The largest eigenvalue of sparse random graphs

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Abstract

We prove that for all values of the edge probability \( p(n) \) the largest eigenvalue of the random graph \( G(n, p) \) satisfies almost surely: \( \lambda_1(G) = (1 + o(1)) \max\{\sqrt{\Delta}, np\} \), where \( \Delta \) is the maximum degree of \( G \), and the \( o(1) \) term tends to zero as \( \max\{\sqrt{\Delta}, np\} \) tends to infinity.

1 Introduction

Let \( G = (V, E) \) be a graph with vertex set \( V(G) = \{1, \ldots, n\} \). The adjacency matrix of \( G \), denoted by \( A = A(G) \), is the \( n \)-by-\( n \) 0, 1-matrix whose entry \( A_{ij} \) is one if \((i, j) \in E(G)\), and is zero otherwise. It is immediate that \( A(G) \) is a real symmetric matrix. We thus denote by \( \lambda_1 \geq \lambda_2 \geq \ldots \lambda_n \) the eigenvalues of \( A \) which are usually called also the eigenvalues of the graph \( G \) itself. The family \( \{\lambda_1, \ldots, \lambda_n\} \) is called the spectrum of \( G \).

Spectral techniques play an increasingly important role in modern Graph Theory. A serious effort has been invested in establishing connections between spectral characteristics of a graph and its other parameters. The interested reader may consult the monographs [6], [5] for a detailed account of known results. The ability to compute graph eigenvalues efficiently (both from theoretical and practical points of view), combined with results from spectral graph theory, has provided a basis for quite a few graph algorithms. A survey of applications of spectral techniques in Algorithmic Graph Theory by Alon can be found in [1].

In this paper we study eigenvalues of random graphs. The random graph \( G(n, p) \) is the discrete probability space composed of all labeled graphs on the vertices \( \{1, \ldots, n\} \), where each edge \((i, j)\), \(1 \leq i < j \leq n\), appears randomly and independently with probability \( p = p(n) \). Sometimes with some abuse of notation we will refer to the random graph \( G(n, p) \) as a graph on \( n \) vertices generated according to the distribution \( G(n, p) \) described above. Usually asymptotic properties of random graphs are of interest. We say that a graph property \( \mathcal{A} \) holds almost surely, or a.s. for brevity, in \( G(n, p) \) if

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the probability that \( G(n, p) \) has \( \mathcal{A} \) tends to one as the number of vertices \( n \) tends to infinity. Necessary background information on random graphs may be found in [4], [8]. It is important to observe that the adjacency matrix of the random graph \( G(n, p) \) can be viewed as a random symmetric matrix, whose diagonal entries are zeroes and whose entries above the diagonal are i.i.d. random variables, each taking value 1 with probability \( p \) and value 0 with probability \( 1 - p \). This allows to bridge between random graphs and the extensively developed theory of random real symmetric matrices and their spectra (see, e.g. [13]).

The subject of this paper is the asymptotic behavior of the largest eigenvalue \( \lambda_1(G(n, p)) \) of random graphs. Notice that due to the Perron-Frobenius Theorem, for every graph \( G \) on \( n \) vertices, \( \lambda_1(G) \geq |\lambda_i(G)| \) for all \( i = 2, \ldots, n \). Thus \( \lambda_1(G) \) is equal to the spectral norm or the spectral radius of \( A(G) \).

It is easy to observe that for every graph \( G = (V, E) \) its largest eigenvalue \( \lambda_1(G) \) is always squeezed between the average degree of \( G \), \( \bar{d} = \sum_{v \in V} d_G(v) / |V| \) and its maximum degree \( \Delta(G) = \max_{v \in V} d_G(v) \). As for all \( p(n) \gg \log n \) the last two quantities are both asymptotically equal to \( np \), it follows that in this range of edge probabilities a.s. \( \lambda_1(G(n, p)) = (1 + o(1))np \). In fact, much more is known for large enough values of \( p(n) \), Füredi and Komlós proved in [7] that for a constant \( p \), \( \lambda_1(G(n, p)) \) has asymptotically a normal distribution with expectation \( (n - 1)p + (1 - p) \) and variance \( 2p(1 - p) \).

In contrast, not much appears to be known for the case of sparse random graphs, i.e. when \( p(n) = O(\log n) \). Khorunzhy and Vengerovsky [11] and Khorunzhy [10] consider mainly the case \( p(n) = 1/n \) and show that in this case the spectral norm of \( A(G(n, p)) \) a.s. tends to infinity with \( n \). Moreover, it is stated in [11] that the mathematical expectation of the number of eigenvalues that go to infinity is of order \( \Theta(n) \).

Here we determine the asymptotic value of the largest eigenvalue of sparse random graphs. To grasp better the result, observe that if \( \Delta \) denotes the maximum degree of a graph \( G \), then \( G \) contains a star \( S_\Delta \) and therefore \( \lambda_1(G) \geq \lambda_1(S_\Delta) = \sqrt{\Delta} \). Also, as mentioned above \( \lambda_1(G) \) is at least as large as the average degree of \( G \). As for all values of \( p(n) \gg 1/n^2 \), a.s. \( |E(G(n, p))| = (1 + o(1))(n^2p/2) \), we get that a.s. \( \lambda_1(G(n, p)) \geq (1 + o(1))np \). Combining the above lower bounds together, we get that a.s. \( \lambda_1(G(n, p)) \geq (1 + o(1))\max\{\sqrt{\Delta}, np\} \). As it turns out this lower bound can be matched by an upper bound of the same asymptotic value, as stated by the following theorem:

**Theorem 1.1** Let \( G = G(n, p) \) be a random graph and let \( \Delta \) be the maximum degree of \( G \). Then almost surely the largest eigenvalue of the adjacency matrix of \( G \) satisfies

\[
\lambda_1(G) = (1 + o(1))\max\{\sqrt{\Delta}, np\},
\]

where the \( o(1) \) term tends to zero as \( \max\{\sqrt{\Delta}, np\} \) tends to infinity.

As the asymptotic value of the maximum degree of \( G(n, p) \) is known for all values of \( p(n) \) (see Lemma 2.2 below), the above theorem enables us to estimate the asymptotic value of \( \lambda_1(G(n, p)) \) for all relevant values of \( p \). In particular, for the case \( p = c/n \) we get:

**Corollary 1.2** For any constant \( c > 0 \), a.s. \( \lambda_1(G(n, c/n)) = (1 + o(1))\sqrt{\frac{\log n}{\log \log n}} \).
The rest of the paper is organized as follows. In the next section we gather necessary technical information about random graphs, used later in the proof of the main result. The main theorem, Theorem 1.1, is proven in Section 3. Section 4, the last section of the paper, is devoted to concluding remarks and discussion of related open problems.

Throughout the paper we omit systematically floor and ceiling signs for the sake of clarity of presentation. All logarithms are natural. We will frequently use the inequality \( \binom{n}{k} \leq \left( \frac{en}{k} \right)^k \).

2 Some properties of sparse random graphs

In this section we show some properties of sparse random graphs which we will use later to prove Theorem 1.1. First we need the following definition. Let \( G(n, p) \) be a random graph and let

\[
\Delta_p = \max \left\{ k : n \left( \binom{n-1}{k} p^k (1-p)^{n-k} \geq 1 \right) \right\}.
\]

In words, \( \Delta_p \) is the maximal \( k \) for which the expectation of the number of vertices of degree \( k \) in \( G(n, p) \) is still at least one. The following lemma summarizes properties of \( \Delta_p \) that we will need later.

**Lemma 2.1** (i) If \( p \leq e^{-(\log \log n)^2}/n \), then \( \Delta_p = o(\log n) \);
(ii) If \( \Delta_p \to \infty \) and \( p \leq e^{-(\log \log n)^2}/n \), then \( n(p \Delta_p)^{\Delta_p+1} \leq O((\Delta_p + 1)^{\Delta_p+2}) \);
(iii) If \( p \geq \log^{1/2} n/n \) then \( \Delta_p = o((np)^2) \);
(iv) If \( p \geq e^{-(\log \log n)^2}/n \), then \( \Delta_p \geq \Omega(\log n/(\log \log n)^2) \).

**Proof.** (i) Let \( p \leq e^{-(\log \log n)^2}/n \) and let \( k \geq \log n/(\log \log n) \), then

\[
n \left( \binom{n-1}{k} p^k (1-p)^{n-k} \right) \leq n^k p^k = n(n p)^k \leq n e^{-\log n(\log \log n)} = \frac{nn^{-\log \log n}}{e} \ll 1.
\]

Therefore, by definition, \( \Delta_p \leq \log n/(\log \log n) = o(\log n) \).

(ii) Since \( \Delta_p \to \infty \) then by Stirling’s formula, \( (\Delta_p + 1)! = (1 + o(1)) \sqrt{2\pi(\Delta_p + 1)} \left( \Delta_p + 1 \right)^{\Delta_p+1} \). By definition of \( \Delta_p \), we have that

\[
1 \geq n \left( \binom{n-1}{\Delta_p + 1} p^{\Delta_p+1} (1-p)^{n-\Delta_p-1} \right) = (1 + o(1)) n \frac{(np)^{\Delta_p+1}}{(\Delta_p + 1)!} = (1 + o(1)) \frac{n(p \Delta_p)^{\Delta_p+1}}{\sqrt{2\pi(\Delta_p + 1)}(\Delta_p + 1)^{\Delta_p+1}}.
\]

Therefore \( n(p \Delta_p)^{\Delta_p+1} \leq O(\sqrt{\Delta_p + 1} (\Delta_p + 1)^{\Delta_p+1}) \leq O((\Delta_p + 1)^{\Delta_p+2}) \).

(iii) Let \( p \geq \log^{1/2} n/n \) and let \( k \geq (np)^2/\log \log n \), then

\[
n \left( \binom{n-1}{k} p^k (1-p)^{n-k} \right) \leq n \left( \frac{en}{k} \right)^k \leq n \left( \frac{en}{k} \right)^k \leq n \left( \frac{e\sqrt{\log \log n}}{\log^{1/2} n} \right)^{\log n/\sqrt{\log \log n}} = n e^{-\left(\frac{1}{2}+o(1)\right) \log n/\sqrt{\log \log n}} = \frac{nn^{-\left(\frac{1}{2}+o(1)\right) \log \log n}}{\sqrt{\log \log n}} \ll 1.
\]

Therefore, by definition, \( \Delta_p \leq (np)^2/\sqrt{\log \log n} = o((np)^2) \).

(iv) Let \( p = e^{-(\log \log n)^2}/n \), then it is easy to check that for \( k = \log n/(4(\log \log n)^2) \) we have that \( n \left( \binom{n-1}{k} p^k (1-p)^{n-k} \right) > 1 \). Therefore \( \Delta_p \geq \Omega(\log n/(\log \log n)^2) \). Since \( \Delta_p \) is easily seen to be a non-decreasing function of \( p \), we get the required estimate. \( \square \)
Lemma 2.2 Let $G = G(n, p)$ be a random graph. Then

(i) The maximum degree of $G$ almost surely satisfies $\Delta(G) = (1 + o(1))\Delta_p$, where the $o(1)$ term tends to zero when $\Delta_p$ tends to infinity.

(ii) If $np \to 0$ then almost surely $G$ is a forest.

(iii) If $p \leq e^{-\lfloor \log \log n \rfloor^2} / n$, then almost surely all connected components of $G$ are of size at most $(1 + o(1))\Delta_p$, where $o(1) \to 0$ when $\Delta_p \to \infty$.

(iv) If $p \leq \log^{1/2} n / n$, then almost surely every vertex of $G$ is contained in at most one cycle of length $\leq 4$.

Proof. Parts (i) and (ii) are well known and can be found, e.g., in the monograph of Bollobás [4]. To show (iii) it is enough to bound from above the expectation of the number $Y$ of labeled trees on $t = (1 + 1 / \log \log n)\Delta_p + 2$ vertices, contained in $G(n, p)$ as subgraphs. Obviously this expectation is equal to

$$EY = \binom{n}{t}t^{-2}p^{t-1} \leq \frac{n^t}{t!}t^{-2}p^{t-1} \leq \frac{n^t}{t^2}t^{-2}p^{t-1} = \frac{en}{t^2}(enp)^{t-1} = \frac{e}{t^2}(n(ep)^{\Delta_p + 1})(np)^{t-\Delta_p}.$$ 

From Lemma 2.1 we have that $n(ep)^{\Delta_p + 1} \leq O((\Delta_p + 1)^{\Delta_p + 2})$ and $\Delta_p = o(\log n)$. Therefore, using that $p \leq e^{-\lfloor \log \log n \rfloor^2} / n$ and $t > \Delta_p$, we conclude

$$EY \leq O\left(\frac{e}{t^2}(\Delta_p + 1)^{\Delta_p + 2}(enp)^\Delta_p / \log \log n\right) \leq O\left(\frac{e(\Delta_p + 1)^{\Delta_p}}{\log n}\right) = o(1).$$

Now (iii) follows from Markov’s inequality. Finally, the expected number of pairs of intersecting cycles of length $s, t \leq 4$ in the graph $G$ is at most $O(n^2n^{t-1}p^{s+1}) \leq O(\log^4 n / n) = o(1)$. This, by Markov’s inequality, implies (iv). □

Next we show that the set of vertices of relatively high degree in $G(n, p)$ spans a graph with small maximum degree and with no cycles. More precisely, the following stronger statement is true.

Lemma 2.3 Let $p \geq e^{-\lfloor \log \log n \rfloor^2} / n$ and let $X$ be the set of vertices of the random graph $G = G(n, p)$ with degree larger than $np(1 + 1 / \log \log n) + \Delta_p^{1/3}$. Then

(i) Almost surely every cycle of $G$ of length $k$ intersects $X$ in fewer than $k/2$ vertices.

(ii) Almost surely every vertex in $G$ has fewer than $\Delta_p^{7/8}$ neighbors in $X$.

Proof. First we consider the case when $e^{-\lfloor \log \log n \rfloor^2} / n \leq p \leq \log^{1/4} n / n$. In this case, from Lemma 2.1, $\Delta_p \geq \Omega(\log n / \log \log n)$ and $np \leq \log^{1/4} n$. To prove the lemma we first estimate the probability that all the vertices of a fixed set $T$ of size $|T| = t$ have degrees at least $\log^{1/3} n / \log \log n < \Delta_p^{1/3}$. It is easy to see that for such a set $T$, either there are at least $(\log^{1/3} n / \log \log n)t/3$ edges in the cut $(T, V(G) - T)$, or the set $T$ spans at least $(\log^{1/3} n / \log \log n)t/3$ edges of $G$. Since the number of edges in the cut $(T, V(G) - T)$ is a binomially distributed random variable with parameters $t(n - t)$ and $p$, we can bound the probability of the first event by
\[
\left( \frac{t(n - t)}{\frac{\log^{1/3} n}{3 \log \log n}} \right)^{\frac{\log^{1/3} n}{3 \log \log n}} \leq \left( \frac{3e(n - t)p \log \log n}{\log^{1/3} n} \right)^{\frac{\log^{1/3} n}{3 \log \log n}} \leq \left( \frac{3e \log^{1/4} n \log \log n}{\log^{1/3} n} \right)^{\frac{\log^{1/3} n}{3 \log \log n}} \\
\leq e^{-\Omega(t \log^{1/3} n)}.
\]

Also, the number of edges spanned by \( T \) is a binomially distributed random variable with parameters \( t(t - 1)/2 \) and \( p \). We can thus bound the probability of the second event similarly by

\[
\left( \frac{\frac{t(t - 1)}{2}}{\frac{\log^{1/3} n}{3 \log \log n}} \right)^{\frac{\log^{1/3} n}{3 \log \log n}} \leq \left( \frac{3e(t - 1)p \log \log n}{2 \log^{1/3} n} \right)^{\frac{\log^{1/3} n}{3 \log \log n}} \leq \left( \frac{3e \log^{1/4} n \log \log n}{2 \log^{1/3} n} \right)^{\frac{\log^{1/3} n}{3 \log \log n}} \\
\leq e^{-\Omega(t \log^{1/3} n)}.
\]

Therefore, the probability that all the vertices in the given set of size \( t \) have degree at least \( \Delta^{1/3}_p \) is at most \( e^{-\Omega(t \log^{1/3} n)} \). Essentially repeating the above argument shows that conditioning on the presence of any specific set of at most \( 2t \) edges in \( G \) leaves the latter probability still at most \( e^{-\Omega(t \log^{1/3} n)} \).

Using this bound we can easily estimate the probability that there exists a cycle of length \( k \) with at least \( k/2 \) vertices inside the set \( X \). Clearly this probability is at most

\[
\sum_{k \geq 3} \binom{k}{k/2} n^k p^{k/2} e^{-\Omega(k/2 \log^{1/3} n)} \leq \sum_{k \geq 3} \binom{k}{k/2} \left( 2npe^{-\Omega(\log^{1/3} n)} \right)^k \leq \sum_{k \geq 3} \left( 2(\log^{1/4} n)e^{-\Omega(\log^{1/3} n)} \right)^k = o(1).
\]

(First choose \( k \) vertices of a cycle and fix their order, then require that the \( k \) edges of the cycle are present in \( G(n, p) \), then choose a set \( T \) of the cycle vertices of cardinality \( |T| = t = \lfloor k/2 \rfloor \), and then require all vertices of \( T \) to belong to \( X \), conditioning on the presence of the cycle edges in \( G(n, p) \).)

This implies claim (i) of the lemma. Similarly, the probability that there exists a vertex with at least \( \Delta^{7/8}_p \) neighbors in \( X \) is at most

\[
n \left( \frac{n}{\Delta^{7/8}_p} \right)^{\Delta^{7/8}_p} e^{-\Omega(\Delta^{7/8}_p \log^{1/3} n)} \leq n \left( npe^{-\Omega(\log^{1/3} n)} \right)^{\Delta^{7/8}_p} \leq n \left( (\log^{1/4} n)e^{-\Omega(\log^{1/3} n)} \right)^{\Omega \left( \frac{\log n}{\log \log n} \right)^{7/8}} \\
\leq n e^{-\Omega(\log^{13/12} n)} = o(1).
\]

This completes the proof of the lemma for \( e^{-\log(\log n)^2/n} \leq p \leq \log^{1/4} n/n \).

Next we consider the case when \( p \geq \log^{1/4} n/n \). We again start by estimating the probability that that all the vertices of a fixed set \( T \) of size \( t \leq n/2 \) have degree at least \( np(1 + 1/\log \log n) \). Similarly as before, for such a set \( T \), there are at least \( t(n - t)p + tnp/(3 \log \log n) \) edges in the cut \((T, V(G) - T)\), or the set \( T \) spans at least \( t(t - 1)p/2 + tnp/(3 \log \log n) \) edges. By the standard estimates for the binomial distributions (see, e.g., [3], Appendix A) it follows that the probability of the first event is at most \( e^{-\Omega(tnp/(\log \log n)^2)} \). The same estimates can be used to show that if \( n/(6 \log \log n) \leq t \leq n/2 \) then the probability of the second event is also bounded by \( e^{-\Omega(tnp/(\log \log n)^2)} \). On the other hand, if
\[ t \leq n/(6 \log \log n), \text{ then this probability can be bounded directly by} \]
\[
\left( \frac{\frac{t}{2}^{t-1}}{\frac{t}{2^{n-1}}^{n-1}} \right) p^{\frac{t-n}{3 \log \log n}} \leq \left( \frac{3e(t - 1)p \log \log n}{2np} \right)^{\frac{t-n}{3 \log \log n}} \leq \left( \frac{e}{4} \right)^{\frac{t-n}{3 \log \log n}} \leq e^{-\Omega(np/(\log \log n)^2)}.\]

Therefore, the probability that all the degrees of the vertices in a given set of size \( t \) are at least \( np(1 + 1/\log \log n) \) is at most \( e^{-\Omega((np/\log \log n)^2)} \). Again, conditioning on the presence of any specific set of at most \( 2t \) edges does not change the order of the exponent in the above estimate.

Using this bound together with the fact that \( np \geq \log^{1/4} n \) we can estimate probability that there exists a cycle of length \( k \) with at least \( k/2 \) vertices inside set \( X \). Clearly this probability is at most

\[
\sum_{k \geq 3} n^k p^{k \left( \frac{k}{[k/2]} \right)} e^{-\Omega((k/2)np/\log \log n)^2)} \leq \sum_{k \geq 3} \left( 2npe^{-\Omega(np/(\log \log n)^2)} \right)^k \leq \sum_{k \geq 3} e^{-\Omega(k \log^{1/4} n/\log \log n)^2)} = o(1).
\]

This implies claim (i). Similarly, the probability that there exists a vertex with at least \( \Delta_p^{7/8} \) neighbors in \( X \) is at most

\[
n \left( \frac{n}{\Delta_p^{7/8}} \right) p^{\Delta_p^{7/8}} e^{-\Omega((\Delta_p^{7/8}np/\log \log n)^2)} \leq n \left( npe^{-\Omega(np/(\log \log n)^2)} \right)^{\Delta_p^{7/8}} \leq ne^{-\Omega\left( \frac{\log^{1/4} n/\log \log n)^2}{\log \log n} \right)^{\Delta_p^{7/8}}} \leq ne^{-\Omega((\log^{1/4} n/\log \log n)^2)} = o(1).
\]

This implies claim (ii) and completes the proof of the lemma. \( \square \)

Finally we need one additional lemma.

**Lemma 2.4** Let \( G = G(n, p) \) be a random graph with \( e^{-\log \log n)^2 / n} \leq p \leq \log^{1/2} n/n. \) Then a.s. \( G \) contains no vertex which has at least \( \Delta_p^{1/3} \) other vertices of \( G \) with degree \( \geq \Delta_p^{3/4} \) within distance at most two.

**Proof.** Let \( v \) be a vertex of \( G(n, p) \) and let \( u_i, i = 1, \ldots, \Delta_p^{1/3} \) be vertices with degree at least \( \Delta_p^{3/4} \) which are within distance at most two from \( v \). Let \( T \) be the set of vertices of the smallest connected subgraph of \( G \) which contain \( v \) together with all the vertices \( u_i \). Since the shortest path from \( v \) to \( u_i \) may contain only one vertex distinct from \( v \) and \( u_i \) then the size of \( T \) satisfies \( \Delta_p^{1/3} + 1 \leq |T| = t \leq 2\Delta_p^{1/3} + 1. \) In addition each \( u_i \) has at least \( \frac{3}{4}\Delta_p^{3/4} \) neighbors outside set \( T \). Therefore there are at least \( \frac{1}{2} \Delta_p^{3/4} \cdot \Delta_p^{1/3} = \frac{1}{2} \Delta_p^{13/12} \) edges of \( G \) between \( T \) and \( V(G) - T \). Since the number of edges in the cut \( (T, V(G) - T) \) is a binomially distributed random variable with parameters \( t(n - t) \) and \( p \) we can bound the probability of this event for a fixed set \( T \) of size \( |T| = t \) by

\[
\left( \frac{t(n - t)}{\Delta_p^{13/12}} \right) p^{\frac{1}{2} \Delta_p^{13/12}} \leq \left( \frac{2et(n - t)p}{\Delta_p^{13/12}} \right)^{\frac{1}{2} \Delta_p^{13/12}} = \left( \frac{5e \log^{1/2} n}{\Delta_p^{3/4}} \right)^{\frac{1}{2} \Delta_p^{13/12}} \leq e^{-\log^{25/24} n}.
\]

Here we used that by Lemma 2.1, for \( p \geq e^{-\log \log n)^2 / n}, \Delta_p \geq \Omega(n/(\log \log n)^2) \) and the facts that \( np \leq \log^{1/2} n \) and \( t \leq 2\Delta_p^{1/3} + 1. \)
As we explained in the previous paragraph, the probability that there exists a vertex that violates the assertion of the lemma is bounded by the probability that there exists a connected subgraph on \(|T| = t \leq 2\Delta_p^{1/3} + 1\) vertices such that the number of edges in the cut \((T, V(G) - T)\) is at least \(\frac{1}{2} \Delta_p^{13/12}\). Using that for \(p \leq \log^{1/2} n/n\), by definition, \(\Delta_p = o(\log n)\), we can bound this probability by

\[
\sum_{t \leq 2\Delta_p^{1/3} + 1} \binom{n}{t} \left(\frac{1}{t} \right) e^{-\frac{t}{2} p - \frac{1}{2} \log^{25/24} n} \leq \sum_{t \leq 2\Delta_p^{1/3} + 1} \frac{en}{\sqrt{2\pi}} (e\log n)^{t-1/2} e^{-\frac{t}{2} \log^{25/24} n} \leq 3\Delta^{1/3}_p n (e\log n)^{2\Delta^{1/3}_p} e^{-\log^{25/24} n} = o(1).
\]

This completes the proof. \(\square\)

3 The proof of main result

In this section we prove Theorem 1.1. We start by stating some simple properties of the largest eigenvalue of a graph that we will need later.

**Proposition 3.1** Let \(G\) be a graph on \(n\) vertices and \(m\) edges and with maximum degree \(\Delta\). Let \(\lambda_1(G)\) be the largest eigenvalue of the adjacency matrix of \(G\). Then the following properties hold.

(i) \(\max \left(\sqrt{\Delta}, \frac{2m}{n}\right) \leq \lambda_1(G) \leq \Delta.\)

(ii) If \(E(G) = \bigcup_i E(G_i)\) then \(\lambda_1(G) \leq \sum_i \lambda_1(G_i)\). If in addition the graphs \(G_i\) are vertex disjoint, then \(\lambda_1(G) = \max_i \lambda_1(G_i)\).

(iii) If \(G\) is a forest then \(\lambda_1(G) \leq \min (2\sqrt{\Delta - 1}, \sqrt{n - 1})\). In particular if \(G\) is a star on \(\Delta + 1\) vertices then \(\lambda_1(G) = \sqrt{\Delta}\).

(iv) If \(G\) is a bipartite graph such that degrees on both sides of bipartition are bounded by \(\Delta_1\) and \(\Delta_2\) respectively, then \(\lambda_1(G) \leq \sqrt{\Delta_1\Delta_2}\).

**Proof.** Most of these easy statements can be found in Chapter 11 of the book of Lovász [12]. Here we sketch the proof of few remaining ones for the sake of completeness.

(iii) Let \(A\) be the adjacency matrix of \(G\) and let \(\lambda_1, \lambda_2, \ldots, \lambda_n\) be its eigenvalues. Since \(G\) is a forest on \(n\) vertices it is easy to see that the trace of \(A^2\) satisfies

\[
\sum_i \lambda_i^2 = tr(A^2) = \sum_v d_v \leq 2(n - 1).
\]

On the other hand \(\lambda_1 = -\lambda_n\) because \(G\) is bipartite. Therefore we can conclude that \(2\lambda_1^2 \leq 2(n - 1)\) and hence \(\lambda_1 \leq \sqrt{n - 1}\). For the proof of the rest of the statement (iii) see, e.g., [12].

(iv) Let \(A\) be the adjacency matrix of \(G\). Then by definition it is easy to see that \(A^2\) is the adjacency matrix of a multigraph with maximum degree \(\Delta_1\Delta_2\). Therefore by (i) we have that \(\lambda_1(A^2) = \lambda_1^2(G) \leq \Delta_1\Delta_2\) and hence \(\lambda_1 \leq \sqrt{\Delta_1\Delta_2}\). \(\square\)

Having finished all the necessary preparations, we are now ready to complete the proof of our main theorem.
Proof of Theorem 1.1. We start with the easy case when the random graph is very sparse. If $p \leq e^{-\left(\log \log n\right)^2/n}$, then by Lemma 2.2 a.s. $G = G(n, p)$ is a disjoint union of trees of size at most $(1 + o(1))\Delta_p$. Therefore by claims (ii) and (iii) of Proposition 3.1 we have that $\lambda_1(G) \leq (1 + o(1))\sqrt{\Delta_p}$. On the other hand, by Lemma 2.2 the maximum degree of $G$ is almost surely at least $(1 + o(1))\Delta_p$ and thus claim (i) of Proposition 3.1 implies that $\lambda_1(G) \geq (1 + o(1))\sqrt{\Delta_p}$. Since the value of the edge probability satisfies $np = o(1) < \sqrt{\Delta_p}$, we obtain that $\lambda_1(G) = (1 + o(1))\sqrt{\Delta_p} = (1 + o(1))\max\left(\sqrt{\Delta(G)}, np\right)$.

Another relatively simple case is when $p \geq \log^{1/2} n/n$. Then by Lemma 2.1 we have that $\Delta_p = o\left((np)^2\right)$ and hence it is enough to prove that $\lambda_1(G) = (1 + o(1))\max\left(\sqrt{\Delta_p, np}\right) = (1 + o(1))\sqrt{\Delta_p}$. To get a lower bound on the largest eigenvalue note that the standard Chernoff estimates for the binomial distributions (see, e.g., [3], Appendix A) imply that the number of edges in $G(n, p)$ is a.s. $(1 + o(1))n^2p/n = (1 + o(1))np$.

To get an upper bound, denote by $X$ the set of vertices of random graph $G = G(n, p)$ with degree larger than $np(1 + 1/\log \log n) + \Delta_p^{1/3}$. Let $G_1$ be a subgraph of $G$ induced by the set $X$, let $G_2$ be a subgraph of $G$ induced by the set $V(G) - X$ and finally let $G_3$ be a bipartite subgraph of $G$ containing all the edges between $X$ and $V(G) - X$. By definition $G = \bigcup_i G_i$ and thus by claim (ii) of Proposition 3.1 we obtain that $\lambda_1(G) \leq \sum_{i=1}^3 \lambda_1(G_i)$. Since the maximum degree of graph $G_2$ is $np(1 + 1/\log \log n) + \Delta_p^{1/3} = (1 + o(1))np$, then by claim (i) of Proposition 3.1 it follows that $\lambda_1(G_2) \leq (1 + o(1))np$. Also note that by our construction any cycle in the graphs $G_1$ or $G_3$ should have less than half of its vertices in the set $X$. Therefore from Lemma 2.3 we get that almost surely $G_1$ and $G_3$ contains no cycles. In addition, by Lemma 2.2, the maximum degree of these two forests is bounded by $(1 + o(1))\Delta_p$. Then using claim (iii) of Proposition 3.1 we obtain that $\lambda_1(G_i) \leq (2 + o(1))\sqrt{\Delta_p}$, $i = 1, 3$. This implies that

$$\lambda_1(G) \leq \lambda_1(G_1) + \lambda_1(G_2) + \lambda_1(G_3) \leq (1 + o(1))np + (4 + o(1))\sqrt{\Delta_p} = (1 + o(1))np.$$

Finally we treat the remaining case when $e^{-\left(\log \log n\right)^2/n} \leq p \leq \log^{1/2} n/n$. Similarly as before we have that a.s. the maximum degree of $G = G(n, p)$ is $(1 + o(1))\Delta_p$ and the total number of edges in $G$ is $(1 + o(1))n^2p/2$. Therefore claim (i) of Proposition 3.1 implies that

$$\lambda_1(G) \geq (1 + o(1))\max\left(\sqrt{\Delta_p, n^2p/n}\right) = (1 + o(1))\max\left(\sqrt{\Delta(G), np}\right).$$

To handle the upper bound on $\lambda_1$ we again use a partition of $G$ into smaller subgraphs, whose largest eigenvalue is easier to estimate.

Denote by $X_1$ the set of vertices of $G$ with degree at least $\Delta_p^{3/4}$ and by $X_2$ the set of vertices with degrees larger than $np(1 + 1/\log \log n) + \Delta_p^{1/3}$ but less than $\Delta_p^{3/4}$. Let $X = X_1 \cup X_2$ and let $Y_1$ contain all vertices of $V(G) - X$ with at least one neighbor in $X_1$. Finally let $Y_2$ be the set $V(G) - X \cup Y_1$. Note that by definition there are no edges between $X_1$ and $Y_2$.

We consider the following subgraphs of $G$. Let $G_1$ be the subgraph of $G$ induced by the set $X$. Then by Lemma 2.3, $G_1$ contains no cycles and has maximum degree at most $\Delta_p^{7/8}$. Therefore by claim (iii) of Proposition 3.1 we get that $\lambda_1(G_1) \leq 2\sqrt{\Delta_p^{7/8}} = o\left(\sqrt{\Delta_p}\right)$.  

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Our second graph $G_2$ consists of all edges between $X_2$ and $V(G) - X$. Note that by definition, any cycle in $G_2$ has exactly half of its vertices in $X_2 \subseteq X$. Thus by Lemma 2.3, almost surely $G_2$ is a forest. In addition, the maximum degree in $G_2$ is bounded by the maximal possible degree of a vertex from the set $V(G) - X_1$, which is $\Delta_p^{3/4}$. Using claim (iii) of Proposition 3.1 we get that $\lambda_1(G_2) \leq 2\sqrt{\Delta_p^{3/4}} = o(\sqrt{\Delta_p})$.

Next consider the graph $G_3$, induced by the set of vertices $Y_1$. Let $v \in V(G) - X$ be a vertex with at least $\Delta_p^{1/3} + 1$ neighbors in $Y_1$. Since by definition every neighbor of $v$ in $Y_1$ is also a neighbor of some vertex in $X_1$ we obtain that there are at least $\Delta_p^{1/3} + 1$ paths of length two from $v$ to the set $X_1$. On the other hand, by Lemma 2.2, $v$ almost surely is contained in at most one cycle of length 4. This implies that all but at most one of the endpoints of these paths in $X_1$ are different. Therefore the vertex $v$ has at least $\Delta_p^{1/3}$ distinct endpoints of $X_1$ with in distance two. Now from Lemma 2.4 it follows that a.s. there is no vertex with this property. Hence every vertex $v \in V(G) - X$ has almost surely at most $\Delta_p^{1/3}$ neighbors in $Y_1$. In particular the maximum degree of $G_3$ is bounded by $\Delta_p^{1/3}$, which implies that $\lambda_1(G_3) \leq \Delta_p^{1/3} = o(\sqrt{\Delta_p})$.

Let $G_4$ be the bipartite subgraph consisting of all the edges of $G$ between $Y_1$ and $Y_2$. By definition, the degree of every vertex in $Y_1$ is at most $np(1 + 1/\log \log n) + \Delta_p^{1/3}$ and we already proved in the previous paragraph that the degree of every vertex from $Y_2$ in this graph is at most $\Delta_p^{1/3}$. Therefore, using claim (iv) of Proposition 3.1 together with the facts that $np \leq \log^{1/2} n$ and $\Delta_p \geq \Omega(\log n/(\log \log n)^2)$ we obtain

$$\lambda_1(G_4) \leq \sqrt{\Delta_p^{1/3}(np(1 + 1/\log \log n) + \Delta_p^{1/3})} \leq \Delta_p^{1/3} + (1 + o(1))\Delta_p^{1/6} \sqrt{np} = o(\sqrt{\Delta_p}).$$

Finally we define $G_5$ to be the subgraph of $G$ induced by the set $Y_2$, and $G_6$ to be a bipartite graph containing all the edges of $G$ between $X_1$ and $Y_1$. Since there are no edges crossing from $X_1$ to $Y_2$ it is easy to check that $E(G) = \bigcup_{i=1}^{6} E(G_i)$. Also since the graphs $G_5$ and $G_6$ are vertex disjoint, then by claim (ii) of Proposition 3.1 we obtain that $\lambda_1(G_5 \cup G_6) = \max(\lambda_1(G_5), \lambda_1(G_6))$ and

$$\lambda_1(G) \leq \lambda_1(G_1) + \ldots + \lambda_1(G_4) + \lambda_1(G_5 \cup G_6) = \max(\lambda_1(G_5), \lambda_1(G_6)) + o(\sqrt{\Delta_p}).$$

By definition, the maximum degree of $G_5$ is bounded by $(1 + o(1))np + \Delta_p^{1/3}$, which implies that $\lambda_1(G_5) \leq (1 + o(1))np + \Delta_p^{1/3}$. Hence to finish the proof it remains to bound $\lambda_1(G_6)$.

Consider the graph $G_6$. Let $T$ be the set of vertices from $Y_1$ with degrees greater than one in $G_6$ and let $u \in X_1$ be a vertex with at least $\Delta_p^{1/3} + 1$ neighbors in $T$. By definition, every neighbor of $u$ in $T$ has also an additional neighbor in $X_1$, which is distinct from $u$. Therefore we obtain that there are at least $\Delta_p^{1/3} + 1$ simple paths of length two from $u$ to the set $X_1$. On the other hand, by Lemma 2.2, $u$ almost surely is contained in at most one cycle of length 4. This implies that all but at most one of the endpoints of these paths in $X_1$ are different. Therefore vertex $u$ has at least $\Delta_p^{1/3}$ distinct vertices of $X_1$ within distance two. Now from Lemma 2.4 it follows that a.s. there is no vertex with this property. In addition it follows that every vertex from $Y_1$ has degree at most $\Delta_p^{1/3}$ in $G_6$. Let $H$ be the subgraph of $G_6$ containing all the edges from $X_1$ to $T$. Then by the above discussion its maximum
degree is bounded by $\Delta_p^{1/3}$ and therefore $\lambda_1(H) \leq \Delta_p^{1/3}$. On the other hand, since the degree of every vertex in $Y_1 - T$ in $G_6$ is at most one and the graph is bipartite, we obtain that $G_6 - H$ is a union of vertex disjoint stars. The size of each star is at most the maximum degree of $G$. Then by claims (ii) and (iii) of Proposition 3.1 we get that

$$\lambda_1(G_6) \leq \lambda_1(H) + \lambda_1(G_6 - H) \leq \Delta_p^{1/3} + (1 + o(1))\sqrt{\Delta_p}.$$ 

This implies the desired upper bound on $\lambda_1(G)$, since

$$\lambda_1(G) \leq \max \left( \lambda_1(G_5), \lambda_1(G_6) \right) + o\left(\sqrt{\Delta_p} \right) = \max \left( (1 + o(1))np + \Delta_p^{1/3}, (1 + o(1))\sqrt{\Delta_p} + \Delta_p^{1/3} \right)$$

$$= (1 + o(1)) \max \left( \sqrt{\Delta_p}, np \right) = (1 + o(1)) \max \left( \sqrt{\Delta(G)}, np \right),$$

and completes the proof of the theorem.  

\[ \square \]

4 Concluding remarks

In this paper we have found the asymptotic value of the largest eigenvalue of the random graph $G(n, p)$, or the spectral radius of the corresponding random real symmetric matrix.

It would be quite interesting to obtain more accurate estimates on the error term in the asymptotic estimate for $\lambda_1(G(n, p))$, given by Theorem 1.1. Notice that due to the recent concentration result of Alon, Krivelevich and Vu [2], the standard deviation of $\lambda_1(G(n, p))$ can be asymptotically bounded by an absolute constant, and this random variable is sharply concentrated. Our proof methods do not allow us to locate the expectation of $\lambda_1$ with such degree of precision. Neither are we able to obtain a limit distribution of $\lambda_1$, as has been done by Füredi and Komlós [7] for the case of a constant edge probability $p$. This is another attractive open question.

One can also try to determine when the largest eigenvalue of a random graph has multiplicity one and then to understand the typical structure of the first eigenvector of $G(n, p)$. While for the case $p \gg \log n/n$, where the graph $G(n, p)$ becomes a.s. almost regular, the first eigenvector will be a.s. almost collinear to the all-1 vector, the picture becomes more complicated for smaller values of $p(n)$. Notice that for $p(n) \ll \log n/n$ the graph $G(n, p)$ is a.s. disconnected, and therefore the support of the first eigenvector will be at most as large as the size of its largest connected component.

Consider the case $p = c/n$, for a constant $c > 0$. Performing direct calculations similar to those of Section 2 of the present paper, one can show that in this case $G(n, p)$ contains almost surely an unbounded collection of vertices of degree $\Delta(G)(1 - o(1))$ at distance at least three from each other. Considering then the subgraph of $G$ spanned by those vertices and their neighbors shows that a.s. $G(n, p)$ has an unbounded number of eigenvalues $\lambda_1 = (1 - o(1))\lambda_1$.

Another observation for the case $p = c/n$ is that according to Corollary 1.2 the first eigenvalue of $G(n, c/n)$ remains asymptotically the same for all values of the constant $c > 0$ and appears thus to be quite insensitive to the growth of $c > 0$. This is in a sharp contrast with many other properties of random graphs such as the appearance of the giant component (all components of $G(n, c/n)$ are a.s.
at most logarithmic in size for $c < 1$, while for $c > 1$ $G(n, p)$ contains a.s. one component of a linear size and the rest are $O(\log n)$ or planarity $(G(n, c/n)$ is a.s. planar for $c < 1$ and a.s. non-planar for $c > 1$).

Another related problem is to investigate the spectrum of the Laplacian of a random graph $G(n, p)$. For a graph $G$, the Laplacian $L = L(G)$ is defined as $L = D - A$, where $A$ is the adjacency matrix of $G$ and $D$ is the diagonal matrix whose diagonal entries are degrees of corresponding vertices. For any graph $G$, the Laplacian $L(G)$ is easily seen to be a real symmetric matrix with non-negative eigenvalues, the smallest of them being zero. One may study the so-called spectral gap (the smallest positive eigenvalue of the Laplacian) of random graphs $G(n, p)$ for various values of $p(n)$.

The methods of this paper can be possibly applied to the study of the spectrum of dilute random matrices. A dilute random matrix $A$ is defined by

$$
A_{i,j} = a_{i,j}b_{i,j}, \quad 1 \leq i \leq j \leq n
$$

$$
A_{j,i} = A_{i,j}, \quad 1 \leq i < j \leq n.
$$

where $a_{i,j}$ are jointly independent and not necessarily identically distributed random variables with zero mean and variance 1, and $b_{i,j}$ are also jointly independent and independent from $\{a_{i,j}\}$, where $b_{i,j} = 1$ with probability $p = p(n)$ and $b_{i,j} = 0$ with probability $1 - p$. In other words, the dilute random matrix is obtained by replacing each entry of a matrix from the so-called Wigner ensemble by zero independently with probability $q = 1 - p$. As such, it unifies the notions of the Wigner random matrices and random graphs. Khorunzhy proved in [9], under additional (and rather standard) assumptions on the moments of variables $a_{ij}$ (see his paper for details) that the spectral norm of the dilute random matrix is asymptotically equal to $2\sqrt{np}$ in the case $p(n) \gg \log n/n$ (under some additional technical assumptions) and it asymptotically much larger than $\sqrt{np}$ for $p(n) \ll \log n$. It would be quite interesting to determine the asymptotic behavior of the spectral radius of the dilute random matrix for the case of small values of $p(n)$.

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