Approximation algorithms for minimum tree partition
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Abstract
We consider a problem of locating communication centers. In this problem, it is required to partition the set of $n$ customers into subsets minimizing the length of nets required to connect all the customers to the communication centers. Suppose that communication centers are to be placed in $p$ of the customers locations. The number of customers each center supports is also given. The problem remains to divide a graph into sets of the given sizes, keeping the sum of the spanning trees minimal. The problem is NP-complete, and no polynomial algorithms with bounded error ratio can be given, unless $P = NP$. We present an approximation algorithm for the problem assuming that the edge lengths satisfy the triangle inequality. It runs in $O(p^{2}d^{*} + n^{2})$ time ($d^{*} = \min \{e \in E\}$) and comes within a factor of $2p - 1$ of optimal. When the sets' sizes are all equal this algorithm runs in $O(n^{2})$ time. Next, an improved algorithm is presented which obtains as an input a positive integer $x$ ($x \leq n - p$) and runs in $O(f(p,x^{2}))$ time, where $f$ is an exponential function of $p$ and $x$, and comes within a factor of $2 + (2p - 3)/x$ of optimal. When the sets' sizes are all equal it runs in $O(x^{2}e^{*}(n))$ time. A special algorithm is presented for the case $p = 2$. © 1998 Elsevier Science B.V. All rights reserved.

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1. Introduction
Let $G = (V, E)$ be a complete undirected graph, with a node set $V$ and an edge set $E$. The edges $e \in E$ have lengths $l(e)$ that satisfy the triangle inequality. We assume that each vertex represents a customer. The goal is to partition $V$ into $p$ subsets of given sizes, in order to locate a communication center in one node of each subset. The nodes of each subset will then be connected to this server through a subnetwork of minimum total length, that is, a minimum spanning tree (MST) of the subgraph induced by this subset of nodes. The Minimum Tree Partition Problem is to compute a partition with minimum total length.

More formally: Given $G = (V, E)$ with $|V| = n$, and $p$ positive integers $\{k_{i}\}_{i=1}^{p}$ such that $\sum_{i=1}^{p} k_{i} = n$, The Minimum Tree Partition Problem is to find a partition of $V$ into disjoint sets $\{P_{i}\}_{i=1}^{p}$ such that $\forall i \in \{1, \ldots, p\}$ $|P_{i}| = k_{i}$, and $\sum_{i=1}^{p} l(MST(P_{i}))$ is

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minimized, where \( \text{MST}(P(1)) \) is a minimum spanning tree in the graph induced on \( P \) and \( k(E) = \sum_{e \in E} |e| \) for \( E \subseteq P \).

The problem is NP-hard [6]. In this paper we introduce approximation algorithms with bounded error ratio. First, we describe a general algorithm for dividing the graph into \( p \) sets of cutsets. It runs in \( O(p^2d^4 + n^2) \) time where \( n = |P| \), and comes within a factor of \( 2p - 1 \) of optimal. When the sizes of the sets are all equal it runs in \( O(n^2) \) time. Next, we describe an algorithmic scheme, for any given value of a parameter \( \epsilon \in [1, \ldots, p-1] \) this algorithm runs in \( O(f(p,\epsilon)n^2) \) time, where \( f \) is an exponential function of \( p \) and \( \epsilon \), and comes within a factor of \( 2 + (2p - 3)/\epsilon \) of optimal. When the sizes of the sets are all equal this algorithm runs in \( O(2^{p\epsilon}n^{2\epsilon}) \) time.

For the case \( p = 2 \) we present an \( O(n^2) \) time algorithm that comes within a factor of 2 of optimal. For dividing the graph into 2 equal sized sets we prove that the optimal solution value is bounded by \( 3(\text{MST}(G))/2 \). For approximating the solution to this problem we define a 'K-centroid' which generalizes the concept of a centroid of a tree and prove its existence.

For small values of \( p \) these algorithms improve previously best-known performance of \( 4(1 - p/n) \) for partitioning the graph into \( p \) equal sized sets, given by Goemans and Williamson in [4] (see also [2, 10]). Our algorithms also improve for small \( p \) values the time requirement (from \( O(n^3\sqrt{\log \log n}) \)) and generalize the problem allowing the sets to be of different sizes.

For a given \( S \subseteq V \) consider all the partitions of \( S \) into \( p \) sets. For each partition compute the sum of lengths of the MSTDs over the subgraphs induced by the partition. Denote \( q(S) \) the minimum sum, obtained over all the possible partitions. Our problem is to approximate \( z(V) \), while Canda and Halldorsson [1] present a 4-approximation algorithm for the problem of maximizing \( z(S) \) over all subsets \( S \subseteq V, |S| = k \), where \( k \) is given.

Imielinska et al. [7] and Goemans and Williamson [5] present polynomial algorithms with bounded performance guarantees for the following problem (without the triangle inequality assumption): Given \( m \in \{2, \ldots, n\} \), find a minimum length spanning forest such that each of its trees spans at least \( m \) vertices. This is different from our problem in which the trees' exact sizes are given and for which it has been shown in [6] that no such approximation can be given unless \( P = NP \).

Approximation algorithm with bounded performance guarantees for the related min-max tree partition problem in which the goal is to partition the node set into \( p \) sets of equal size \( P_1, \ldots, P_b \) minimizing \( \max_{e \in (1, \ldots, p)} (\text{MST}(P_1)) \), are described in [6].

Our algorithms can also be used to approximate the problem of covering the graph by cycles, (by doubling all the trees and using the triangle inequality to replace each tree by a cycle whose size is at most twice the size of the tree). The resulting error bound is twice the corresponding bound for the tree partition problem.

We will use the following notations: For an edge \( e, \ell(e) \) is the length of \( e \). For a set of edges \( E, k(E) = \sum_{e \in E} \ell(e) \). For a graph \( G = (V,E), k(G) = k(E) \). For a set of nodes \( V' \), \( \text{MST}(V') \) is a MST on the subgraph induced by \( V' \). For a subgraph \( B \) we denote
by $V_B$ and $E_B$ the sets of nodes and edges in $B$, respectively. We denote by $\text{opt}$ the optimal solution value of the problem.

2. First approximation

2.1. The cycle procedure

Consider Cycle Part given in Fig. 1. This procedure takes a MST on the given graph and doubles its edges, getting an Eulerian cycle. This cycle is changed into a simple one of shortest or equal length using the triangle inequality. Then we divide the nodes in the graph according to the order by which they appear in the cycle. Starting by removing the longest edge, then taking the first $k_1$ nodes into the first set, the next $k_2$ nodes to the second set, etc.

Lemma 2.1. Let $\delta$ be the longest edge in an MST $T$ of $G$. Then the value $r$ returned by Cycle Part satisfies $r < 2(T) - \delta(\delta)$.

Proof. If $p = 1$, $r = (T) - 2(T) + \delta(\delta)$.

Suppose $p > 1$. For $i \in \{1, \ldots, p\}$, if $k_i \geq 2$ then \( \{(v_{n+1}, v_{n+2}), \ldots, (v_{n+k_i-2}, v_{n+k_i-1})\} \) is a spanning tree of $\tilde{P}_i$. Thus,

$$h(\text{MST}(\tilde{P}_i)) \leq \sum_{j=n}^{n+k_i-1} h(v_j, v_{j+1}) \quad \forall i \in \{1, \ldots, p\}.$$ 

Note that when $k_i = 1$, $h(\text{MST}(\tilde{P}_i)) = 0$ and the sum is also 0. It follows that

$$r = \sum_{i=1}^{p} h(\text{MST}(\tilde{P}_i)) \leq \sum_{i=1}^{p} \sum_{j=n}^{n+k_i-1} h(v_j, v_{j+1}) \leq (C) - h(C, v_n).$$

Since $(v_n, v_1)$ is the longest edge in $C$, $h(C, v_n) \geq \delta(\delta)$. (The longest edge in the MST appears in the cycle which was created by doubling the edges, when changing the cycle into a simple one this edge is either untouched, or is changed into a longer edge.) So the cycle contains an edge of length $\geq \delta(\delta)$. Hence, $r \leq (C) - \delta(\delta) \leq 2(T) - \delta(\delta)$.

To see that Cycle Part may produce a bad approximation consider the graph with $V = \{v_1, v_2, v_3\}$, $h(v_1, v_2) = h(v_2, v_3) = 1$ and $h(v_3, v_1) = 0$. The desired sizes for partitioning are: $\{2, 1\}$. A MST for this graph consists of the edges $(v_1, v_2), (v_2, v_3)$. The resulting simple cycle is $(v_1 - v_2 - v_3 - v_1)$ with the numbering of the nodes giving that $(v_1, v_2)$ is a longest edge of the cycle. In this case $P_1 = \{v_1, v_2\}, P_2 = \{v_3\}$, giving $r = 1$, while $\text{opt} = 0$. 

CyclePart
input
1. A graph \( G = (V, E) \), \( |V| = n \) and a MST \( T \).
2. A set of positive integers \( \{k_1, \ldots, k_p\} \) satisfying \( \sum_{i=1}^{p} k_i = n \).
returns
1. \( \{P_i\}_{i=1}^{p} \) where \( \bigcup_{i=1}^{p} P_i = V \) and \( |P_i| = k_i \) for each \( i \in \{1, \ldots, p\} \).
2. A value \( r \) satisfying \( r = \sum_{i=1}^{p} |\text{MST}(P_i)| \).
begin
if \( p = 1 \)
then
\( P_1 := V \),
\( r := |T| \),
return \( (\{P_1\}, r) \)
end if
Double all the edges in \( E_T \). A cycle \( C = (V, E_C) \) is created.
Change it into a simple cycle of equal or smaller size, using the triangle inequality.
Number the nodes in \( V \) so that \( E_C = \{(v_1, v_2), (v_2, v_3), \ldots, (v_{n-1}, v_n), (v_n, v_1)\} \),
where \( (v_m, v_n) \) is the longest edge in \( C \).
\( m_1 := 1 \)
\( m_i := m_{i-1} + k_{i-1}, \quad i = 2, \ldots, p \),
\( P_i := \{v_{m_{i-1}}, \ldots, v_{m_i}, v_{m_i + 1}\}, \quad 1 \leq i \leq p \)
\( r := \sum_{i=1}^{p} |\text{MST}(P_i)| \),
return \( (\{P_i\}_{i=1}^{p}, r) \)
end CyclePart

Fig. 1. The cycle partitioning routine.

2.2 Algorithm PartAlg

To partition \( G \) into \( p \) sets of sizes \( \{k_1, \ldots, k_p\} \) call PartAlg\( (G, \{k_1, \ldots, k_p\} \),
where PartAlg is defined in Fig. 2. This algorithm uses the previously defined CyclePart.
Step 2 of PartAlg removes, during its \( j \)th application, a set of \( j \) longest edges from
a MST of \( G \), creating \( j+1 \) components. It then checks whether a partition of the components
into subsets of sizes \( k_1, \ldots, k_p \) can be obtained. The step is repeated as long as such a partition exists. Finally, Step 3 applies CyclePart to each component
obtained in the last iteration.

Lemma 2.2. Let \( y \) be the value of \( e_{\text{coul}} \) when PartAlg reaches Step 3, let \( \{g_1, \ldots, g_{y-1}\} \) be the \( y-1 \) longest edges in \( T \), where \( g_1 \) is the longest of them.
Let \( apx \) be the value returned by PartAlg\( (G, \{k_1, \ldots, k_p\} \).
Then,
\[ \text{apx} \leq 2(l(T) - l(g_1)). \]
Part.Alg

input
1. A graph $G = (V, E)$, $|V| = n$
2. A set of positive integers $\{k_1, \ldots, k_p\}$ satisfying $\sum_{i=1}^{p} k_i = n$

returns
1. $\{P_i\}_{i=1}^{p}$ where $\bigcup_{i=1}^{p} P_i = V$ and $|P_i| = k_i$
2. A value apx satisfying $\text{apx} = \sum_{i=1}^{p} \lambda(MST(P_i))$

begin

Step 1
$T := \text{MST}(G)$
$PT := \{(T, \{k_1, \ldots, k_p\})\}$
end Step 1

Step 2
$done := 0$, $e.cou := 1$ ($e.cou$ for edge-count).
while ($done = 0$)

Remove the $e.cou$ longest edges in $E_T$.
A set of connected components $\{T_i\}_{i=1}^{e.cou+1}$ is created.
$(T_i)$ is a spanning tree of $E_{T_i}$.

Compute a partition of $\{k_1, \ldots, k_p\}$ into $e.cou + 1$ sets $\{K_1, \ldots, K_{e.cou+1}\}$, where $\sum_{i=1}^{e.cou+1} K_i = |V_T|$.
If (a partitioning $\{K_1, \ldots, K_{e.cou+1}\}$) is found
then
$PT := \{(T_i, K_i) | i = 1, \ldots, e.cou - 1\}$
$e.cou := e.cou + 1$
if ($e.cou = p$) then
$done := 1$
end if

else
$done := 1$
end if
end while

Step 3 for every ($i = 1, \ldots, e.cou$)
Call Cycle.Part($T_i, K_i$) where:
$\{P_{1i}, \ldots, P_{ki}\}$ is the returned partition,
$r_i$ is the returned value.
end for
return ($\{P_{11}, \ldots, P_{1e.cou}, \ldots, P_{k1}, \ldots, P_{ke.cou}\}$, $\text{apx} := \sum_{i=1}^{e.cou+1} r_i$)
end Step 3
end Part.Alg

Fig. 2. The partitioning algorithm.
and if $y > 1$,  
\[ apx \leq 2 \left( l(T) - \sum_{i=1}^{y-1} l(g_i) \right). \]

**Proof.** When entering Step 3 the set $PT$ satisfies $PT = \{(T_1, K_1), \ldots, (T_n, K_n)\}$, where the $T_i$s are the connected components created from $T$ when removing the edges \{$g_1, \ldots, g_{y-1}$\} from $U_i$ (if $y = 1$ then $T_1 = T$). Suppose that $y = 1$. Activating the cycle routine on $T$ according to Lemma 2.1 gives a value $r \leq 2l(T) - l(g_1)$. Hence for this special case $apx = r \leq 2l(T) - l(g_1)$.

Suppose now that $y > 1$. From the way the $T_i$s were obtained,  
\[ \sum_{i=1}^{y} l(T_i) = l(T) - \sum_{i=1}^{y-1} l(g_i). \quad (1) \]

For every $i \in \{1, \ldots, y\}$, by Lemma 2.1, $r_i \leq 2l(T_i)$, and with Eq. (1) we get  
\[ apx = \sum_{i=1}^{y} r_i \leq \sum_{i=1}^{y} 2l(T_i) \leq 2l(T) - \sum_{i=1}^{y-1} l(g_i). \quad \square \]

Let $\{O_i\}_{i=1}^{p}$ be an optimal partition, and denote the set of edges of $\text{MST}(O_i)$ as $E_{O_i}, i \in \{1, \ldots, p\}$. For every $i \neq j$, $i, j \in \{1, \ldots, p\}$, define $e_{i,j}$ to be an edge satisfying  
\[ l(e_{i,j}) = \min_{u \in E_{O_i}, v \in E_{O_j}} \{l(u, v)\}. \]

Consider the graph $G_0$, in which nodes represent the sets $O_i$ and the length of the edge between the node representing $O_i$ and the node representing $O_j$ is $l(e_{i,j})$.

Define $\{e_{i,j}^{+}\}_{i,j=1}^{p}$ to be the $p-1$ edges of a $\text{MST}$ in $G_0$. Rename the edges so:  
\[ l(e^{+}) = l(e_{1,2}) \leq l(e_{1,3}) \leq \cdots \leq l(e_{p-1, p}) = l(e_{p, 1}). \]

The set of edges $\bigcup_{i=1}^{p} E_{O_i} \cup \{e_1^{+}, \ldots, e_{p-1}^{+}\}$ defines a set of $p-j$ connected components. Let $\{U_{i,1} \cup \cdots \cup U_{i,p-j}\}$ be the sets of nodes in these components.

**Lemma 2.3.** The shortest edge between $U_i^j$ and $U_k^j$ for $i \neq k, \{i, k\} \subset \{1, \ldots, p-j\}$ is of length $\geq l(e_{i,k}^{+})$.

**Proof.** The set of edges $\{e_1^{+}, \ldots, e_{p-1}^{+}\}$ is a $\text{MST}$ in $G_0$. Suppose there is an edge $u$ between $U_i^j$ and $U_k^j$, such that $l(u) < l(e_{i,k}^{+})$. Add the corresponding edge in $G_0$, $u$, to $\{e_1^{+}, \ldots, e_{p-1}^{+}\}$. A cycle has been created. This cycle contains at least one edge, $f$, from $\{e_1^{+}, \ldots, e_{p-1}^{+}\}$ (since $e_1^{+}, \ldots, e_{p-1}^{+}$ are all edges inside the $U_i^j$ sets). Then, $l(f) \geq l(e_{i,k}^{+})$ and $\{e_1^{+}, \ldots, e_{p-1}^{+}\} \cup \{f\}$ is a strictly shorter spanning tree then $\{e_1^{+}, \ldots, e_{p-1}^{+}\}$, contradicting the fact that the latter is a MST. $\square$
Theorem 2.4 (Gale [3], see also Lawler [9]). Let \( T_1 = (V, E_1) \) be a MST of \( G = (V, E) \), and let \( T_2 = (V, F) \) be any spanning tree of \( G \). Suppose that \( H = (h_1, h_2, \ldots, h_n) \) is ordered so that \( h(1) \leq \cdots \leq h(h_n) \), and \( F = (f_1, f_2, \ldots, f_{n-1}) \) is ordered so that \( h(f_1) \leq \cdots \leq h(f_{n-1}) \). Then, \( h(h_i) \leq h(f_i) \forall i \in \{1, \ldots, n-1\} \).

Theorem 2.5. \( \text{opt} \leq (2p - 1) \text{opt} \).

Proof. When adding \( \{e^*_1, \ldots, e^*_p\} \) to \( \bigcup_{j=1}^p E_1 \), a spanning tree of \( G \) is created. Hence

\[
h(T) \leq \text{opt} + \sum_{i=1}^p h(e^*_i).
\]

Since \( T \) is a spanning tree it must contain at least \( p - 1 \) edges between any two nodes of the optimal solution. Let the number of these edges in \( T \) be \( z \). Consider a graph that contains \( p \) nodes (same nodes as in \( G_1 \)). Corresponding to \( O_1, \ldots, O_p \). Let the edges in this graph correspond to the \( z \) edges of \( T \) mentioned above, where such an edge connects a node corresponding to \( O_i \) to the node corresponding to \( O_j \) if the original edge connected in \( T \) a node from \( O_i \) with a node from \( O_j \). Look at \( p - 1 \) edges that create a spanning tree in this graph. Let these edges be \( f_1, \ldots, f_{p-1} \). \( h(f_1) \leq h(f_2) \leq \cdots \leq h(f_{p-1}) \). These edges satisfy that \( \{f_1, f_2, \ldots, f_{p-1}\} \subset E_2 \) and that (by Theorem 2.4)

\[
h(e^*_i) \leq h(f_i) \forall i \in \{1, \ldots, p - 1\}.
\]

We consider three cases:

1. \( \text{opt} < h(e^*_i) \). The set of edges \( \bigcup_{j=1}^p E_1 \cup \{e^*_1, \ldots, e^*_p\} \) is a spanning tree with at most \( p - 1 \) edges of length \( \geq h(e^*_i) \). Hence, by Theorem 2.4, \( T \) contains at most \( p - 1 \) edges of length \( \geq h(e^*_i) \). Removing from \( T \) its \( p - 1 \) longest edges leaves \( p \) components with all of their edges inside the optimal solution's sets of nodes. (Because the shortest edge between two nodes from different \( G_1 \)'s has to be at least \( h(e^*_i) \)). Therefore, these components are exactly the optimal solution, the value of \( h(T) \) will be \( \text{opt} \), which would mean that \( \text{opt} = \text{opt} \).

2. \( h(e^*_i) < \text{opt} < h(e^*_j) \) for some \( j \in \{1, \ldots, p - 2\} \). We will show that this case fails.

Thus after the removal of the \( p - j - 1 \) longest edges from \( T \) there are no edges left between nodes from different \( G_1 \)'s. \( T \) is disconnected into \( p - j \) connected components, giving that this partitioning has to be \( \{U_1, \ldots, U_{p-j}\} \). So, \( y \geq p - j \).
From \( j < p - 2 \) it follows that \( y > 2 \). We now use Lemma 2.2, the fact that the \( f_j \) edges are in \( T \), and Eq. (3),

\[
apx \leq 2 \left( l(T) - \sum_{i=0}^{p-2} l(f_{p-1}) \right) 
\leq 2 \left( l(T) - \sum_{i=0}^{j} l(f_{j-1}) \right) + 2 \sum_{i=0}^{j} l(e^*_i) 
\leq 2 \left( l(T) - \sum_{i=0}^{p-2} l(f_{p-1}) \right) + 2 \left( l(T) - \sum_{i=0}^{p-1} l(e^*_i) \right) + 2 \sum_{i=0}^{j} l(e^*_i).
\]

By Eq. (2) and the assumption of this case, and since \( j < p - 2 \)

\[
apx \leq 2opt + 2 \sum_{i=0}^{j} l(e^*_i) \leq 2opt + 2l(e^*_j) < 2opt + 2opt + 2opt \leq (2p - 2)opt.
\]

3. \( l(e^*_j) < opt \). By Lemma 2.2 and since \( f_{j+1} \) is in \( T \), \( apx \leq 2(l(T) - l(f_{j+1})) \leq 2l(T) - l(f_{j+1}) \). By Eqs. (3) and (2), and the assumption of this case,

\[
apx \leq 2(l(T) - l(e^*_j)) 
\leq 2 \left( l(T) - \sum_{i=0}^{p-1} l(e^*_i) \right) + 2 \sum_{i=0}^{j} l(e^*_i) + l(e^*_j) 
\leq 2 \left( l(T) - \sum_{i=0}^{p-1} l(e^*_i) \right) + (2p - 3)l(e^*_j) 
\leq 2opt + (2p - 3)l(e^*_j) 
\leq (2p - 1)opt. \quad \Box
\]

2.3. Tight example for Part A

Consider the graph with \( p(p + 1) \) nodes in Fig. 3(a). There are \( p + 1 \) sets of nodes in this graph, \( p - 1 \) of these sets contain \( p + 1 \) nodes each. There is one more set of nodes containing \( p \) nodes and one more set containing only one node. The edges inside each of these sets set of length 0. Edges between two sets have length 1. The objective is to divide the nodes into \( p \) sets of \( p + 1 \) nodes each.

A MST is shown in Fig. 3(b). Step 2 tries to remove a longest edge. Let the chosen edge be \( d \) shown in the figure. There is no partitioning of \( \{1, \ldots, k\} \) into sets of sizes \( \{1, p(p + 1) - 1\} \) so \( e \cup e = 1 \) when Step 3 is reached.

The cycle routine is activated for the MST. The simple cycle achieved is shown in Fig. 3(c). The resulting partitioning is shown in Fig. 3(d), giving \( apx = 2p - 1 \). An optimal solution consists of using the original \( p + 1 \)-nodes sets as sets in the partition,
Fig. 3. A tight example for $p$ partitioning.
and putting the original \( p \) nodes set together with the single node set, giving \( \text{opt} = 1 \).
So, \( \alpha \nu = (\nu - 1) \text{opt} \).

2.4. Complexity

We now analyze the complexity of Part-Alg.

Step 1 takes \( O(n^2) \).

Step 2 implements a loop which is activated at most \( p \) times. In each iteration the tree is scanned to find the next longest edge and the sizes of connected components when removing this edge. Next, a partitioning of \( \{k_1, \ldots, k_p\} \) is searched (only one is needed). Finding such a partitioning takes \( O(4^p) \). To find whether there is a partitioning of \( \{k_1, \ldots, k_p\} \) into \( e.\text{com} + 1 \) sets of sizes \( \{a_1, \ldots, a_{e.\text{com} + 1}\} \) define the next dynamic search:

\[
    f_i(S) \text{ is defined for } i \in \{1, \ldots, e.\text{com} + 1\} \text{ and every } S \subseteq \{k_1, \ldots, k_p\}.
    \]
\[
    f_i(S) \text{ receives the value } \text{true} \text{ if there is a partitioning of } S \text{ into } i \text{ sets of sizes } \{a_1, \ldots, a_i\}.
    \]
\[
    f_{i+1}(S) = \text{true} \text{ iff there is a partitioning of } T \subseteq S \text{ such that } f_i(S \setminus T) = \text{true} \text{ and } \sum_{k \in T} k_j = a_{i+1}.
    \]
\[
    f_i(S) = \text{true} \text{ if } \sum_{k \in T} k_j = a_i. \text{ There are } 2^p \text{ possible values of } S \text{ and } e.\text{com} + 1 \leq p \text{ values of } i. \text{ Hence there are } O(p2^p) \text{ values to compute. Every value takes } O(2^p) \text{ time to calculate (there are } O(2^p) \text{ possible subsets } T). \text{ So each iteration takes } O(p2^p) \text{ time.}
    \]

Thus Step 2 requires \( O(p(e.\text{com} + p2^p)) \) altogether. When \( k_i = n/p \forall i \in \{1, \ldots, p\} \) this step only requires to find the longest edge at each iteration and to compute the components' sizes, thus requiring only \( O(pn) \).

Step 3 calls Cycle Part for every pair in \( PT \). This takes \( O(|PT|^2) \). So all the calls for this procedure take \( O(n^2) \). Calculating \( r \) will take additional \( O(p) \). Thus Step 3 takes altogether \( O(n^2) \).

Altogether the algorithm takes \( O\left(p(n + p2^p) + n^2\right) \). When \( k_i = n/p \forall i \in \{1, \ldots, p\} \) the algorithm will take \( O(4n2^p) = O(n^2) \).

3. Improving the bound

In this section we describe an algorithm that achieves a better bound at the expense of a higher complexity. It uses a parameter \( x (x \leq n - p + 1) \) which determines the improvement, in the bound, and the higher complexity.

To partition \( G \) into \( p \) parts with sizes \( \{k_1, \ldots, k_p\} \) call Part-Alg \( x(G, \{k_1, \ldots, k_p\}) \), where Part-Alg \( x \) is defined in Fig. 4. This algorithm considers the \( x + p - 1 \) components obtained when \( x + p - 2 \) longest edges are removed from a MST of \( G \). It considers all of the possible combinations to aggregate these components into sets of sizes that enable us to produce a solution by applying the cycle routine to each set. The case \( x = 1 \) gives the same bound as Part-Alg, but with higher time complexity because it enumerates all of the possible combinations while Part-Alg only checks the existence of such a combination for each value of \( e.\text{com} \).
Part_Alg.x

input
1. A graph $G = (V,E)$.
2. A set of positive integers $\{k_1, \ldots, k_p\}$ satisfying $\sum_{i=1}^p k_i = n$.
returns
1. $\{P_1, \ldots, P_p\}$ where $\bigcup_{i=1}^p P_i = V$ and $|P_i| = k_i$.
2. A value $\text{apx}$ satisfying $\text{apx} = \sum_{i=1}^p |\text{MST}(P_i)|$.

begin

Step 1

$T := \text{MST}(G)$. $PT := \{(T, \{k_1, \ldots, k_p\})\}$.
$r := \ell(T) - \sum_{i=1}^p g_i$, where $g_i$ is the longest edge in $T$.

end Step 1

Step 2

Remove the $s + p - 2$ longest edges in $E_T$.
A set of connected components $\{C_1, \ldots, C_{s+p-1}\}$ has been created.
Compute all the partitions of $\{k_1, \ldots, k_p\}$ into $y$ sets $\{K_1, \ldots, K_y\}$,
and all the partitions of $V$ into $y$ sets $\{W_1, \ldots, W_y\}$ such that:
1. $2 \leq y \leq p$.
2. $\forall i \in \{1, \ldots, p - 1\} \exists j \in \{1, \ldots, y\}$ for which $V_i \subseteq W_j$.
3. $\sum_{i=1}^p k_i = |W|$.

for every pair of such partitions $\{K_1, \ldots, K_y\}$ and $\{W_1, \ldots, W_y\}$:

$t_{\text{min}} := \sum_{i=1}^y |\text{MST}(W_i)|$.
if ($t_{\text{min}} < r$)
then $r := t_{\text{min}}$, $PT := \{\text{MST}(W_i) \cap K_i \mid i = 1, \ldots, y\}$.
end if

end for

Step 3

$y := |PT|$
for every $(i = 1, \ldots, y)$,
Call Cycle_Part($T_i, K_i$) where:
$\{P_i[1], \ldots, P_i[y]\}$ is the returned partition,
$r_i$ is the returned value.
end for

return $\{P_1[1], \ldots, P_1[y], \ldots, P_p[1], \ldots, P_p[y]\}$, $\text{apx} := \sum_{i=1}^p r_i$.
end Step 3

end Part_Alg.x

Fig. 4. The improved partitioning algorithm.
3.1. Evaluating Part Alg x

The next 2 lemmas will be proved together.

**Lemma 3.1.** Let $q_i$ be the longest edge in $T$, then $apx \leq 2l(T) - l(q_i)$.

**Lemma 3.2.** Let $r_{temp}$ be a value calculated in Step 2. If in Step 3 $y > 1$ then $apx \leq 2r_{temp}$.

**Proof.** When entering Step 3 the set $PT$ is given by $PT = \{ (T_i, K_i), \ldots, (T_r, K_r) \}$ and if $y > 1$ then $\sum_{i=1}^{y} R(T_i) = r$.

Suppose that $y = 1$. Activating the cycle routine of $T$ gives, by Lemma 2.1, a value $r_i \leq 2l(T_i) - l(q_i)$. Hence for this special case $apx = r_i \leq 2l(T) - l(q_i)$.

Suppose now that $y > 1$. In this case, the value of $r$ when entering Step 3 is different from the initial value $l(T) - l(q_i)/2$. For every $r_{temp}$ along the algorithm

$$\sum_{i=1}^{y} R(T_i) = r \leq 2r_{temp}.$$  (4)

By Lemma 2.1, for every $i \in \{1, \ldots, y\}$, $r_i \leq 2l(T_i)$, implying $apx = \sum_{i=1}^{y} r_i \leq \sum_{i=1}^{y} 2l(T_i)$.

From Eq. (4), $apx \leq 2r_{temp}$. Since $r$ at the end of the algorithm obviously satisfies that $r \leq l(T) - l(q_i)/2$, for this case too $apx \leq 2r \leq 2l(T) - l(q_i)$.

**Theorem 3.3.** $apx < (2 + (2p - 2)/(p-1))opt$.

**Proof.** By adding $\{e_1^*, \ldots, e_p^*\}$, to $\bigcup_{j=1}^{p} E_0$, a spanning tree of $G$ is created. Hence,

$$l(T) \leq \text{opt} \leq \sum_{i=1}^{p} l(e_i^*).$$  (5)

1. $opt \leq l(e_1^*)$. The set of edges $\bigcup_{j=1}^{p-1} E_0$ contains at most $p - 1$ edges of length $\geq l(e_1^*)$. It follows that the set of edges $\bigcup_{j=1}^{p-1} E_0 \cup \{e_1^*, \ldots, e_{p-1}^*\}$ is a spanning tree with at most $(p - 1) + (p - 1)$ edges of length $\geq l(e_1^*)$. Hence, by Theorem 2.4, $T$ contains at most $x + p - 2$ edges of length $\geq l(e_1^*)$. Removing from $T$ its $x + p - 2$ longest edges leaves only edges inside the optimal solution set of nodes. (Because the shortest edge between two nodes from two different $G_j$'s has to be at least of length $l(e_1^*)$.) So there is a partitioning $\{W_1, \ldots, W_p\}$ which is exactly the optimal solution. That proves that when reaching Step 3, $r \leq \text{opt}$, and according to Lemma 3.2, $apx \leq 2r \leq 2\text{opt}$.

2. $xl(e_1^*) \leq opt < xl(e_j^*)$ for some $j \in \{1, \ldots, p-2\}$. In this case the set of edges $\bigcup_{j=1}^{p-1} E_0 \cup \{e_1^*, \ldots, e_{p-1}^*\}$ is a spanning tree with at most $x + p - 1 + p - j - 1$ edges of
length \( > (e_{p-1}) \). Then according to Theorem 2.4, \( T \) contains at most \( x + p - j - 2 \) edges of this length. Removing from \( T \) its \( x + p - 2 \) longest edges, will leave only edges of length \( < (e_{j+1}) \). Look at \( \{U'_i, \ldots, U'_{p-1} \} \) defined before. By Lemma 2.3, the shortest edge between \( U'_i \) and \( U'_j \) for every \( i, k \) is at least as long as \( (e_{i-1}) \).

Thus after the removal of the \( x + p - 2 \) longest edges from \( T \) there are no edges left between nodes from different \( U'_i \)'s. So there is a partitioning \( \{W_1, \ldots, W_{p-1} \} \) which is exactly \( \{U'_1, \ldots, U'_{p-1} \} \). Hence, when Step 3 is reached

\[
r \leq \sum_{i=1}^{p-1} (\text{MST}(U'_i))
\]

By the way the \( U'_i \) were defined

\[
\sum_{i=1}^{p-1} (\text{MST}(U'_i)) \leq \text{opt} + \sum_{i=1}^{p-1} (e_{i}^*)
\]

\[
\Rightarrow r \leq \text{opt} + \sum_{i=1}^{p-1} (e_{i}^*)
\]

By Lemma 3.2 \( \text{apx} \leq 2r \leq 2(\text{opt} + \sum_{i=1}^{p-1} (e_{i}^*)) \leq 2\text{opt} + 2j(e_{j}^*) \). Since \( j < p - 2 \) and according to the assumption of this case

\[
\text{apx} \leq 2\text{opt} + (2p - 4)(e_{j}^*) \leq \left(2 + \frac{2p - 4}{x}\right)\text{opt}
\]

3. \( x(e_{j+1}) \leq \text{opt} \). By Lemma 3.1 \( \text{apx} \leq 2(T) - (g_j) \). Clearly \( (g_j) \geq (e_{j+1}^*) \), and with Eq. (5) and the assumption of this case,

\[
\text{apx} \leq 2(T) - (e_{j+1}^*)
\]

\[
\leq 2 \left( (T) - \sum_{i=1}^{p-1} (e_{i}^*) \right) + 2 \sum_{i=1}^{p-1} (e_{i}^*) + (e_{j+1}^*)
\]

\[
\leq 2 \left( (T) - \sum_{i=1}^{p-1} (e_{i}^*) \right) + (2p - 3)(e_{j+1}^*)
\]

\[
\leq 2\text{opt} + (2p - 3)(e_{j+1}^*) \leq \left(2 + \frac{2p - 3}{x}\right)\text{opt}.
\]

3.2. Complexity

The complexity of this algorithm is \( O(f(p, x)n^2) \) where \( f \) is an exponential function of \( p \) and \( x \).

Step 1: Finding a MST takes \( O(p^2) \).

Step 2: We can scan the tree to find the \( x + p - 2 \) longest edges. This requires \( O((x + p)n) \). Looking for all the partitions of \( \{C_1, \ldots, C_{p-1} \} \) requires \( O(f(p, x)) \).
where $f_1$ is an exponential function of $p$ and $x$. Then scan all the partitions of \{k_1, \ldots, k_p\}, taking $O(f_1(p,x))$, where $f_2$ is an exponential function of $p$ and $x$. For every acceptable pair of partitions finding all the MSTs and their lengths require $O(n^2)$. Altogether this step requires $O(f_1(p,x)n^2)$, where $f$ is an exponential function of $p$ and $x$. When $k_i = (n/p) \forall i \in \{1, \ldots, p\}$ finding all the possible partitions takes $O(2^{(n/p)})$ time, and for each partitions $O(n^2)$ work is needed. Altogether this step requires $O(2^{(n/p)}n^2)$ time.

Step 2: As before this step calls CyclePart for every pair in $PT$, taking altogether $O(n^2)$.

So the complexity of PartAlg is dominated by that of Step 2, that is, $O(f_1(p,x)n^2)$. When $k_i = (n/p) \forall i \in \{1, \ldots, p\}$ the algorithm takes $O(2^{(n/p)}n^2)$ time.

4. Partitioning into 2 sets

In this section we treat the following case: Given a graph $G = (V,E)$, $|V| = n$, and a constant $K \leq n/2$. Partition $V$ into disjoint sets $P$ and $Q$ such that $|P| = K$, $|Q| = n-K$, and $l(MST(P)) + l(MST(Q))$ is minimized.

4.1. The $K$-centroid

For approximating the solution in the case $p = 2$ we define a 'K-centroid' and prove its existence.

Given a tree $T = (V,E_T)$, and a constant $K \leq n/2$. For a node $r \in V$ remove all the edges in $E_T$ incident to $r$. A set of connected components is created. Let \{C_1, C_2, \ldots, C_w\} be all of these components which satisfy $|C_i| \leq K$. If $\sum |C_i| > K$ then $r$ is a $K$-centroid.

For the special case $K = n/2$ the $K$-centroid is simply a centroid (a centroid is a node which when removing it form the $T$, each one of the connected components created contains at most $n/2$ nodes). The definition of a centroid of a tree, and a linear time algorithm for finding it are presented in [8].

Lemma 4.1. A $K$-centroid exists for every tree and $K \leq n/2$. It can be found in $O(n)$ time.

Proof. Consider FindK-Cent defined in Fig. 5. During this procedure, the spanning tree given to FindK-Cent as input contains at least $K + 1$ nodes.

In each iteration, the number of nodes in the tree is no more than half the number of nodes in the previous one. Since the tree is always kept to contain at least $K + 1$ nodes, FindK-Cent will always stop and find the required node.

In each iteration the most expensive operation is to find the centroid, which takes linear time. Since the number of nodes in each new iteration is no more than half the number of nodes in the previous iteration, the algorithm takes $O(n)$. □
Find $K$-cent

input
1. A tree $T$.
2. An integer $K$ ($1 \leq K \leq |T|$).

returns
1. A node $r$ which is a $K$-centroid.
2. A forest $\{T_1, \ldots, T_m\}$ such that $|V_{T_i}| \leq K$ for all $i \in \{1, \ldots, m\}$ and $\sum_{i=1}^{m} |V_{T_i}| \geq K$.

begin
1. $c :=$ a centroid in $T$.
2. Delete $c$ from $T$, a set of connected components $\{C_1, \ldots, C_m\}$ is created.
   
   if $|V_{C_i}| \leq K$ for all $i = 1, \ldots, m$
   
   then
   
   return $(c, \{C_1, \ldots, C_m\})$
   
   else
   
   let $S := V_{C_i}$ such that $|V_{C_i}| \geq K$
   
   $T_c := T$ induced on $S$.
   
   return $(\text{Find-$K$-cent} (T_c, K))$.
   
   end if

end if

end Find-$K$-cent

4.2. The approximation algorithm

To divide the graph into two sets of sizes $K$ and $|V| - K$, call Part.2.Alg($G, K$),
where Part.2.Alg is defined in Figs. 6 and 7. This algorithm finds a MST of $G$. First
it tries to find one edge whose removal divides the graph into sets of the desired sizes. If
failed it doubles part of the tree's edges getting a graph that can be easily divided into
sets of the desired sizes.

Note that when Step 3 is reached there is no edge whose removal creates a connected
component of size exactly $K$. Hence for every $i \in \{1, \ldots, m\}$ $|V_{T_i}| < K$. Also, since in
Find-$K$-cent $S$ was always kept to contain at least $K + 1$ nodes, $m \geq 2$.

When $l(E_{T_1}) + l(E_{T_2}) \geq l(E_{T_3})$ it is possible to find the defined s-hop $P$ since $T_2$ and
the cycle contain all the nodes not in $V_{T_1} \cup \{u\}$, hence $T_2$ and the cycle contain at least
$n - K \geq K$ nodes.

When $l(E_{T_1}) + l(E_{T_2}) < l(E_{T_3})$ it is possible to find the defined above $P$ since $|V_{T_1}| < K$,
but $T_1$ and the cycle contain at least $K + 1$ nodes. Also, in that case the nodes from
the cycle that are inserted into $P$ are obtained by walking on the cycle, starting at $c$
and walking $K - |V_{T_2}| - 1$ nodes in one of the two possible directions.

4.3. Evaluating Part.2.Alg

Lemma 4.2. If Step 3 is reached then $apx \leq 2(l(T) - (l(E_{T_1}) + l(E_{T_2}) + \max\{l(E_{T_1}) + l(E_{T_2}), l(E_{T_3})\})$.

Fig. 5. Finding the $K$-centroid.
Cre.Cycle

Input
1. A tree \( T_0 \).
2. A set of edges \( F \subseteq E_T \).

Returns
1. A graph \( H \).

Begin

Double all the edges in \( F \).

A cycle has been created.

Change this cycle into a simple cycle of equal or smaller length
(with the triangle inequality).

Let \( H \) be the obtained graph.

Return \( H \).

End Cre.Cycle

Fig. 6. Dividing the graph into 2 sets (CreC-cycle routine).

Proof. (1) If \( l(E_1) + l(e_2) \geq l(E_2) \) then the length of the part of graph which is
doubled is \( l(T) - (l(E_1) + l(e_1) + l(E_2)) \), and therefore,
\[
\text{apx} \leq l(G) - l(g_1) \leq 2(l(T) - (l(E_1) + l(e_1) + l(E_2)) + l(e_1)).
\]
By the assumption of this case this implies the claimed inequality.

(2) If \( l(E_1) + l(e_2) < l(E_2) \) then the length of the part of the graph which is
doubled is \( l(T) - (l(E_1) + l(e_1) + l(E_2)) \). Hence, \( \text{apx} \leq 2l(T) - (l(E_1) + l(e_1) + l(E_2)) \).
By the assumption of this case this implies the claimed inequality. \( \square \)

Lemma 4.3. If Step 3 is reached then \( \text{apx} \leq 2l(T) - (l(g_1) + l(g_2)) \) where \( g_1 \) and \( g_2 \)
are two longest edges in \( T \).

Proof. (1) If \( \{g_1, g_2\} \subseteq E_F \cup \{e_1\} \cup E_T \cup \{e_2\} \) then \( l(E_1) + l(e_1) + l(E_2) \geq l(g_1) + l(g_2) \). It follows from Lemma 4.2 that \( \text{apx} \leq 2l(T) - (l(g_1) + l(g_2)) \).

(2) If \( \{g_1, g_2\} \subseteq E_F \cup \{e_1\} \cup E_T \) then \( l(E_1) + l(e_1) + l(E_2) \geq l(g_1) + l(g_2) \). Again
Lemma 4.2 gives the claimed result.

(3) If \( \{g_1, g_2\} \subseteq E_F \cup \{e_2\} \cup E_T \) then \( l(E_1) + l(e_2) + l(E_2) \geq l(g_1) + l(g_2) \). According
to the algorithm \( l(E_1) + l(e_1) + l(E_2) \geq l(g_1) + l(g_2) \). Therefore, \( l(E_1) + l(e_1) + l(E_2) \geq l(g_1) + l(g_2) \), and from Lemma 4.2 \( \text{apx} \leq 2l(T) - (l(g_1) + l(g_2)) \). \( \square \)

Theorem 4.4. \( \text{apx} \leq 2 \text{opt} \).

Proof. Consider an optimal solution. It divides \( F \) into two sets \( O_1 \) and \( O_2 \) with
\( |O_1| = k \) and \( |O_2| = n - k \). Mark \( e^* \) be a shortest edge between \( O_1 \) and \( O_2 \). The
dges \( E_{O_1} \cup E_{O_2} \cup \{e^*\} \) define a spanning tree of \( G \), where \( E_{O_1} \) and \( E_{O_2} \) are the edges in
\( \text{MST}(O_1) \) and \( \text{MST}(O_2) \) respectively. Therefore,
\[
l(T) \leq l(\text{MST}(O_1)) + l(\text{MST}(O_2)) + l(e^*) = \text{opt} + l(e^*).
\]

(6)
Part 2 Alg

Input
1. A graph $G$.
2. An integer $(1 \leq K \leq n/2)$.

Returns
1. A set $\{P, Q\}$ where $P \cup Q = V$, $|P| = K$ and $|Q| = n - K$.
2. A value $\text{apx} := \text{I}(\text{MST}(P)) + \text{I}(\text{MST}(Q))$.

Begin

Step 1

$T := \text{MST}(G)$.

End Step 1

Step 2 if (There exists an edge $e_i$ whose removal from $T$ disconnects $T$ into 2 connected components, $P$ and $Q$ such that $|P| = K)$

then

return $\{(P, Q), \text{apx} := \text{I}(\text{MST}(P)) + \text{I}(\text{MST}(Q))\}$.

end if

End Step 2

Step 3

Call Find-K-cent (T, K) where:

$c$ is the returned K-centroid,

$\{T_1, ..., T_m\}$ is the returned forest.

$e_i :=$ the edge connecting $T_i$ to $c$ in $T$, $i = 1, ..., m$.

With suppose that:

$H(L_i) + I(L_i) \geq H(L_2) + I(L_2) \geq H(L_3) + I(L_3) \forall i \in \{3, ..., n\}$.

$TS :=$ the subtree of $T$ induced by $V \backslash (\bigcup_{i = 1}^m T_i)$.

if $(H(L_i) + I(L_i) \geq H(L_2))$

then

$G_i := \text{Cyc}(T, E(T) \backslash (E(T_i) \cup E(T_i) \cup \{e_i\}))$ (see Fig. 8).

Delete $e_i$ from $G_i$.

$P := V_1 \cup \{\text{the first } K - |V_1| \text{ nodes from the path connecting } T_2 \text{ to } c\}$.

$Q := V \backslash P$.

else

$G_i := \text{Cyc}(T, E(T) \backslash \{e_i\})$ (see Fig. 8).

$P := V_1 \cup \{c\} \cup \{K - 1 - |V_1| \text{ nodes that are adjacent to } u \text{ on the cycle, found when walking from } c \text{ on the cycle in one direction}\}$.

$Q := V \backslash P$.

end if

return $\{(P, Q), \text{apx} := \text{I}(\text{MST}(P)) + \text{I}(\text{MST}(Q))\}$.

End Step 3

End Part 2 Alg

Fig. 7. Dividing the graph into 2 sets.
1. $opt < \alpha^*$. The set of edges $E_0 \cup E_0 \cup \{\alpha^*\}$ is a spanning tree of $G$ with one edge of length $\geq \alpha^*$. Therefore, by Theorem 2.4, $T$ contains at most one edge of length $\geq \alpha^*$. Removing this edge from $T$ disconnects $O_1$ from $O_2$. Since this removal leaves 2 connected components, they must be $O_1$ and $O_2$. So Step 2 will find the edge $\alpha^*$ and $\text{apx} = \alpha^*$.

2. $\alpha^* \leq 2 \text{opt}$. By Eq. (6), $\gamma(T) \leq \text{opt} + \alpha^* \leq 2 \text{opt}$. If the algorithm halts at Step 2 then $\alpha^* \leq \gamma(T) \leq 2 \text{opt}$. If Step 3 is reached then $T$ contains at least 2 edges between $O_1$ and $O_2$. Hence, $2(\alpha^*) \leq \gamma(G_1) + \gamma(G_2)$. By Lemma 4.3 $\alpha^* \leq 2(\gamma(T) - (\gamma(G_1) + \gamma(G_2)))$, so that, $\alpha^* \leq 2(\alpha^*) - 2(\alpha^*) = 2(\gamma(T) - \alpha^*)$, and by Eq. (6) $\alpha^* \leq 2 \text{opt}$. $\Box$

4.4. Example

We show now that the bound of Theorem 4.4 is tight. Consider the graph in Fig. 9(a), and let $K = 2$. The optimal partition is $\{v_0, v_1\}, \{v_1, v_2\}$, with $\text{opt} = 1$.

The MST $T$, chosen in Step 1 of the algorithm, is described in Fig. 9(b) and $\gamma(T) = 2$. Deleting any edge of $T$ gives one set of 3 nodes and one set of 1 node. Therefore the algorithm continues to Step 3.

In this case, $K = n/2$ so that the $K$-centroid we are looking for is the centroid $c = v_0$. The algorithm finds $T_0, T_2$ and $T_2$ ($m = 3$). Let $V_1 = \{v_0, v_2\}, V_2 = \{v_2\}, V_3 = \{v_0\}$, so that $E_{V_0} = E_{V_1} = E_{V_2} = E_{V_3} = 0$ and $e_0 = (v_0, v_1), e_1 = (v_0, v_2), e_2 = (v_0, v_3)$. Then $\gamma(E_{V_0}) + \gamma(e_0) = \gamma(E_{V_1}) + \gamma(e_1) = 1$, and $\gamma(E_{V_2}) + \gamma(e_2) = 0$. Doubling $\{e_2\} \cup E_0$, and $\{e_1\}$ gives the graph shown in Fig. 9(c), and creating the simple cycle gives the graph shown in Fig. 9(d).

Deleting $e_3 = (v_0, v_1)$ and the opposite edge $(v_0, v_1)$ leaves the edges $(v_0, v_1)$ and $(v_0, v_2)$ so that the partitioning offered by Part 2. Alg consists of $\{v_0, v_1\}$ and $\{v_0, v_2\}$. Thus, $\alpha^* = \gamma(E_{V_0}) + \gamma(e_0) = 2 = 2 \text{opt}$. 

Fig. 4. $G_0$ and $G_1$. 

$G_0$

$G_1$
4.5. A bound on opt

Theorem 4.5. Let $T = \text{MST}(G)$, then $\text{opt} \leq 3|T|/2$.

To prove the bound we describe an algorithm that achieves $\text{apx} \leq 3|T|/2$. Since $\text{opt} \leq \text{apx}$, the theorem is proved.

The algorithm is described as Part 2. Bound in Fig. 10. It calls Cre_Cycle defined in Fig. 6. The algorithm finds MST for $G$ and a centroid $c$ in this spanning tree. It then doubles part of the edges of the tree to find two spanning trees, each containing $n/2$ nodes, with lengths that sum up to $\leq 3|T|/2$.

Note that if $l(E_c) > l(c) < l(T)/2$ then all the connected components satisfy this inequality, so that when $n_1$ is defined it must satisfy $n_1 \geq 2$.

4.5.1. Evaluating Part 2. Bound

To evaluate the algorithm we distinguish several cases:

1. $l(E_c) + l(c) > l(T)/2$. In this case $l(E_T) = (E_c \cup (c)) < l(T)/2$. This gives that the sum of the edges in the graph after creating the cycle is $\leq 3|T|/2$.

Spanning trees of $P$ and $Q$ can be obtained by deleting edges from this graph. Hence $\text{apx} \leq 3|T|/2$.

2. $l(E_c) + l(c) < l(T)/2$
Part 2. Bound

input
1. A graph G.

returns
1. \([P, Q]\) where \(P \cup Q = V\) and \(|P| = |Q| = n/2\).
2. A value \(\text{apx} := (\text{MST}(P)) + (\text{MST}(Q))\).

begin

Step 1

\(T := \text{MST}(G)\).

\(c := \text{a centroid of } T\).

end Step 1

Step 2

Remove \(c\) and the edges incident with it from \(T\).

A set of connected components \([T_1, T_2, \ldots, T_m]\) is created.

(\(Since \(c\) is a centroid \(m \geq 2\) and \(|V_i| \leq n/2\) for each \(i\).)

\(e_i := \text{the edge connecting } T_i\) to \(c\) in \(T_i\) for \(i = 1, \ldots, m\).

Let \(i_0\) be the index in \([1, \ldots, m]\) with the biggest \(|E_{i_0}| + |e_{i_0}|\).

If \((|E_{i_0}| + |e_{i_0}|) > (n(T)/2)\)

then

\(G_1 := \text{CreateCycle}(T, E_T \setminus (E_{i_0} \cup \{e_{i_0}\}))\).

\(P := V_{i_0} \cup \{c\} \cup \{\text{the adjacent } i-\text{nodes on the cycle}\} \cup \{\text{the adjacent } i-\text{nodes on the cycle}\}\).

else

\(n_1 := \min \left\{i \in \{1, \ldots, m\} \mid \sum_{j=1}^i (|E_j| + |e_j|) > (n(T)/2)\right\}\).

If \((\sum_{i=1}^{n_1} |V_i| + i \leq n/2)\)

then

\(G_2 := \text{CreateCycle}(T, \bigcup_{i=1}^{n_1} (E_T \cup \{e_i\}))\).

\(P := \bigcup_{i=1}^{n_1} V_i \cup \{c\} \cup \{\text{the adjacent } i-\text{nodes on the cycle}\}\).

else

\(G_2 := \text{CreateCycle}(T, \bigcup_{i=n_1+1}^{n_1} (E_T \cup \{e_i\}))\).

\(P := V_{i_0} \cup \{c\} \cup \{\text{the adjacent } i-\text{nodes on the cycle}\}\).

end if

end if

\(Q := V \setminus P\).

return \((P, Q, \text{apx} := (\text{MST}(P)) + (\text{MST}(Q)))\).

end Step 2

end Part 2. Bound

Fig. 10. Dividing the graph into 2 sets, an algorithm to bound opt.
* $\sum_{i=1}^{\alpha} |V_i| + 1 \leq n/2$. By the way $n_1$ was defined the sum of edges in the part of the graph which is doubled $\leq \ell(T)/2$ and the bound is achieved.
* $\sum_{i=1}^{\alpha} |V_i| + 1 > n/2$. By the definition of $n_1$:

$$\sum_{i=1}^{\alpha} (|E_i| + |v_i|) \leq \ell(T)/2.$$

This is the part of the graph which is doubled. So the length of the graph after the simple cycle was created is $< \ell(T)/2$.

That, in both cases $\text{opt} \leq 3\ell(T)/2$ giving that $\text{opt} \leq 3\ell(T)/2$.

The algorithm however does not improve the two bounds achieved before, since $\ell(T)$ may be bigger then $\text{opt}$.

To see that the bound $3\ell(T)/2$ can be (asymptotically) achieved consider a graph with $n + 1$ ($n$ odd) nodes: a node $a$ and the nodes $\{v_1, \ldots, v_n\}$. The distances between the nodes are: $d(v_i, a) = 1 \forall i \in \{1, \ldots, n\}$, $d(v_i, v_j) = 2 \forall i \neq j \in \{1, \ldots, n\}$. A MST, $T$, has $\ell(T) = n$, while $\text{opt} = (n - 1)/2 + 2((n + 1)/2 - 1) = 3(n - 1)/2$.

References