

**THE HENSELIAN CLOSURES OF A  $PpC$  FIELD \***

by

Wulf-Dieter Geyer      and      Moshe Jarden  
Universität Erlangen      Tel Aviv University

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## Introduction

There are three main types of “pseudo closed fields”. They are the “pseudo algebraically closed fields” (PAC), “pseudo real closed fields” (PRC), and “pseudo  $p$ -adically closed fields”. Recall that a field  $K$  is said to be **PAC** (resp., **PRC**, **P $p$ C**) if every absolutely irreducible variety  $V$  has a  $K$ -rational point provided it has a simple  $\overline{K}$ -rational point for each algebraic (resp., real,  $p$ -adic) closure  $\overline{K}$  of  $K$ . It is known that a PAC field  $K$  carries no interesting arithmetical structure:

PROPOSITION A: *Let  $K$  be a PAC field.*

- (a)  *$K$  admits no ordering [FJ, Thm. 10.12].*
- (b) (Frey – Prestel) *The Henselian closure of each valuation of  $K$  is separably closed [FJ, Thm. 10.14].*

It is not difficult to see that the only arithmetical structure of a PRC field emerges from its orderings:

THEOREM B: *Let  $K$  be a PRC field and let  $v$  be a valuation of  $K$ . Then the Henselian closure  $\overline{K}$  of  $K$  with respect to  $v$  is either real closed or separably closed.*

*Proof:* If  $\text{char}(K) \neq 0$ , then  $K$  is PAC and the theorem reduces to Proposition A(b). So, assume that  $\text{char}(K) = 0$ .

By Prestel’s extension theorem [P, Thm. 3.1],  $\overline{K}(\sqrt{-1})$  is a PRC field. Since it has no orderings it is PAC. On the other hand  $\overline{K}(\sqrt{-1})$  is Henselian. By Proposition A(b),  $\overline{K}(\sqrt{-1})$  is algebraically closed. Conclude from a theorem of Artin [L, p. 223] that  $\overline{K}$  is either real closed or algebraically closed. ■

The goal of this note is to establish the analogue of Theorem B for P $p$ C fields and to show that the only arithmetic of such a field essentially comes from its  $p$ -adic valuations:

THEOREM C: *A P $p$ C field  $K$  admits no orderings. The Henselian closure of  $K$  with respect to any valuation is either  $p$ -adically closed or algebraically closed.*

Except from manipulations with Henselian fields and in particular a theorem of F.K. Schmidt and Engler the proof of Theorem C is based on the following result:

PROPOSITION D (Algebraic extension theorem for PpC fields [J, Prop. 8.3]): *Let  $L$  be an algebraic extension of a PpC field  $K$ . Then  $L$  is PpC if and only if for each  $p$ -adic closure  $\overline{K}$  of  $K$  we have  $L \subseteq \overline{K}$  or  $\overline{K}L$  is algebraically closed.*

An important ingredient in the proof of this Proposition is the following property of each PpC field  $K$ : The compositum of every two  $p$ -adic closures of  $K$  is the algebraic closure  $\tilde{K}$  of  $K$  [HJ, Lemma 4.5(b)]. In Section 2 we point out that this statement fails to be true over an arbitrary field.

### 1. Reduction of $p$ -adic valuations.

For a valuation  $w$  of a field  $K$  denote the valuation ring, its maximal ideal and the residue field respectively by  $O_w$ ,  $P_w$  and  $\overline{K}_w$ . Let also  $U_w$  and  $\Gamma_w$  be the group of  $w$ -units and the value group of  $w$ , respectively. Consider now an additional valuation  $v$  of  $K$  such that  $O_v \subseteq O_w$  ( $v$  is **finer** than  $w$  and  $w$  is **coarser** than  $v$ ). Then  $P_w \subseteq P_v$  and  $O_{\bar{v}} = O_v/P_w$  is a valuation ring of  $\overline{K}_w = O_w/P_w$  with the maximal ideal  $P_{\bar{v}} = P_v/P_w$ . The corresponding valuation  $\bar{v}$  is defined by  $\bar{v}(\bar{x}) = v(x)$  for  $x \in U_w$  (the bar denotes reduction modulo  $P_w$ ). In particular the residue fields of  $\bar{v}$  and  $v$  coincide. Also,  $\Gamma_{\bar{v}} \cong \overline{K}_w^\times/U_{\bar{v}} \cong U_w/U_v$  is a convex subgroup of  $\Gamma_w \cong K^\times/U_v$ . Thus

$$(1) \quad \overline{K}_v \cong O_{\bar{v}}/P_{\bar{v}} \cong O_v/P_v \quad \text{and} \quad \Gamma_w \cong \Gamma_v/\Gamma_{\bar{v}}.$$

LEMMA 1.1: *The valued field  $(K, v)$  is Henselian if and only if  $(K, w)$  and  $(\overline{K}_w, \bar{v})$  are Henselian.*

*Proof:* See [R, pp. 210 and 211]. ■

LEMMA 1.2: *Suppose that an algebraic extension  $M$  of a field  $K$  is Henselian with respect to valuations  $v$  and  $w$  such that  $O_v \subseteq O_w$ . Denote the unique extensions of  $v$  and  $w$ , respectively, to  $\tilde{K}$  by  $\tilde{v}$  and  $\tilde{w}$ . Then*

- (a)  $O_{\tilde{v}} \subseteq O_{\tilde{w}}$ ,
- (b) *the decomposition group of  $\tilde{v}$  over  $K$  is contained in the decomposition group of  $\tilde{w}$ , and*

(c) the decomposition field of  $\tilde{w}$  over  $K$  is contained in the decomposition field of  $\tilde{v}$ .

*Proof of (a):* Each element  $x \in O_{\tilde{v}}$  satisfies an equation of the form  $x^n = \sum_{i=0}^{n-1} a_i x^i$  with  $a_i \in O_v$ . Then  $a_i \in O_w$ ,  $x$  is integral over  $O_w$ , and therefore belongs to  $O_{\tilde{w}}$ .

*Proof of (b):* Suppose that an automorphism  $\sigma \in G(K)$  belongs to the decomposition group of  $\tilde{v}$ , that is  $\sigma O_{\tilde{v}} = O_{\tilde{v}}$ . Then  $O_{\tilde{v}} \subseteq \sigma O_{\tilde{w}}$ . It is known that the set of all valuation rings of  $\tilde{K}$  that contain  $O_{\tilde{v}}$  is linearly ordered [R, p. 58]. In particular  $\sigma O_{\tilde{w}} \subseteq O_{\tilde{w}}$  or  $O_{\tilde{w}} \subseteq \sigma O_{\tilde{w}}$ . Replace  $\sigma$  by  $\sigma^{-1}$  if necessary to assume that  $\sigma O_{\tilde{w}} \subseteq O_{\tilde{w}}$ . Then, for each positive integer  $n$  we have  $\sigma^n O_{\tilde{w}} \subseteq \sigma^{n-1} O_{\tilde{w}} \subseteq \dots \subseteq \sigma O_{\tilde{w}}$ . Now, for each  $x \in O_{\tilde{w}}$  there exists a positive integer  $n$  such that  $\sigma^n x = x$ . Hence  $x \in \sigma O_{\tilde{w}}$ . Conclude that  $\sigma O_{\tilde{w}} = O_{\tilde{w}}$  and  $\sigma$  belongs to the decomposition group of  $\tilde{w}$ .

*Proof of (c):* Assertion (c) is a reinterpretation of (b). ■

Two valuations  $v$  and  $v'$  of a field  $K$  are **comparable** if one of them is finer than the other.

The following result was proved by F.K. Schmidt [S] for valuations of rank 1 and then generalized by Engler [E] for higher rank valuations.

**PROPOSITION 1.3** (F.K. Schmidt – Engler): *If a field  $K$  which is not separably closed is Henselian with respect to incomparable valuations  $v$  and  $v'$ , then these valuations are finer than a common valuation  $w$  which has a separably closed residue field. In particular,  $K$  can not be Henselian with respect to two distinct valuations of rank 1.*

*Thus, if  $L/K$  is a Galois extension,  $L$  is Henselian with respect to a valuation  $v$  of rank 1, but  $L$  is not separably closed, then  $K$  is Henselian with respect to the restriction of  $v$  to  $K$ .*

Recall that a valuation  $v$  of a field  $K$  is called  **$p$ -adic** if  $v(p)$  is the smallest positive element of  $v(K^\times)$  and  $\overline{K}_v \cong \mathbb{F}_p$ . In particular  $\text{char}(K) = 0$ . A  $p$ -adic valued field  $(K, v)$  is  **$p$ -adically closed** if it has no proper algebraic extension to a  $p$ -adic field. An ordered abelian group  $\Gamma$  is a  **$\mathbb{Z}$ -group** if it contains  $\mathbb{Z}$  as a convex subgroup and for each  $\gamma \in \Gamma$  and each positive integer  $n$  there exists  $\delta \in \Gamma$  such that  $\gamma \cong n\delta \pmod{\mathbb{Z}}$ .

LEMMA 1.4 (Prestel – Roquette [PR, p. 34]): *A  $p$ -adic field  $(K, v)$  is  $p$ -adically closed if and only if it is Henselian and  $v(K^\times)$  is a  $\mathbb{Z}$ -group.*

We denote the algebraic closure of a field  $K$  by  $\tilde{K}$  and its absolute Galois group by  $G(K)$ .

LEMMA 1.5: *Let  $(K, v)$  be a  $p$ -adically closed field and let  $w$  be a strictly coarser valuation of  $K$  than  $v$ . Then  $w$  is unramified in  $\tilde{K}$ . Moreover,  $G(K)$  is the decomposition group of the unique extension of  $w$  to  $\tilde{K}$  (which we also denote by  $w$ ) and the map  $G(K) \rightarrow G(\overline{K}_w)$  that  $w$  induces is an isomorphism. In particular, for each algebraic extension  $M$  of  $K$  we have an isomorphism  $G(M) \cong G(\overline{M}_w)$ .*

*Proof:* By assumption  $1 = v(p)$  belongs to the convex subgroup  $\Gamma_{\bar{v}}$  of  $\Gamma_v$ . By Lemma 1.4,  $\Gamma_v$  is a  $\mathbb{Z}$ -group. Hence, for each  $\bar{\gamma} \in \Gamma_w$  there exists  $\bar{\delta} \in \Gamma_w \cong \Gamma_v/\Gamma_{\bar{v}}$  such that  $\bar{\gamma} = n\bar{\delta}$ . In other words  $\Gamma_w$  is a divisible group.

As  $(K, w)$  is a Henselian field (Lemma 1.1) with residue field  $\overline{K}_w$  of characteristic 0 the formula  $[L : K] = e(L/K)f(L/K)$  holds for each finite extension  $L$  of  $K$  [R, p. 236]. By the preceding paragraph  $e(L/K) = 1$ . Hence  $[L : K] = [\overline{L}_w : \overline{K}_w]$  and  $w$  is unramified in  $L$ .

If in addition  $L$  is Galois over  $K$ , then  $\mathcal{G}(L/K)$  is the decomposition group of  $w$  (since  $(K, w)$  is Henselian). By the preceding paragraph  $\mathcal{G}(L/K)$  is isomorphic to  $\mathcal{G}(\overline{L}_w/\overline{K}_w)$ .

On the other hand, each finite extension of  $\overline{K}_w$  is the residue field  $\overline{L}$  of a finite extension of  $L$  with respect to the unique extension of  $w$  to  $K$ . Indeed, as  $\text{char}(\overline{K}_w) = 0$ ,  $\overline{L}$  has a primitive element  $\bar{z}$  over  $\overline{K}_w$ . Let  $\bar{f} = \text{irr}(\bar{z}, \overline{K}_w)$  and lift  $\bar{f}$  to a monic polynomial  $f \in K[Z]$  of the same degree. Then take  $L$  as  $K(z)$ , where  $z$  is any root of  $f$ .

Thus let  $L$  range over all finite Galois extensions of  $K$  to conclude that  $w$  induces an isomorphism of  $G(K)$  onto  $G(\overline{K}_w)$ . ■

LEMMA 1.6: *Let  $(K, v_p)$  be a  $p$ -adically closed field. Let  $M$  be an algebraic extension of  $K$  which is not algebraically closed. If  $M$  is Henselian with respect to a valuation  $v$ , then  $v$  is coarser than the unique extension of  $v_p$  to  $M$  (which we also denote by  $v_p$ ).*

*Proof:* The residue field of  $M$  with respect to  $v_p$  is algebraic over  $\mathbb{F}_p$  and therefore has no nontrivial valuations. Hence  $v$  is not strictly finer than  $v_p$ .

Assume that  $v$  is not coarser than  $v_p$ . Then  $v$  and  $v_p$  are incomparable. By Lemma 1.3,  $M$  has a valuation  $w$  which is coarser than both  $v$  and  $v_p$ . Moreover  $\overline{M}_w$  is algebraically closed. By Lemma 1.5,  $G(M) = G(\overline{M}_w) = 1$ .

Conclude from this contradiction that  $v$  is coarser than  $v_p$ . ■

LEMMA 1.7: *Let  $L$  be a Henselian PpC field of characteristic 0. If an algebraic extension  $F$  of  $L$  satisfies  $\overline{L}F = \tilde{L}$  for each  $p$ -adic closure  $\overline{L}$  of  $L$ , then  $F = \tilde{L}$ . In particular  $\tilde{\mathbb{Q}}L = \tilde{L}$ .*

*Proof:* By Proposition D,  $F$  is PpC. Since  $F$  has no  $p$ -adic closures, it is PAC. As an algebraic extension of a Henselian field,  $F$  is Henselian. Conclude from Proposition A that  $F = \tilde{L}$ .

Finally note that  $\tilde{\mathbb{Q}}\overline{L} = \tilde{L}$  for each  $p$ -adic closure  $\overline{L}$  of  $L$  [HJ, Cor. 6.6]. So,  $\tilde{\mathbb{Q}}L$  satisfies the above condition on  $F$  and is therefore algebraically closed. ■

The following Lemma is well known for finite groups [FJ, Lemma 12.4].

LEMMA 1.8: *Let  $H$  be a proper closed subgroup of a profinite group  $G$ . Then  $\bigcup_{x \in G} H^x$  is a proper subset of  $G$ .*

*Proof:* Choose an epimorphism  $\varphi$  of  $G$  on a finite group  $\overline{G}$  such that  $\overline{H} = \varphi(H)$  is a proper subgroup of  $\overline{G}$ . For  $x \in G$  let  $\bar{x} = \varphi(x)$ . Then there exists  $g \in G$  such that  $\bar{g} \notin \bigcup_{\bar{x} \in \overline{G}} \overline{H}^{\bar{x}}$ . Hence  $g \notin \bigcup_{x \in G} H^x$ . ■

The following result is proved in a different way by Haran and Lubotzky [HL, Lemma 5].

LEMMA 1.9: *Let  $E$  be a  $p$ -adic closure of  $\mathbb{Q}$  and let  $F$  be a  $q$ -adic closure of  $\mathbb{Q}$ . If  $E \neq F$ , then  $EF = \tilde{\mathbb{Q}}$ .*

*Proof:* The field  $EF$  is Henselian with respect to the unique extension of the  $p$ -adic valuation of  $E$  and also with respect to the unique extension of the  $q$ -adic valuation of  $F$ . If  $EF \neq \tilde{\mathbb{Q}}$ , then, by Proposition 1.3, the two valuations are equivalent. Denote the

unique topology of  $EF$  which they define by  $T$ . As both  $E$  and  $F$  are the closures of  $\mathbb{Q}$  in  $EF$  with respect to  $T$  they must coincide.

Conclude from this contradiction that  $EF = \tilde{\mathbb{Q}}$ .  $\blacksquare$

We refer to a field  $K$  of characteristic 0 as **algebraic** if it is algebraic over  $\mathbb{Q}$ .

LEMMA 1.10: *Let  $K$  be an algebraic field with a unique  $p$ -adic valuation  $v$  which is not  $p$ -adically closed. Then  $K$  has an algebraic extension  $F$  which is not algebraically closed such that  $\overline{K}F = \tilde{\mathbb{Q}}$  for each  $p$ -adic closure  $\overline{K}$  of  $K$ .*

*Proof:* Choose a  $p$ -adic closure  $E$  of  $K$ . By assumption  $E \neq K$ . Since  $K$  is algebraic and  $v$  is unique, each  $p$ -adic closure  $\overline{K}$  of  $K$  is isomorphic to  $E$  over  $K$ . Hence, by Lemma 1.8, there exists  $\sigma \in G(K)$  such that  $\sigma \notin G(\overline{K})$  for each  $p$ -adic closure  $\overline{K}$  of  $K$ . Let  $F = \tilde{\mathbb{Q}}(\sigma)$ . As an algebraic extension of  $\overline{K}$ , the field  $\overline{K}F$  is Henselian. On the other hand  $\overline{K}F$  is a Galois extension of  $F$ , since  $G(F)$  is abelian. If  $\overline{K}F \neq \tilde{\mathbb{Q}}$ , then, by Proposition 1.3,  $F$  would be Henselian. Hence  $F$  would contain a  $q$ -adic closure  $L$  of  $\tilde{\mathbb{Q}}$  for some prime number  $q$ . The choice of  $\sigma$  would imply that  $L \neq \overline{K}$ . Hence  $\overline{K}L = \tilde{\mathbb{Q}}$  (Lemma 1.9). Conclude that  $\overline{K}F = \tilde{\mathbb{Q}}$ , a contradiction.  $\blacksquare$

For a positive integer  $n$  we denote a primitive root of 1 of order  $n$  by  $\zeta_n$ .

LEMMA 1.11: *Let  $K$  be an algebraic field with distinct  $p$ -adic valuations  $v_1$  and  $v_2$ . Then  $K$  has an algebraic extension  $F$  which is not algebraically closed such that  $\overline{K}F = \tilde{\mathbb{Q}}$  for each  $p$ -adic closure  $\overline{K}$  of  $K$ .*

*Proof:* Let  $\overline{K}_i$  be a  $p$ -adic closure of  $K$  with respect to  $v_i$ ,  $i = 1, 2$ . Choose a prime  $q \neq p$ . Then  $\overline{L}_i = \overline{K}_i(\zeta_q)$  satisfies  $(\overline{L}_i^\times : (\overline{L}_i^\times)^q) = q^2$  [N, p. 41]. Choose a system of generators  $A_i$  for  $\overline{L}_i^\times$  modulo  $(\overline{L}_i^\times)^q$  of  $q^2$  elements.

Extend the valuation  $v_i$  to a valuation  $v'_i$  of  $L = K(\zeta_q)$ . Then  $L$  is  $v'_i$ -dense in  $\overline{L}_i$ . Moreover, the valuations  $v'_1$  and  $v'_2$  are distinct, of rank 1, and therefore independent. Hence, for each  $(a_1, a_2) \in A_1 \times A_2$  there exists  $x = x(a_1, a_2)$  in  $L$  such that

$$(1) \quad v'_i(x - a_i) > 2v'_i(a_i) \quad i = 1, 2$$

[R, p. 135]. It follows from Netwon's Lemma that the equation  $a_i Z^q - x = 0$  is solvable in  $\overline{L}_i$ . Thus  $a_i(\overline{L}_i^\times)^q = x(\overline{L}_i^\times)^q$ . If  $(a'_1, a'_2) \in A_1 \times A_2$ ,  $x' = x(a'_1, a'_2)$  and  $x' \in x(L^\times)^q$ ,

then  $a'_i \in a_i(\overline{L}_i^\times)^q$ , and therefore  $a'_i = a_i$  for  $i = 1, 2$ . It follows that the elements  $x(a_1, a_2)$  represent  $q^4$  distinct congruence classes of  $L^\times$  modulo  $(L^\times)^q$ .

The field  $E = L(\zeta_{q^n}, \sqrt[q^n]{p} \mid n = 1, 2, 3, \dots)$  is a procyclic extension of  $L' = L(\zeta_{q^n} \mid n = 1, 2, 3, \dots)$  whose order is a  $q$ -power (possibly infinite). Also  $L'/L$  is a procyclic group whose order is a  $q$ -power (possibly infinite). Also  $E/L$  is a Galois extension. Hence  $\mathcal{G}(E/L)$  is a pro- $q$  group whose rank is at most 2. In particular  $L$  has at most  $q + 1$  extensions of rank  $q$  which are contained in  $E$ .

Since  $\zeta_q \in L$  we can, by Kummer's theory and by the preceding paragraph, choose  $c \in L$  such that  $M = L(\sqrt[q]{c})$  is a cyclic extension of  $L$  of degree  $q$  which is not contained in  $E$ , and which therefore satisfies  $M \cap E = L$ .

By Zorn's Lemma,  $E$  has a maximal extension  $F$  such that  $M \cap F = L$ . In terms of Galois theory,  $G(F)$  is a minimal closed subgroup of  $G(L)$  which the restriction map maps onto  $\mathcal{G}(M/L)$ . Hence  $G(F)$  is the universal Frattini cover of  $\mathcal{G}(M/L)$ , which is  $\mathbb{Z}_q$  [FJ, Example 20.39].

Let now  $\overline{K}$  be a  $p$ -adic closure of  $K$  and let  $w$  be the unique valuation of  $\overline{K}F$ . For each finite extension  $N$  of  $\overline{K}F$  we have  $[N : \overline{K}F] = ef$  where  $e$  is the ramification index and  $f$  is the residue degree of the extension [R, p. 136]. By the preceding paragraph, these two numbers are  $q$ -powers. On the other hand,  $\overline{K}F$  contains all the elements  $\sqrt[q^n]{p}$ . Hence  $w((\overline{K}F)^\times)$ , as a subgroup of  $\mathbb{Q}$ , is  $q$ -divisible. Thus  $e = 1$ . Also, the residue field of  $\overline{K}F$  contains all the roots of unity  $\zeta_{q^n}$  and hence also the maximal  $q$ -extension of  $\mathbb{F}_p$ . Hence  $q$  does not divide  $f$ . Thus  $f = 1$  and  $N = \overline{K}F$ . Conclude that  $\overline{K}F = \tilde{\mathbb{Q}}$ .

■

We combine Lemmas 1.10 and 1.11 together:

**PROPOSITION 1.12:** *Let  $K$  be a proper subfield of the  $p$ -adic closure  $\mathbb{Q}_{p,\text{alg}}$  of  $\mathbb{Q}$ . Then  $K$  has an algebraic extension, different from  $\tilde{\mathbb{Q}}$  such that  $\overline{K}F = \tilde{\mathbb{Q}}$  for each  $p$ -adic closure  $\overline{K}$  of  $K$ .*

*Proof:*  $\mathbb{Q}_{p,\text{alg}}$  induces a  $p$ -adic valuation  $v$  on  $K$ . If  $v$  is the unique  $p$ -adic valuation of  $K$  use Lemma 1.10, otherwise use Lemma 1.11. ■

We are now ready to prove the main result of this note.

**THEOREM 1.13:** *Let  $L$  an algebraic extension of a PpC field  $K$ . Suppose that  $L$  is Henselian with respect to a valuation  $v$ . Then  $L$  is separably closed or it contains a  $p$ -adic closure  $\overline{K}$  of  $K$  and  $v$  is coarser than the unique extension of the  $p$ -adic valuation of  $\overline{K}$  to  $L$ .*

*Proof:* Let  $\tilde{v}$  be the unique extension of  $v$  to  $\tilde{K}$ . Then the decomposition field,  $L_0$ , of  $\tilde{v}$  is contained in  $L$ . Hence, it suffices to prove that  $L_0$  is separably closed or  $p$ -adically closed. So, we replace  $L$  by  $L_0$  if necessary to assume that  $(L, v)$  is the Henselization of  $(K, \text{res}_K v)$  and prove that  $L$  is separably closed or  $p$ -adically closed.

To that end, consider a  $p$ -adic closure  $\overline{K}$  of  $K$  and let  $v_p$  be the  $p$ -adic valuation of  $\overline{K}$ . Then  $(\overline{K}, v_p)$  is the Henselization of  $(K, \text{res}_K v_p)$  [J, Thm. 10.8]. In other words,  $\overline{K}$  is the decomposition field of the unique extension  $\tilde{v}_p$  of  $v_p$  to  $\tilde{K}$ .

**CLAIM:**  $L \subseteq \overline{K}$  or  $L\overline{K} = \tilde{K}$ .

Indeed, suppose that  $M = L\overline{K} \neq \tilde{K}$ . Let  $v_M$  (resp.,  $v_{p,M}$ ) be the unique extension of  $v$  (resp.,  $v_p$ ) to  $M$ . Then  $(M, v_M)$  is Henselian. By Lemma 1.6,  $v_M$  is coarser than  $v_{p,M}$ . Hence, by Lemma 1.2,  $L \subseteq \overline{K}$ , and the claim has been proved.

It follows from the claim by Proposition D that  $L$  is PpC. If  $L$  has no  $p$ -adic closure, then  $L$  is PAC and Henselian. By Proposition A,  $L$  is separably closed and our theorem holds. Otherwise  $L$  has a  $p$ -adic closure  $\overline{L}$ . Hence  $L_0 = \tilde{\mathbb{Q}} \cap L$  is contained in the  $p$ -adically closed field  $\overline{L}_0 = \tilde{\mathbb{Q}} \cap \overline{L}$ . By Lemma 1.7,  $\tilde{\mathbb{Q}}L = \tilde{L}$ . Hence, the restriction map  $\text{res}: G(L) \rightarrow G(L_0)$  is an isomorphism. If  $L \neq \overline{L}$ , then  $L_0 \neq \overline{L}_0$ . Hence, by Proposition 1.12,  $L_0$  has an algebraic extension  $F_0$  such that  $F_0 \neq \tilde{\mathbb{Q}}$  and  $L'_0 F_0 = \tilde{\mathbb{Q}}$  for each  $p$ -adic closure  $L'_0$  of  $L_0$ . The field  $F = LF_0$  is an algebraic extension of  $L$  and  $F \neq \tilde{L}$ . If  $L'$  is a  $p$ -adic closure of  $L$ , then  $L'_0 = \tilde{\mathbb{Q}} \cap L$  is a  $p$ -adic closure of  $L_0$  and  $L'_0 L = L'$ . Hence  $L'F = \tilde{L}$ . By Lemma 1.7,  $F = \tilde{L}$ . This contradiction proves that  $L = \overline{L}$  is  $p$ -adically closed. ■

The proof of Theorem 1.13 actually gives:

**THEOREM 1.14:** *Let  $K$  be a PpC field and let  $v$  be a valuation of  $K$ . Then the Henselization of  $K$  with respect to  $v$  is either algebraically closed or  $p$ -adically closed and  $v$  is coarser than a  $p$ -adic valuation of  $K$ .*

COROLLARY 1.15: *If  $K$  is PpC but neither algebraically closed nor  $p$ -adically closed, then  $K$  is not Henselian.*

## 2. Compositum of $p$ -adically closed fields.

One of the distinguished properties of PpC fields is that the compositum of any distinct  $p$ -adic closures is algebraically closed. This is a consequence of [HJ, Lemma 4.5(b)]. We have noticed (Lemma 1.9) that the same statement also holds for algebraic fields. In this section we give an example which proves that this fails to be true for  $p$ -adic closures of an arbitrary field.

We start with a result which is a special case of Pop's theorem [Po, Thm. E9]. However, since its proof is elementary, in particular, unlike Pop's proof, it does not use cohomology, we include it here.

PROPOSITION 2.1: *Let  $K$  be Henselian field with respect to a  $p$ -adic valuation  $v$ . Suppose that  $L$  is an algebraic extension of  $K$  such that  $\tilde{\mathbb{Q}} \cap L = \mathbb{Q}_{p,\text{alg}}$  and  $\tilde{\mathbb{Q}}L = \tilde{K}$ . Then  $L$  is  $p$ -adically closed.*

*Proof:* We use results of Prestel and Roquette [PR]. However, to avoid conflict in terminology we use “ $\mathfrak{p}$ -valuation” for what they call “ $p$ -adic valuation”.

First note that  $\tilde{\mathbb{Q}} \cap K$  is Henselian and contained in  $\mathbb{Q}_{p,\text{alg}}$ . Hence  $\tilde{\mathbb{Q}} \cap K = \mathbb{Q}_{p,\text{alg}}$ . Now let  $L_0$  be a finite extension of  $K$  contained in  $L$ . Then  $L_0$  is a Henselian  $\mathfrak{p}$ -valued field with respect to the unique extension of  $v$  to  $L_0$ . Since  $\mathbb{Q}_{p,\text{alg}}$  is algebraically closed in  $L_0$ , both fields have the same residue fields [PR, p. 39, Lemma 3.5(i)] and  $\mathbb{Q}_{p,\text{alg}}$  contains a prime element of  $L_0$ . Thus  $\mathbb{Q}_{p,\text{alg}}$  and  $L_0$  have the same  $p$ -rank, and therefore  $L_0$  is  $p$ -adic.

Now let  $L_0$  range over all finite extensions of  $K$  in  $L$  to conclude that the unique extension of  $v$  to  $L$  is  $p$ -adic.

Each finite proper extension of  $L$  is of the form  $L(a)$ , where  $a \in \tilde{\mathbb{Q}} - \mathbb{Q}_{p,\text{alg}}$  and therefore of  $\mathfrak{p}$ -rank greater than 1. This means that  $L$  is  $p$ -adically closed. ■

EXAMPLE 2.2: *Distinct  $p$ -adic closures of a field whose compositum is not algebraically closed.* Consider the field  $K = \mathbb{Q}_p((t))$  of formal power series in  $t$  over  $\mathbb{Q}_p$ . It is Henselian

with respect to the valuation  $w$  having  $\mathbb{Q}_p[[t]]$  as its valuation ring. A finer valuation  $v$  of  $K$  has

$$O_p = \left\{ \sum_{i=0}^{\infty} a_i t^i \mid a_i \in \mathbb{Q}_p, a_0 \in \mathbb{Z}_p \right\}$$

as its valuation ring. It is a  $p$ -adic valuation. In the notation of Section 1,  $O_{\bar{v}} = \mathbb{Z}_p$ . In particular  $v$  is Henselian (Lemma 1.2).

Choose two sequences,  $\alpha_1, \alpha_2, \alpha_3, \dots$  and  $\beta_1, \beta_2, \beta_3, \dots$ , of elements of  $\tilde{K}$  such that  $\alpha_n^n = \beta_n^n = t$ ,  $\alpha_{mn}^m = \alpha_n$ ,  $\beta_{mn}^m = \beta_n$ , and  $\alpha_n \neq \beta_n$  for every  $n > 1$ . Let  $\bar{K}_1 = \bigcup_{n=1}^{\infty} K(\alpha_n)$ ,  $\bar{K}_2 = \bigcup_{n=1}^{\infty} K(\beta_n)$ ,  $K_{\text{cycl}} = K(\zeta_n \mid n = 1, 2, 3, \dots)$  ( $\zeta_n$  is a primitive root of 1 of order  $n$ ), and  $N = \tilde{\mathbb{Q}}K$ . Then  $\tilde{\mathbb{Q}} \cap \bar{K}_i = \mathbb{Q}_{p, \text{alg}}$  and  $\tilde{\mathbb{Q}}\bar{K}_i = \tilde{K}$  [GJ, Prop. 4.1]. By Proposition 2.2,  $\bar{K}_i$  is  $p$ -adically closed,  $i = 1, 2$ .

Also, as  $G(N) \cong \hat{\mathbb{Z}}$ ,  $K(\alpha_n)$  is the unique extension of  $K$  of degree  $n$  which is contained in  $\bar{K}_1$  and  $K(\beta_n)$  is the unique extension of  $K$  of degree  $n$  which is contained in  $\bar{K}_2$ . If  $K(\alpha_q) = K(\beta_q)$  for some prime number  $q$ , then  $\zeta_q \in K_{\text{cycl}} \cap K(\alpha_q) = K$ . Since  $\mathbb{Q}_p$  is algebraically closed in  $K$ , we have  $\zeta_q \in \mathbb{Q}_p$ . Hence  $q \mid p-1$ . We may therefore take  $q > p-1$  and conclude that  $K(\alpha_q) \neq K(\beta_q)$  and therefore  $\bar{K}_1 \neq \bar{K}_2$ .

In addition,

$$K(\alpha_n, \beta_n) \subseteq K(\alpha_n, \zeta_n) \subseteq K_{\text{cycl}}(\alpha_n).$$

Since  $\mathbb{Q}_{p, \text{cycl}} \subset \tilde{\mathbb{Q}}_p$ , we have

$$\bar{K}_1 \bar{K}_2 \subseteq K_{\text{cycl}} \bar{K}_1 = \mathbb{Q}_{p, \text{cycl}} \bar{K}_1 \subset \tilde{K}.$$

Hence  $\bar{K}_1 \bar{K}_2$  is not algebraically closed. ■

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Addresses of the authors:

Wulf-Dieter Geyer  
 Mathematisches Institut  
 der Universität  
 Bismarckstr. 1 $\frac{1}{2}$   
 W-8520 Erlangen  
 GERMANY

Moshe Jarden  
 School of Mathematical Sciences  
 Raymond and Beverly Sackler Faculty of Exact Sciences  
 Tel Aviv University  
 Ramat Aviv, Tel Aviv 69978  
 ISRAEL