .

Now let us reflect back. Find  $B$  which realizes the same  $\eta^{--}$ -type over  $A$  as  $B''$  does over  $A'$  relatively to  $M$ . Require also the corresponding measures to be the same (it is possible even if  $\eta$  was  $\kappa^{++}$ , since  $M \supseteq \kappa^{++}$ ). Then  $B, B''$  will be of a  $\Delta$ -system type relatively to  $M$ . Do the same for each  $n < \omega$  and define  $B_n$  which corresponds to  $B''_n$ , where  $B''_n$  is the model which corresponds to  $B$  at the level  $n$ .

This way a common extension  $r$  of  $q$  and  $q''$  is constructed. So  $r \in D$  and we are done.

□

Finally, combining together the lemmas, we obtain the following:

**Theorem 3.18** *Let  $G$  be a generic subset of  $\langle \mathcal{P}, \longrightarrow \rangle$ . Then  $V[G]$  is a cardinal preserving extension of  $V$  in which  $\text{cof}(\kappa) = \omega$  and  $2^\kappa = \kappa^{+\omega+2}$ .*

Let us specify the resulting PCF structure in  $V[G]$ .

**Theorem 3.19** *Let  $G$  be a generic subset of  $\langle \mathcal{P}, \longrightarrow \rangle$  and  $\langle \kappa_n \mid n < \omega \rangle$  be the Prikry sequence for the normal measures of the extenders. Then  $V[G]$  satisfies the following:*

1. for every  $k, 1 \leq k < \omega$ ,  $\text{cof}(\langle \prod_{n < \omega} \kappa_n^{+k}, <_{\text{bounded}} \rangle) = \kappa^{+k}$ ,
2. moreover, for every  $k, 1 \leq k < \omega$ ,  $\mathfrak{b}_{\kappa^{+k}}[a] = \{\kappa_n^{+k} \mid n < \omega\}$ ,  
where  $a$  is a set of regular cardinals which includes  $\{\kappa_n^{+k} \mid n < \omega\}$  and  $\mathfrak{b}_{\kappa^{+k}}[a]$  is a pcf-generator corresponding to  $\kappa_n^{+k}$ ,
3.  $\text{cof}(\langle \prod_{n < \omega} \kappa_n^{+n+1}, <_{\text{bounded}} \rangle) = \kappa^{+\omega+1}$ ,
4.  $\text{cof}(\langle \prod_{n < \omega} \kappa_n^{+n+2}, <_{\text{bounded}} \rangle) = \kappa^{+\omega+2}$ .

*Proof.* Follows by the construction. The first and the second items hold since models of cardinality  $\kappa^{+k}$  correspond always to models of the same cardinality, for every  $k, 1 \leq k < \omega$ . The last two items follow from the construction.

□

**Remark 3.20** 1. The PCF-structure here is more similar to the standard one (like Silver-Prikry or Extender based Prikry). Short extenders component effects solely  $\kappa^{+\omega+2}$ .

2. It is possible to replace  $\kappa^{+\omega+2}$  by any  $\kappa^{+\alpha+2}$  with  $\omega \leq \alpha < \omega_1$ .

3. Using stronger initial assumptions it is possible obtain arbitrary higher gaps in a similar setting.

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