

ON THE NORMALIZER OF FINITELY GENERATED SUBGROUPS  
OF ABSOLUTE GALOIS GROUPS OF UNCOUNTABLE  
HILBERTIAN FIELDS OF CHARACTERISTIC 0

by

Wulf-Dieter Geyer      and      Moshe Jarden<sup>1)</sup>  
Universität Erlangen      Tel Aviv University

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1) This work was partially supported by an NSF grant #DMS-H603187, while the second author enjoyed the hospitality of Rutgers University.

## Introduction

Our topic in this paper is the group-theoretic behavior of elements of the absolute Galois group of a Hilbertian field which are chosen at random. We continue the study that has been initiated in [J] and extended by Chatzidakis [C]. Indeed our main results can be viewed as completing those of [C].

We denote the absolute Galois group of a field  $K$  by  $G(K)$ . Equip  $G(K)$  with the normalized Haar measure  $\mu$ . For each positive integer  $e$  use  $\mu$  also for the Haar measure of  $G(K)^e$ . Abbreviate an  $e$ -tuple  $(\sigma_1, \dots, \sigma_e)$  of elements of  $G(K)$  by  $\boldsymbol{\sigma}$  and let  $\langle \boldsymbol{\sigma} \rangle$  be the closed subgroup of  $G(K)$  generated by  $\sigma_1, \dots, \sigma_e$ . Denote the fixed field of  $\boldsymbol{\sigma}$  in the algebraic closure  $\tilde{K}$  of  $K$  by  $\tilde{K}(\boldsymbol{\sigma})$ . Let  $\widehat{F}_e$  be the free profinite group on  $e$  generators.

**THEOREM A** (The free generators theorem [FJ, Thm. 16.13]): *Let  $K$  be a Hilbertian field. Then  $\langle \boldsymbol{\sigma} \rangle \cong \widehat{F}_e$  for almost all  $\boldsymbol{\sigma} \in G(K)^e$ .*

Consider the centralizer  $C_{G(K)}\langle \boldsymbol{\sigma} \rangle$  and the normalizer  $N_{G(K)}\langle \boldsymbol{\sigma} \rangle$  of  $\langle \boldsymbol{\sigma} \rangle$  in  $G(K)$ . Our main objects of investigation are the following subsets of  $G(K)^e$ :

$$\begin{aligned} C_1(K) &= \{\sigma \in G(K) \mid C_{G(K)}\langle \sigma \rangle = \langle \sigma \rangle\} \\ C_e(K) &= \{\boldsymbol{\sigma} \in G(K)^e \mid C_{G(K)}\langle \boldsymbol{\sigma} \rangle = 1\}, \quad e \geq 2, \quad \text{and} \\ N_e(K) &= \{\boldsymbol{\sigma} \in G(K)^e \mid N_{G(K)}\langle \boldsymbol{\sigma} \rangle = \langle \boldsymbol{\sigma} \rangle\}, \quad e \geq 1. \end{aligned}$$

For Hilbertian fields there is a simple connection between  $C_e(K)$  and  $N_e(K)$ .

**LEMMA B:** *If  $K$  is a Hilbertian field, then for each  $e \geq 1$ ,  $N_e(K)$  is contained in  $C_e(K)$  up to a set of measure 0.*

*Proof:* Consider  $\boldsymbol{\sigma} \in G(K)^e$  such that  $\langle \boldsymbol{\sigma} \rangle \cong \widehat{F}_e$ . It is well known that the center of  $\widehat{F}_e$  coincides with  $\widehat{F}_e$  if  $e = 1$  but is trivial if  $e \geq 2$  [FJ, Cor. 24.8]. Hence if  $\boldsymbol{\sigma} \in N_e(K)$  and  $\langle \boldsymbol{\sigma} \rangle \cong \widehat{F}_e$ , then  $\boldsymbol{\sigma} \in C_e(K)$ . Indeed, if  $\tau^{-1}\boldsymbol{\sigma}\tau = \boldsymbol{\sigma}$ , then  $\tau \in \langle \boldsymbol{\sigma} \rangle$ . So  $\tau$  belongs to the center of  $\langle \boldsymbol{\sigma} \rangle$  which coincides with  $\langle \boldsymbol{\sigma} \rangle$  for  $e = 1$  and is trivial if  $e \geq 2$ . Thus Lemma B is a consequence of Theorem A. ■

The first result about  $C_e(K)$  (Theorem D) is valid for each  $K$  involved in Theorem C.

THEOREM C: *If  $K = \mathbb{Q}$  or  $K = N(t)$ , with  $N$  a real closed or algebraically closed field and  $t$  transcendental over  $N$ , then every closed abelian subgroup of  $G(K)$  is procyclic.*

*Proof:* See [G, Thm. 2.3] or [R, p. 306] for the case  $K = \mathbb{Q}$  and Lemma 5.1 for  $K = N(t)$ .

■

THEOREM D ([J, Thm. 14.1]): *Let  $K$  be a Hilbertian field. Suppose that every abelian closed subgroup of  $G(K)$  is procyclic. Then  $\mu(C_e(K)) = 1$ .*

Chatzidakis has proved a stronger theorem:

THEOREM E (Chatzidakis [C, Thm. 2.2] or [FJ, 24.53]): *If  $K$  is a countable Hilbertian field, then  $\mu(N_e(K)) = 1$ . Therefore, by Lemma B,  $\mu(C_e(K)) = 1$ .*

It turns out that further generalization of Theorem E depends upon the roots of unity which are contained in  $K$ . We denote the extension of a field  $F$  generated by all roots of unity by  $F_{\text{cyc}}$ .

THEOREM F: *Let  $K$  be a Hilbertian field with prime field  $F$ . If  $F_{\text{cyc}} \cap K$  is a finite extension of  $F$ , then  $\mu(C_e(K)) = 1$ .*

THEOREM G (Main result): *Let  $K_0$  be a field of characteristic 0 that contains all roots of unity. Take a set  $T$  of cardinality  $\aleph_1$ , algebraically independent over  $K_0$  and let  $K = K_0(T)$ . Then neither  $N_e(K)$  nor  $C_e(K)$  nor their complements in  $G(K)^e$  contain a set of positive measure. In particular neither  $N_e(K)$  nor  $C_e(K)$  is a measurable set.*

Since  $K$  is Hilbertian this result shows that one cannot remove the hypotheses of countability from Theorem E.

In the last section we complete Theorem C:

THEOREM H: *Let  $K$  be a finitely generated extension of  $\mathbb{Q}$  of transcendence degree  $n$ .*

- (a) *The rank of each closed abelian subgroup of  $G(K)$  is at most  $n + 1$ .*
- (b)  *$\hat{\mathbb{Z}}^{n+1}$  is isomorphic to a closed subgroup of  $G(K)$ .*

Our results for the measure of the sets  $N_e(K)$  and  $C_e(K)$  over uncountable Hilbertian fields are incomplete in two ways: we deal entirely with purely transcendental extensions, and only in characteristic 0.

## 1. Fields with only finitely many roots of unity.

A rather simple observation about fields with absolute Galois group isomorphic to  $\mathbb{Z}_p \times \mathbb{Z}_p$  leads in this section to the proof of Theorem F. For a positive integer  $n$  we denote the  $n$ -th root of unity by  $\zeta_n$ .

LEMMA 1.1 ([L2, p. 221]): *Let  $K$  be a field and let  $n$  be an integer  $\geq 2$ . Assume for  $a \in K$ ,  $a \neq 0$  that  $a \notin K^p$  for each prime divisor  $p$  of  $n$  and that if  $4|n$ , then  $a \notin -4K^4$ . Then  $X^n - a$  is irreducible in  $K[X]$ .*

LEMMA 1.2: *Let  $K$  be a field with  $G(K) \cong \mathbb{Z}_p \times \mathbb{Z}_p$ . Then  $\text{char}(K) \neq p$  and  $\zeta_{p^i} \in K$  for every positive integer  $i$ .*

*Proof:* Note first that  $\text{char}(K) \neq p$ , since otherwise  $G(K)$ , as a pro- $p$  group, would be projective and therefore free [R, p. 257] (a theorem of Witt). Every finite extension of  $K$  is an abelian  $p$ -group. Since  $[K(\zeta_p) : K]$  divides  $p - 1$ , we have  $\zeta_p \in K$ .

Assume for  $i \geq 2$  that  $\zeta_{p^{i-1}} \in K$  but  $\zeta_{p^i} \notin K$ . Hence  $[K(\zeta_{p^i}) : K] = p$  (Lemma 1.1). Since  $\mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/p\mathbb{Z}$  is a quotient of  $\mathbb{Z}_p \times \mathbb{Z}_p$  there exists a cyclic extension  $K(a^{1/p})$  of  $K$ , with  $a \in K$ , of degree  $p$  such that  $K(\zeta_{p^i}) \cap K(a^{1/p}) = K$ . In particular  $a$  is not a  $p$ -th power in  $K(\zeta_{p^i})$ . If  $p = 2$  and  $a \in -4K(\zeta_{2^i})^4$ , then  $\sqrt{a} \in \sqrt{-1}K(\zeta_{2^i})^2 \subseteq K(\zeta_{2^i})$ , a contradiction. Conclude from Lemma 1.1 that  $K(a^{1/p^i})$  is an abelian extension of  $K$  of degree  $p^i$  which is linearly disjoint from  $K(\zeta_{p^i})$ . In particular  $K(a^{1/p^i})$  contains  $\zeta_{p^i} a^{1/p^i}$  and therefore also  $\zeta_{p^i}$ . This contradiction proves that  $\zeta_{p^i} \in K$ , as asserted. ■

Consider now a Hilbertian field  $K$  such that

- (1) each of the fields  $K(\sqrt{-1})$  and  $K(\zeta_p)$ ,  $p$  a prime and  $p \neq \text{char}(K)$ , contains only finitely many roots of unity.

For example, if  $\mathbb{Q}_{\text{cyc}} \cap K$  is a finite extension of  $\mathbb{Q}$ , then  $K$  satisfies (1). Theorem F is therefore a consequence of Propositions 1.3 and 1.4 below.

PROPOSITION 1.3: *Let  $K$  be a Hilbertian field that satisfies (1). Then  $\mu(C_1(K)) = 1$ .*

*Proof:* For a prime  $p \neq \text{char}(K)$  let  $K_{p^\infty} = K(\zeta_{p^i} \mid i = 1, 2, 3, \dots)$ . Also, let  $\xi_p = \zeta_p$  for  $p \neq 2$  and  $\xi_2 = \zeta_4$ . By assumption, there exists a positive integer  $m$  such that

$\zeta_{p^m} \in K(\xi_p)$  but  $\zeta_{p^{m+1}} \notin K(\xi_p)$ . By Lemma 1.1,  $\zeta_{p^{m+i}}$  generates a cyclic extension of  $K(\xi_p)$  of degree  $p^i$ ,  $i = 1, 2, 3, \dots$ . Hence  $\mathcal{G}(K_{p^\infty}/K(\xi_p)) \cong \mathbb{Z}_p$ .

The action of  $\mathcal{G}(K_{p^\infty}/K)$  on the set  $\{\zeta_{p^i} \mid i = 1, 2, 3, \dots\}$  defines an embedding of  $\mathcal{G}(K_{p^\infty}/K)$  into  $\mathbb{Z}_p^\times$ . Recall that  $\mathbb{Z}_p^\times \cong A \oplus \mathbb{Z}_p$ , where  $A = \mathbb{Z}/(p-1)\mathbb{Z}$  if  $p \neq 2$  and  $A = \mathbb{Z}/2\mathbb{Z}$  if  $p = 2$ . Therefore  $\mathcal{G}(K_{p^\infty}/K)$ , being an infinite subgroup of  $\mathbb{Z}_p^\times$ , is isomorphic to a group  $A_1 \oplus \mathbb{Z}_p$  with  $A_1 \leq A$  (For  $p = 2$  use that  $\mathbb{Z}_p$  is a principal ideal domain and [L2, p. 393].) If  $K_p$  is the fixed field of the subgroup  $A_1$  of  $\mathcal{G}(K_{p^\infty}/K)$ , then  $\mathcal{G}(K_p/K) \cong \mathbb{Z}_p$ .

As  $K_p/K$  is an infinite extension the subset  $S_1 = \bigcup_{p \neq \text{char}(K)} G(K_p)$  of  $G(K)$  is of measure 0. By Theorem A, the set  $T_2$  of all  $\sigma \in G(K)$  such that  $\langle \sigma \rangle \cong \hat{\mathbb{Z}}$  is of measure 1. By the bottom theorem [FJ, p. 216], the set  $T_3$  of all  $\sigma \in G(K)$  for which  $\tilde{K}(\sigma)$  is a proper finite extension of no proper field that contains  $K$  is of measure 0. It therefore suffices to prove that if

$$\sigma \in (G(K) - S_1) \cap T_2 \cap T_3,$$

then  $\sigma$  commutes with no element of  $G(K) - \langle \sigma \rangle$ .

Assume that there exists  $\tau \in G(K) - \langle \sigma \rangle$  such that  $\sigma\tau = \tau\sigma$ . Then there is a prime  $p$  that divides  $[\tilde{K}(\sigma) : \tilde{K}(\sigma, \tau)]$ . Let  $a$  be the element of  $\hat{\mathbb{Z}}$  with  $p$ th coordinate  $a_p = 1$  and  $l$ th coordinate  $a_l = 0$  for each prime  $l \neq p$ . Then, since  $\sigma \in T_3$ , the degree  $[\tilde{K}(\sigma) : \tilde{K}(\sigma, \tau^a)]$  is an infinite power of  $p$ . As  $\tilde{K}(\sigma)/\tilde{K}(\sigma, \tau^a)$  is an abelian extension with Galois group generated by one element, that group is isomorphic to  $\mathbb{Z}_p$ . It follows that  $\mathcal{G}(\tilde{K}(\tau^a)\tilde{K}(\sigma)/\tilde{K}(\tau^a)) \cong \mathbb{Z}_p$ . By the choice of  $a$ ,  $G(\tilde{K}(\tau^a))$  is a quotient of  $\mathbb{Z}_p$ . As each endomorphism of  $\mathbb{Z}_p$  is an automorphism [FJ, Prop. 15.3],  $\tilde{K}(\tau^a)\tilde{K}(\sigma) = \tilde{K}$  and

$$G(\tilde{K}(\sigma, \tau^a)) \cong G(\tilde{K}(\tau^a)) \times G(\tilde{K}(\sigma)) \cong \mathbb{Z}_p \times \hat{\mathbb{Z}}.$$

Conclude that  $G(\tilde{K}(\sigma^a, \tau^a)) \cong \mathbb{Z}_p \times \mathbb{Z}_p$ .

By Lemma 1.2,  $p \neq \text{char}(K)$  and  $\tilde{K}(\sigma^a, \tau^a)$  contains  $\zeta_{p^i}$  for every positive integer  $i$ . Hence also  $\tilde{K}(\sigma^a)$  contains  $\zeta_{p^i}$  for all  $i$  and therefore  $K_p \subseteq \tilde{K}(\sigma^a)$ . However the degree  $[K_p\tilde{K}(\sigma) : \tilde{K}(\sigma)]$  as a divisor of  $[\tilde{K}(\sigma^a) : \tilde{K}(\sigma)]$  is on one hand relatively prime to  $p$ , and as a divisor of  $[K_p : K]$  is on the other hand a  $p$ -th power. It follows that

$K_p \tilde{K}(\sigma) = \tilde{K}(\sigma)$  and therefore that  $K_p \subseteq \tilde{K}(\sigma)$ . This contradiction to  $\sigma \notin S_1$  completes the proof of the Proposition. ■

Note that the assumption “ $K$  contains only finitely many roots of unity” does not imply (1). Indeed the theory of cyclotomic extensions asserts that  $\mathcal{G}(\mathbb{Q}_{p^\infty}/\mathbb{Q}) \cong A \oplus \mathbb{Z}_p$ , where  $A = \mathbb{Z}/(p-1)\mathbb{Z}$  if  $p \neq 2$  and  $A = \mathbb{Z}/2\mathbb{Z}$  if  $p = 2$ . Let  $K$  be the fixed field of  $A$  in  $\mathbb{Q}_{p^\infty}$ . As  $\mathcal{G}(\mathbb{Q}_{p^\infty}/\mathbb{Q}(\xi_p)) \cong \mathbb{Z}_p$  the field  $\mathbb{Q}(\xi_p)$  is not contained in  $K$ . Moreover, since  $[\mathbb{Q}(\xi_p) : \mathbb{Q}] = |A|$  we have  $K(\xi_p) = \mathbb{Q}_{p^\infty}$ . So,  $K(\xi_p)$  contains infinitely many roots of unity.

On the other hand the only roots of unity in  $\mathbb{Q}_{p^\infty}$  are the  $\pm\zeta_{p^i}$ 's. The field  $K$  contains only finitely many of them, since otherwise it would contain them all and therefore would coincide with  $\mathbb{Q}_{p^\infty}$ , a contradiction. Finally note that since  $\mathcal{G}(K/\mathbb{Q}) \cong \mathbb{Z}_p$  the field  $K$  is Hilbertian [FJ, Prop. 15.5].

PROPOSITION 1.4: *Let  $K$  be a Hilbertian field of that satisfies (1) and let  $e \geq 2$ . Then  $\mu(C_e(K)) = 1$ .*

*Proof:* Let  $S$  be the set of all  $\sigma \in G(K)^e$  such that  $\langle \sigma_1 \rangle \cap \langle \sigma_2 \rangle = 1$  and  $C_{G(K)}\langle \sigma_i \rangle = \langle \sigma_i \rangle$ ,  $i = 1, 2$ . By [J, Thm. 5.1] (or as an easy consequence of Theorem A) and by Proposition 1.3 the set  $S$  has measure 1.

Let  $\sigma \in S$  and let  $\tau \in C_{G(K)}(\langle \sigma \rangle)$ . Then  $\tau$  commutes with both  $\sigma_1$  and  $\sigma_2$ . Conclude that  $\tau \in \langle \sigma_1 \rangle \cap \langle \sigma_2 \rangle = 1$ . Thus  $C_{G(K)}\langle \sigma \rangle = \langle \sigma \rangle$ , as desired. ■

## 2. Irreducible polynomials over rational function fields.

Hilbert's irreducibility theorem takes a strong form over rational function fields  $K = K_0(t)$ : Separable irreducible polynomials  $f \in K[X, Y]$  in two variables remain irreducible, if one variable is substituted by  $a + bt$  with  $(a, b) \in K_0^2$  arbitrary, satisfying only one inequality  $g(a, b) \neq 0$  [FJ, Thm. 12.9].

For the rest of this section we fix an infinite field  $K_0$  and set  $K = K_0(t)$ . Define the **rank** of an infinite separable algebraic extension as the cardinality of the family of all finite subextensions.

LEMMA 2.1: *Consider a tower  $K \subseteq L \subseteq M$  of separable algebraic extensions with  $L/K$  finite and  $\text{rank}(M/K) < |K_0|$ . Let  $f_1, \dots, f_m$  be irreducible polynomials in  $M[X_1, \dots, X_r, Y]$  separable in  $Y$ . Let  $g_1, \dots, g_n$  be irreducible polynomials in  $L[X_1, \dots, X_r, Y]$ , separable in  $Y$ , and let  $0 \neq h \in M[X_1, \dots, X_r]$ . Then there exists  $\mathbf{x} \in K^r$  such that  $f_i(\mathbf{x}, Y)$  is separable irreducible in  $M[Y]$ ,  $i = 1, \dots, m$ ,  $g_j(\mathbf{x}, Y)$  is separable irreducible in  $L[Y]$ ,  $j = 1, \dots, n$  and  $h(\mathbf{x}) \neq 0$ .*

*Proof:* Do induction on  $r$  to assume that  $r = 1$ . Then follow the proof of [FJ, Lemma 16.32], using that a separable Hilbert subset of a finite separable extension of  $K$  contains a separable Hilbert subset of  $K$ . (The proof of this statement is a simple modification of the proof of [FJ, Cor. 11.7].) ■

PROPOSITION 2.2: *Let  $M$  be a separable algebraic extension of  $K$  with  $\text{rank}(M/K) < |K_0|$ . Consider a finite Galois extension  $L$  of  $K$  with  $G = \mathcal{G}(L/K)$ . Suppose that  $G$  acts on a finite abelian group  $A$ . Let  $A \rtimes G$  be the corresponding semidirect product and let  $\alpha: A \rtimes G \rightarrow G$  be the projection map. Then there exists an epimorphism  $\gamma: G(K) \rightarrow A \rtimes G$  such that  $\alpha \circ \gamma = \text{res}_L$  and the fixed field  $\widehat{L}$  of  $\text{Ker}(\gamma)$  is linearly disjoint from  $M$  over  $L_0 = M \cap L$ .*

*Proof:* Let  $\widehat{F}/E$  be a Galois extension such that  $E = K(x_1, \dots, x_r)$  with  $x_1, \dots, x_r$  algebraically independent over  $K$  and  $\widehat{F}$  is a regular extension of  $L$  for which there is an isomorphism  $\theta: \mathcal{G}(\widehat{F}/E) \rightarrow A \rtimes G$  such that  $\alpha \circ \theta = \text{res}_L$  [FJ, Lemma 24.46]. For  $\mathbf{x} = (x_1, \dots, x_r)$  find rings  $R = K[\mathbf{x}, g(\mathbf{x})^{-1}]$  with  $0 \neq g(\mathbf{x}) \in K[\mathbf{x}]$  and  $\widehat{R} = R[z]$  where  $\widehat{F} = E(z)$  and the discriminant of  $z$  over  $E$  is a unit of  $R$ . Then  $\widehat{R}/R$  is a **ring cover**.

In particular  $\widehat{R}$  is the integral closure of  $R$  in  $\widehat{F}$  [FJ, end of §5.2]. Let  $f(\mathbf{x}, Z) = \text{irr}(z, E)$  and  $h(\mathbf{x}, Z) = \text{irr}(z, L(\mathbf{x}))$ . Since  $\widehat{F}/L$  is regular  $h$  is absolutely irreducible.

Now choose  $\mathbf{a} \in K^n$  such that  $g(\mathbf{a}) \neq 0$ ,  $f(\mathbf{a}, Z)$  is irreducible over  $K$  and  $h(\mathbf{a}, Z)$  is irreducible over  $ML$  (Lemma 2.1). The  $K$ -specialization  $\mathbf{x} \rightarrow \mathbf{a}$  extends to an epimorphism  $\varphi$  of  $\widehat{R}$  onto a Galois extension  $\widehat{L} = K(\varphi(z))$  of  $K$  that contains  $L$  such that  $\varphi(b) = b$  for each  $b \in L$ . Since  $f(\mathbf{a}, Z)$  is irreducible over  $K$  it induces an isomorphism  $\varphi^*: \mathcal{G}(\widehat{L}/K) \rightarrow \mathcal{G}(\widehat{F}/E)$  such that  $\text{res}_{\widehat{F}/L} \circ \varphi^* = \text{res}_{\widehat{L}/L}$  [FJ, Lemma 5.5]. The map  $\gamma = \theta \circ \varphi^* \circ \text{res}_{\widehat{L}}$  from  $G(K)$  satisfies  $\alpha \circ \gamma = \text{res}_L$ . Also  $[\widehat{L} : L] = \deg(h(\mathbf{a}, Z)) = [M\widehat{L} : ML]$ . Hence  $\widehat{L}$  is linearly disjoint from  $M$  over  $L_0$ .

■

### 3. $N_e(K)$ is big.

In this Section we assume that  $K_0$  is an uncountable field of characteristic 0 and let  $K = K_0(t)$  be the field of rational functions in  $t$  over  $K_0$ . Our goal is to show that for each  $e \geq 1$  the complement of  $N_e(K)$  contains no set of positive measure, i.e.,  $N_e(K)$  is a “big” set. This will give one half of Theorem G. The proof is based on the following version of [FJ, Lemma 16.30].

LEMMA 3.1: *Let  $G$  be a profinite group and let  $S$  be a subset of  $G^e$ . Suppose that  $\mu_H(r(S)) = 1$  for each epimorphism  $r: G \rightarrow H$  onto a profinite group  $H$  of rank  $\leq \aleph_0$ . (Here we also use  $r$  to denote the function from  $G^e$  to  $H^e$  induced by  $r: G \rightarrow H$ .) Then  $G^e - S$  contains no set of positive measure. In particular this holds if  $r(S) = H^e$  for each  $H$  as above.*

*Proof:* Let  $\overline{B}$  be a measurable subset of  $G^e - S$ . Then there exists a set  $B$  with  $B \subseteq \overline{B}$  such that  $\mu(\overline{B} - B) = 0$  which belongs to the  $\sigma$ -algebra generated by all open-closed subsets of  $G^e$  [FJ, Lemma 16.29]. An induction on structure shows that  $B$  can be found in a  $\sigma$ -algebra  $\mathcal{A}$  generated by countably many open-closed sets,  $A_1, A_2, A_3, \dots$ . For each  $i$  there is a normal open subgroup  $N_i$  of  $G$  and there is a finite subset  $T_i$  of  $G^e$  such that  $A_i = \bigcup_{\tau \in T_i} \tau N_i^e$ . The group  $N = \bigcap_{i=1}^{\infty} N_i$  is normal and closed in  $G$  and  $\text{rank}(G/N) \leq \aleph_0$ . Let  $r: G \rightarrow G/N$  be the canonical epimorphism. Clearly



$r^{-1}(r(A_i)) = A_i$ ,  $i = 1, 2, 3, \dots$ . Since the collection of all  $A \in \mathcal{A}$  with  $A = r^{-1}(r(A))$  is closed under taking complements and under countable unions it coincides with  $\mathcal{A}$ . In particular  $r^{-1}(r(G^e - B)) = G^e - B$ . Since  $G^e - B \supseteq S$  we have  $r(G^e - B) \supseteq r(S)$  and  $\mu_H(r(G^e - B)) \geq \mu_H(r(S)) = 1$ . Hence  $\mu(G^e - B) = \mu_H(r(G^e - B)) = 1$ . Conclude that  $\mu(\overline{B}) = \mu(B) = 0$ , as desired. ■

Our first application of Lemma 3.1 depends upon the following corollary of Proposition 2.2.

LEMMA 3.2: *Let  $M$  be a Galois extension of  $K$  with  $\text{rank}(M/K) \leq \aleph_0$  and let  $\sigma \in \mathcal{G}(M/K)^e$ . Then  $K$  has a Galois extension  $M'$  which contains  $M$  with  $\text{rank}(\mathcal{G}(M'/K)) \leq \aleph_0$  and there exists an extension  $\tau \in \mathcal{G}(M'/K)^e$  of  $\sigma$  such that  $N_{\mathcal{G}(M'/K)}\langle\tau\rangle = \langle\tau\rangle$ .*

*Proof:* Present  $M$  as a union  $M = \bigcup_{i=1}^{\infty} K_i$  of an ascending sequence  $K_1 \subseteq K_2 \subseteq \dots$  of finite Galois extensions of  $K$ . Let  $\sigma_i = \text{res}_{K_i}(\sigma)$ ,  $i = 1, 2, 3, \dots$ . Inductively construct an ascending sequence  $L_1 \subseteq L_2 \subseteq \dots$  of finite Galois extensions of  $K$  and  $e$ -tuples  $\tau_i \in \mathcal{G}(L_i/K)^e$ ,  $i = 1, 2, 3, \dots$  such that

- (a)  $M \cap L_i = K_i$  and  $\text{res}_{K_i}(\tau_i) = \sigma_i$ ,
- (b)  $\tau_{i+1}$  extends  $\tau_i$ ,  $i = 1, 2, 3, \dots$ , and
- (c)  $\text{res}_{L_i}(N_{\mathcal{G}(L_{i+1}/K)}\langle\tau_{i+1}\rangle) = \langle\tau_i\rangle$ .

Indeed suppose that we have already constructed  $L_i$  and  $\tau_i$  for  $i = 1, \dots, n$  such that they satisfy conditions (a)–(c). In particular for  $G = \mathcal{G}(K_{n+1}L_n/K)$  there exists  $\rho \in G^e$  that extends both  $\sigma_{n+1}$  and  $\tau_n$ , and  $M \cap K_{n+1}L_n = K_{n+1}$ . Choose an integer  $m \geq 2$  and let  $G$  operate on the group ring  $(\mathbb{Z}/m\mathbb{Z})[G]$  by multiplication from the right. By Proposition 2.2,  $K$  has a Galois extension  $L_{n+1}$  that contains  $K_{n+1}L_n$  such that  $M \cap L_{n+1} = K_{n+1}$  and there exists a commutative diagram

$$\begin{array}{ccccccc}
1 & \longrightarrow & \mathcal{G}(L_{n+1}/K_{n+1}L_n) & \longrightarrow & \mathcal{G}(L_{n+1}/K) & \longrightarrow & \mathcal{G}(K_{n+1}L_n/K) & \longrightarrow & 1 \\
& & \downarrow & & \downarrow & & \parallel & & \\
1 & \longrightarrow & (\mathbb{Z}/m\mathbb{Z})[G] & \longrightarrow & (\mathbb{Z}/m\mathbb{Z})[G] \rtimes G & \longrightarrow & G & \longrightarrow & 1
\end{array}$$

in which the vertical arrows are isomorphisms. Lemma 24.52 of [FJ] states that  $\rho$  extends to  $\tau_{n+1} \in \mathcal{G}(L_{n+1}/K)$  such that  $\text{res}_{K_{n+1}L_n}(N_{\mathcal{G}(L_{n+1}/K)}\langle\tau_{n+1}\rangle) = \langle\rho\rangle$ . (The

close “ $H$  into  $G_0$ ” at the end of that lemma should be corrected to “ $H$  onto  $G_0$ ”.) In particular  $\tau_{n+1}$  extends both  $\sigma_{n+1}$  and  $\tau_n$ , and  $\text{res}_{L_n}(N_{\mathcal{G}(L_{n+1}/K)}\langle\tau_{n+1}\rangle) = \langle\tau_n\rangle$ . This completes the induction.

Let  $M' = \bigcup_{i=1}^{\infty} L_i$  and let  $\tau$  be the unique element of  $\mathcal{G}(M'/K)^e$  that extends all  $\tau_i$ . Then  $M'$  is a Galois extension of  $K$  of rank  $\leq \aleph_0$ ,  $\tau$  extends  $\sigma$  and  $N_{\mathcal{G}(M'/K)}\langle\tau\rangle = \langle\tau\rangle$ . Indeed if  $\kappa \in N_{\mathcal{G}(M'/K)}\langle\tau\rangle$ , then  $\text{res}_{L_{n+1}}(\kappa) \in N_{\mathcal{G}(L_{n+1}/K)}\langle\tau_{n+1}\rangle$ . Hence  $\text{res}_{L_n}(\kappa) \in \langle\tau_n\rangle$ ,  $n = 1, 2, 3, \dots$ . Conclude that  $\kappa \in \langle\tau\rangle$ . ■

LEMMA 3.3: *Suppose that  $|K_0| = \aleph_1$  and let  $L/K$  be a Galois extension of rank  $\leq \aleph_0$ . Then each  $\sigma_1 \in \mathcal{G}(L/K)^e$  extends to  $\sigma \in G(K)^e$  such that  $N_{G(K)}\langle\sigma\rangle = \langle\sigma\rangle$ .*

*Proof:* Order the collection of all finite Galois extensions of  $K$  in a transfinite sequence  $\{K_\alpha \mid 1 \leq \alpha < \aleph_1\}$ . Apply Lemma 3.2 in a transfinite induction to define for each ordinal  $\alpha < \aleph_1$  a Galois extension  $L_\alpha$  and  $\sigma_\alpha \in \mathcal{G}(L_\alpha/K)^e$  such that (a)  $L_1 = L$ , (b)  $\text{rank}(L_\alpha/K) = \aleph_0$ , (c)  $\alpha < \beta$  implies that  $K_\alpha \subseteq L_\beta$ ,  $L_\alpha \subseteq L_\beta$  and  $\sigma_\beta$  extends  $\sigma_\alpha$ , and (d)  $N_{\mathcal{G}(L_\alpha/K)}\langle\sigma_\alpha\rangle = \langle\sigma_\alpha\rangle$ ,

Then  $K_s = \bigcup_{\alpha < \aleph_1} L_\alpha$  and  $\sigma = \varprojlim \sigma_\alpha$  extends  $\sigma_1$  and satisfies  $N_{G(K)}\langle\sigma\rangle = \langle\sigma\rangle$ . ■

PROPOSITION 3.4: *Let  $K = K_0(t)$  be the field of rational functions in  $t$  over a field  $K_0$  of cardinality  $\aleph_1$ . Then  $G(K)^e - N_e(K)$  and  $G(K)^e - C_e(K)$  contain no set of positive measure.*

*Proof:* By Lemma B it suffices to prove only the assertion about  $N_e(K)$ .

Apply Lemma 3.1 on the set  $S = N_e(K)$ . Consider a Galois extension  $L/K$  of rank  $\leq \aleph_0$ . By Lemma 3.3,  $\text{res}_L S = \mathcal{G}(L/K)^e$ . Hence,  $G^e - S$  contains no set of positive measure. ■

#### 4. $N_e(K)$ is small.

We apply the technique of power series fields to complete the proof of Theorem G.

Let  $K$  be a field of characteristic 0. For a transcendental element  $t$  over  $K$  choose for each positive integer  $e$  an  $e$ -th root  $t^{1/e}$  of  $t$  such that whenever  $d$  divides  $e$ ,  $(t^{1/e})^{e/d} = t^{1/d}$ . Puiseux's theorem states that the algebraic closure of the field of power series  $\tilde{K}((t))$  is the union of all fields  $E_e = \tilde{K}((t^{1/e}))$ . In order to obtain the algebraic closure of the complete discrete valued field  $E = K((t))$  we have to distinguish between unramified and purely ramified extensions. First note that each algebraic extension  $L$  of  $E$  is Henselian with residue field of characteristic 0. Therefore, if  $L'$  is a finite extension of  $L$ , then  $[L' : L]$  is equal to the product of the ramification index and the residue degree [A, Prop. 15]. Now observe that  $E_{\text{ur}} = \tilde{K}E$ , as a separable constant field extension of  $E$ , is unramified with an algebraically closed residue field  $\tilde{K}$ . Hence, each algebraic extension of  $E_{\text{ur}}$  is purely unramified. On the other hand,  $F = \bigcup_{e=1}^{\infty} E(t^{1/e})$  is a purely ramified extension of  $E$  with a divisible value group,  $\mathbb{Q}$ . Hence, each algebraic extension of  $F$  is unramified. It follows that  $E_{\text{ur}} \cap F = E$  and  $E_{\text{ur}}F = \tilde{E}$ . For each  $e$  the field  $E_{\text{ur}}(t^{1/e})$  is a cyclic extension of  $E$  of degree  $e$ . Therefore  $G(E_{\text{ur}}) = \hat{\mathbb{Z}}$ . As  $K$  is algebraically closed in  $E$  and therefore also in  $F$  this yields a presentation of  $G(E)$  as a semidirect product of  $G(K)$  and  $\hat{\mathbb{Z}}$ .

PROPOSITION 4.1: *Let  $K$  be a field of characteristic 0 and let  $E = K((t))$ .*

- (a) *The field  $E_{\text{ur}} = \tilde{K}E$  is the maximal unramified extension of  $E$ .*
- (b) *The field  $F = \bigcup_{e=1}^{\infty} E(t^{1/e})$  is a totally unramified extension of  $E$ ,  $\text{ord}(F^\times) = \mathbb{Q}$ , each algebraic extension of  $F$  is unramified, and  $K$  is algebraically closed in  $F$ .*
- (c)  *$E_{\text{ur}} \cap F = E$  and  $E_{\text{ur}}F = \tilde{E}$ .*
- (d)  *$G(E_{\text{ur}}) = \hat{\mathbb{Z}}$  and  $G(F) \cong G(K)$ .*
- (e)  *$G(E)$  is the semidirect product of  $G(K)$  and  $\hat{\mathbb{Z}}$ .*

COROLLARY 4.2: *Let  $K$  be a field of characteristic 0 that contains all roots of unity.*

- (a)  *$G(K((t))) \cong G(K) \times \hat{\mathbb{Z}}$ .*
- (b) *There exists an isomorphism  $\alpha: G(K) \times \hat{\mathbb{Z}} \rightarrow G(\tilde{K}(t) \cap K((t)))$  such that  $\text{res}_K \circ \alpha$  is the projection map of  $G(K) \times \hat{\mathbb{Z}}$  onto  $G(K)$ .*

*Proof:* In this case  $F$ , of Proposition 4.1, is a Galois extension of  $E$ . ■

PROPOSITION 4.3: *Let  $T$  be an uncountable set, algebraically independent over a field of characteristic 0 that contains all roots of unity. Let  $K = K_0(T)$ . Then  $C_e(K)$  and  $N_e(K)$  contains no set of positive measure.*

*Proof:* By Lemma B it suffices to prove that  $C_e(K)$  contains no set of positive measure. We apply Lemma 3.1 on  $S = G(K)^e - C_e(K)$  and consider an epimorphism  $r: G(K) \rightarrow H$  onto a profinite group  $H$  of rank  $\leq \aleph_0$ . Denote the fixed field of  $\text{Ker}(r)$  by  $L$ . Then  $L/K$  is a Galois extension of rank  $\leq \aleph_0$ . Hence  $T$  has a countable subset  $T_1$  for which there exists a Galois extension  $L_1$  of  $K_1 = K_0(T_1)$  such that  $L_1K = L$ . Choose  $t \in T - T_1$  and let  $K_2 = K_0(T - \{t\})$  and  $L_2 = L_1K_2$ . Then  $K = K_2(t)$ . Assume without loss that  $r$  is the epimorphism  $\text{res}_{L_2}: G(K) \rightarrow \mathcal{G}(L_2/K_2)$ .

By Corollary 4.2(b) each  $\sigma \in \mathcal{G}(L_2/K_2)^e$  extends to  $\tau \in G(K)^e$  for which there exists  $\rho \in G(K) - \langle \tau \rangle$  such that  $\tau_i \rho = \rho \tau_i$ ,  $i = 1, \dots, e$ . Thus  $\rho \in C_{G(K)} \langle \tau \rangle - \langle \tau \rangle$ . Therefore  $\tau \in S$ .

Conclude from Lemma 3.1 that  $C_e(K)$  contains no set of positive measure. ■

Combine now Propositions 3.4 and 4.3 to achieve the main result of this work.

THEOREM 4.4: *Let  $K_0$  be a field of characteristic 0 that contains all roots of unity. Take a set  $T$  of cardinality  $\aleph_1$ , algebraically independent over  $K_0$  and let  $K = K_0(T)$ . Then neither  $N_e(K)$  nor  $C_e(K)$  nor their complements in  $G(K)^e$  contain a set of positive measure. In particular neither  $N_e(K)$  nor  $C_e(K)$  is a measurable set.*

## 5. Abelian subgroups of $G(K)$ .

We give in this section some details about the possible ranks of closed abelian subgroups of absolute Galois groups of finitely generated extensions of  $\mathbb{Q}$ . First we prove the second part of Theorem C.

LEMMA 5.1: *Let  $N$  be either an algebraically closed or a real closed field. Let  $x$  be transcendental over  $N$ . Then every abelian closed subgroup  $C$  of  $G(N(x))$  is procyclic.*

*Proof:* Suppose first that  $N$  is algebraically closed. As the cohomological dimension of  $G(N)$  is 0, the cohomological dimension of  $G(N(x))$  is 1 [R, p. 276]. In other words  $G(N(x))$  is projective (Actually  $G(N(x))$  is free. But this is a deeper theorem.) It follows that  $C$  is projective [FJ, Cor. 20.16]. Hence, for each  $p$ , the  $p$ -Sylow subgroup  $C_p$  of  $C$  is pro- $p$ -free [FJ, Prop. 20.47]. Since  $C_p$  is abelian it must be procyclic. Conclude that  $C$  is also procyclic.

Now assume that  $N$  is real closed. If  $C$  is not procyclic, it contains a closed subgroup  $B$  isomorphic to  $\mathbb{Z}_p \times \mathbb{Z}_p$ , for some prime  $p$  [G, Satz 1.13]. By Lemma 1.2, the fixed field of  $B$  contains  $\sqrt{-1}$  and therefore also  $\tilde{N}$ . This contradicts the first part of the Lemma. ■

PROPOSITION 5.2: *For almost all  $\sigma \in G(\mathbb{Q})^e$  each closed abelian subgroup  $C$  of  $G(\tilde{\mathbb{Q}}(\sigma)(x))$ , with  $x$  transcendental over  $\tilde{\mathbb{Q}}(\sigma)$ , is procyclic.*

*Proof:* Each of the extensions  $\mathbb{Q}_{p^\infty} = \mathbb{Q}(\zeta_{p^i} \mid i = 1, 2, 3, \dots)$  is infinite. Hence  $\mu(\bigcup G(\mathbb{Q}_{p^\infty}^e)) = 0$ . Let  $\sigma \in G^e - G(\mathbb{Q}_{p^\infty}^e)$  and let  $F = \tilde{\mathbb{Q}}(\sigma)(x)$ . Assume that  $C$  is a closed abelian nonprocyclic subgroup of  $G(F)$ . As in the second paragraph of the proof of Lemma 5.1,  $F$  and therefore  $\tilde{\mathbb{Q}}(\sigma)$  contain  $\zeta_{p^i}$ ,  $i = 1, 2, 3, \dots$  for some prime  $p$ . Thus  $\sigma \in G(\mathbb{Q}_{p^\infty})^e$ , a contradiction. ■

PROPOSITION 5.3 (Haran): *Let  $K$  be an extension of  $\mathbb{Q}$  of transcendence degree  $n$ . Then the rank of each closed abelian subgroup of  $G(K)$  is bounded by  $n + 1$ .*

*Proof:* If  $n = 0$ , then  $K$  is an algebraic extension of  $\mathbb{Q}$ , and Theorem C applies.

For  $n > 0$  we may assume without loss that  $K = K_0(x)$  for some extension  $K_0$  of  $\mathbb{Q}$  of transcendence degree  $n - 1$  and a transcendental element  $x$  over  $K_0$ . Let  $B$

be a closed abelian closure of  $G(K)$ . The short exact sequence  $1 \longrightarrow G(\tilde{K}_0(x)) \longrightarrow G(K) \xrightarrow{\text{res}} G(K_0) \longrightarrow 1$  induces a short exact sequence of abelian profinite groups  $1 \longrightarrow C \longrightarrow B \longrightarrow A \longrightarrow 1$ . The group  $A$  is contained in  $G(K_0)$ . By an induction hypothesis on  $n$ ,  $\text{rank}(A) \leq n$ . Lemma 5.1 asserts that  $C$ , as an abelian closed subgroup of  $G(\tilde{K}_0)$  is procyclic. Hence  $\text{rank}(B) \leq n + 1$ . This completes the induction and the proof of the proposition. ■

Now we show that the bound in Proposition 5.3 can not be improved.

PROPOSITION 5.4: *Let  $K$  be a finitely generated extension of  $\mathbb{Q}$  of transcendence degree  $n$ . Then  $\hat{\mathbb{Z}}^{n+1}$  is isomorphic to a closed subgroup of  $G(K)$ .*

*Proof:* The field  $L = \mathbb{Q}_{\text{ab}}K$  is finitely generated over  $\mathbb{Q}_{\text{ab}}$  and of transcendence degree  $n$ . We prove by induction on  $n$  that  $\hat{\mathbb{Z}}^{n+1}$  is even isomorphic to a closed subgroup of  $G(L)$ .

Indeed for  $n = 0$ ,  $L = \mathbb{Q}_{\text{ab}}$  is Hilbertian [FJ, Thm. 15.6]. Hence, by Theorem A, almost each  $\sigma \in G(L)$  generates a subgroup isomorphic to  $\hat{\mathbb{Z}}$ . For  $n > 0$  choose a transcendental basis  $t_1, \dots, t_n$  for  $L/\mathbb{Q}_{\text{ab}}$  and let  $E_0 = \mathbb{Q}_{\text{ab}}(t_1, \dots, t_{n-1})$  and  $E = E_0(t_n)$ . By the induction hypothesis  $\hat{\mathbb{Z}}^n$  is isomorphic to a closed subgroup of  $G(E_0)$ . Since  $E$  contains all roots of unity Corollary 4.4(b) implies that  $\hat{\mathbb{Z}}^{n+1}$  is isomorphic to a closed subgroup of  $G(E)$ . As  $G(L) \cap A$  is an open subgroup of  $A$  it is also isomorphic to  $\hat{\mathbb{Z}}^{n+1}$ . The induction is complete. ■

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Addresses of the authors:

Wulf-Dieter Geyer  
Mathematisches Institut  
der Universität  
Bismarckstr. 1 $\frac{1}{2}$   
D-8520 Erlangen  
WEST GERMANY

Moshe Jarden  
School of Mathematical Sciences  
Raymond and Beverly Sackler Faculty of Exact Sciences  
Tel Aviv University  
Ramat Aviv, Tel Aviv 69978  
ISRAEL