On k-saturated graphs with restrictions on the degrees

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Abstract

A graph G is called k-saturated, where $k \geq 3$ is an integer, if G is K^k -free but the addition of any edge produces a K^k (we denote by K^k a complete graph on k vertices). We investigate k-saturated graphs, and in particular the function $F_k(n, D)$ defined as the minimal number of edges in a k-saturated graph on n vertices having maximal degree at most D. This investigation was suggested by Hajnal, and the case k = 3 was studied by Füredi and Seress. The following are some of our results. For k = 4, we prove that $F_4(n, D) = 4n - 15$ for $n > n_0$ and $\lfloor \frac{2n-1}{3} \rfloor \leq D \leq n-2$. For arbitrary k, we show that the limit $\lim_{n\to\infty} F_k(n, cn)/n$ exists for all $0 < c \leq 1$, except maybe for some values of c contained in a sequence $c_i \to 0$. We also determine the asymptotic behaviour of this limit for $c \to 0$. We construct, for all k and all sufficiently large n, a k-saturated graph on n vertices with maximal degree at most $2k\sqrt{n}$, significantly improving an upper bound due to Hanson and Seyffarth.

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1 Introduction

A graph G = (V, E) is called *k*-saturated for an integer $k \ge 3$ if G does not contain a complete graph on k vertices K^k , but the addition of any edge to G yields a K^k . The theorem of Erdős, Hajnal and Moon ([2]) states that if G is a k-saturated graph on $n \ge k-2$ vertices, then $|E(G)| \ge (k-2)n - \binom{k-1}{2}$. However, for every k the extremal example for this theorem contains a vertex of degree n-1 (we call such a vertex conical). Hajnal ([6]) asked what is the minimal number of edges in a k-saturated graph on nvertices with no conical vertex, or, more generally, what is the minimal number of edges in a k-saturated graph on n vertices with all vertex degrees at most D. The case k = 3was treated by Füredi and Seress in [5]. Some additional results were obtained in [3]. Both papers used a linear programming method introduced by Pach and Surányi ([11]) for the study of the problem of determining the minimal number of edges in a graph of diameter two and all degrees at most D. In this paper we study the case $k \ge 4$. Our methods are similar to those of Füredi and Seress, but contain several new ingredients.

The following related problem was considered by Duffus and Hanson in [1]: what is the minimal number of edges in a k-saturated graph on n vertices with minimum degree δ ? Some results on this problem are presented as well.

We also address the problem of the lowest possible maximal degree in a k-saturated graph on n vertices. Clearly, every k-saturated graph has diameter two, therefore it can easily be deduced that the maximal degree in a k-saturated graph is at least $(n-1)^{1/2}$. Hanson and Seyffarth ([7]) constructed k-saturated graphs on n vertices with maximal degree $O(n^{\alpha_k})$, where $\alpha_k < 1$, but $\alpha_k \to 1$ as $k \to \infty$. They also conjectured that the correct value of the lowest possible maximal degree is asymptotically $c_k n^{1/2}$ as $n \to \infty$, where c_k is a constant depending only on k. In this paper we build k-saturated graphs with maximal degree $O(n^{1/2})$ for each k, thus matching the lower bound up to a constant (depending on k) factor. The case k = 3 has already been done by Hanson and Seyffarth, and a better value for the constant was obtained by Füredi and Seress.

We end this section with some notation. For a graph G we denote by \overline{G} the complement of G. For a subset $U \subseteq V(G)$ we denote by G[U] the induced subgraph of G on U. We also write $G \setminus U$ instead of $G[V(G) \setminus U]$. The degree of a vertex x is denoted by d(x). We denote by $\Delta(G)$ and $\delta(G)$ the maximal and the minimal degree of G, respectively. Let

$$F_k(n,D) = \min\{|E(G)| : G \text{ is } k\text{-saturated}, |V(G)| = n, \Delta(G) \le D\}$$

$$F_k^*(n,D) = \min\{|E(G)| : G \text{ is } k\text{-saturated}, |V(G)| = n, \Delta(G) = D\}$$

(for triples (k, n, D)), for which the corresponding graphs do not exist we set $F_k(n, D) =$

 ∞ or $F_k^*(n, D) = \infty$). The above definitions clearly imply

$$F_k(n, D') \le F_k(n, D) \le F_k^*(n, D)$$

for every $D' \ge D$. Using this notation, Hajnal's question is to determine $F_k(n, D)$ and in particular $F_k(n, n-2)$.

The rest of the paper is organized as follows. In Section 2 we treat the values of $F_k(n, D)$ and $F_k^*(n, D)$ for D = n - 2 and D = n - 3. In Section 3 we obtain some structural results for k-saturated graphs which are used to treat the case D = cn, 0 < c < 1. In Section 4 we consider the case D = o(n). Some additional results on 4-saturated graphs and k-saturated graphs, k > 4, are presented in Sections 5 and 6, respectively.

2 Graphs with maximal degree n-2 or n-3

In this section we study the values of $F_k^*(n, n-2)$ and $F_k^*(n, n-3)$. The results obtained supply some information about $F_k(n, n-2)$ and $F_k(n, n-3)$ as well. Later we will obtain additional results about these functions.

The following two propositions appear essentially (in dual form) in [10] (p. 447).

Proposition 1 Let $k \ge 4$ and G = (V, E) be a k-saturated graph on n vertices with $\Delta(G) = n - 2$. If d(x) = n - 2 and $(x, y) \notin E(G)$, then $(y, z) \in E(G)$ for every vertex $z \in V \setminus \{x, y\}$ and the graph $G' = G \setminus \{x, y\}$ is (k - 1)-saturated with no conical vertex. Conversely, given a (k - 1)-saturated graph G' on n - 2 vertices with no conical vertex, one can add two non-adjacent vertices x and y and join them to all other vertices, thus obtaining a k-saturated graph G on n vertices with $\Delta(G) = n - 2$.

Proposition 2 Let $k \ge 4$ and G = (V, E) be a k-saturated graph on n vertices with $\Delta(G) = n - 3$. If d(x) = n - 3 and $(x, u), (x, v) \notin E(G)$ then either

 (u, v) ∉ E(G) and then (u, z), (v, z) ∈ E(G) for every vertex z ∈ V \ {x, u, v} and G' = G \ {x, u, v} is (k − 1)-saturated with Δ(G') ≤ n − 6. Conversely, given a (k − 1)-saturated graph G' on n − 3 vertices with Δ(G') ≤ n − 6, one can add three independent vertices x, u and v and join them to all other vertices, thus obtaining a k-saturated graph G on n vertices with Δ(G) = n − 3,

or

2. (u, v) ∈ E(G) and then for each z ∈ V \{x, u, v} at least one of the edges (u, z), (v, z) belongs to E(G) and the graph G', obtained from G \ {x, u, v} by adding a new vertex w and joining it to the vertices that are joined in G to both u and v, is (k-1)-saturated with Δ(G') ≤ n-5. Conversely, given a (k-1)-saturated graph G' on n-2 vertices with Δ(G') ≤ n-5, one can replace any vertex w ∈ V(G') by two new vertices u and v, join u and v, join both u and v to all vertices of V(G') to which w was joined, also join one of u, v to every other vertex of V(G') \ {w} so that both u and v are chosen at least once, and add a new vertex x joined to all other vertices but u and v, thus obtaining a k-saturated graph G on n vertices with Δ(G) = n - 3.

Turning to our notation, we can easily see that the above propositions imply:

Proposition 3 For $k \ge 4$ one has

- 1. $F_k^*(n, n-2) = F_{k-1}(n-2, n-4) + 2n-4$;
- 2. $F_k^*(n, n-3) = F_{k-1}(n-2, n-5) + 2n-5$.

Proof. 1. Follows immediately from Proposition 1.

2. Suppose G is a k-saturated graph on n vertices with $\Delta(G) = n - 3$. Let d(x) = n - 3, $(x, u), (x, v) \notin E(G)$. If $(u, v) \notin E(G)$, then according to part 1 of Proposition 2 the graph $G' = G \setminus \{x, u, v\}$ is (k-1)-saturated with $\Delta(G') \leq n - 6$ and |E(G')| = |E(G)| - d(x) - d(u) - d(v) = |E(G)| - 3(n - 3), therefore

$$|E(G)| \ge F_{k-1}(n-3, n-6) + 3n - 9.$$
(1)

In case $(u, v) \in E(G)$, consider the graph G' described in part 2 of Proposition 2. Let $V_1 = \{y \in V(G) \setminus \{x, u, v\} : (y, u) \in E(G), (y, v) \notin E(G)\}, V_2 = \{y \in V(G) \setminus \{x, u, v\} : (y, v) \in E(G), (y, u) \notin E(G)\}$, and $V_3 = \{y \in V(G) \setminus \{x, u, v\} : (y, u) \in E(G), (y, v) \in E(G)\}$. Then $V_1 \cup V_2 \cup V_3 = V(G) \setminus \{x, u, v\}$, and

$$\begin{aligned} |E(G')| &= |E(G)| - d(x) - 1 - |V_1| - |V_3| - |V_2| - |V_3| + |V_3| \\ &= |E(G)| - d(x) - 1 - |V_1| - |V_2| - |V_3| \\ &= |E(G)| - (n-3) - 1 - (n-3) \\ &= |E(G)| - (2n-5) . \end{aligned}$$

Recalling that G' is (k-1)-saturated on n-2 vertices we obtain

$$|E(G)| \ge F_{k-1}(n-2, n-5) + 2n - 5.$$
(2)

Proposition 2 and inequalities (1), (2) imply that

$$F_k^*(n, n-3) = \min\{F_{k-1}(n-3, n-6) + 3n - 9, F_{k-1}(n-2, n-5) + 2n - 5\}.$$

Since one can obtain from a (k-1)-saturated graph G_1 on n-3 vertices with $\Delta(G_1) \leq n-6$ and $|E(G_1)| = F_{k-1}(n-3, n-6)$ a (k-1)-saturated graph G_2 on n-2 vertices with $\Delta(G_2) \leq n-5$ and $|E(G_2)| \leq |E(G_1)| + \Delta(G_1)$ just by fixing any vertex $v \in V(G_1)$, adding a new vertex u and joining it to all vertices of $V(G_1)$ to which v is joined, we have

$$F_{k-1}(n-2, n-5) \le F_{k-1}(n-3, n-6) + n-6$$
.

Therefore

$$F_k^*(n, n-3) = F_{k-1}(n-2, n-5) + 2n-5$$
.

It follows from the results of Duffus and Hanson ([1], see also [5]) that $F_3(n, n-2) = F_3(n, n-3) = 2n-5$ for $n \ge 5$. Hence we derive:

Corollary 1 1. $F_4^*(n, n-2) = 4n - 13 \text{ for } n \ge 7$;

2.
$$F_4^*(n, n-3) = 4n - 14$$
 for $n \ge 7$.

An extremal graph for $F_4^*(n, n-2)$ can be obtained from the cycle C^5 (where C^r denotes a cycle on r vertices) by replicating one vertex, adding two new non-adjacent vertices xand y and joining them to all other vertices of the graph. As for $F_4^*(n, n-3)$, an extremal graph can be obtained by replicating any vertex of the following graph G on seven vertices: $V(G) = \{0, 1, \ldots, 6\}, E(G) = \{(i, i+1) \mod 7 : 0 \le i \le 6\} \cup \{(i, i+3) \mod 7 :$ $0 \le i \le 6\}$. In the subsequent sections we will show that $F_4(n, n-2) \le 4n - 15$ for $n \ge 9$ (and a construction exists with maximal degree n-4), and $F_4(n, n-2) = 4n - 15$ for sufficiently large n.

Proposition 4 1. $F_k^*(n, n-2) = F_k^*(n, n-3) + 1$ for $n \ge 2k - 1$;

2.
$$F_k(n, n-2) = F_k(n, n-3)$$
 for $n \ge 2k-1$.

Proof. By induction on $k \ge 3$. For k = 3 it was proved by Duffus and Hanson, and Füredi and Seress that $F_3^*(n, n-2) = 2n-4$ and $F_3^*(n, n-3) = F_3(n, n-3) = 2n-5$ for $n \ge 5$. Assuming that the proposition holds true for k-1, we obtain from Proposition 3

- 1. $F_k^*(n, n-2) = F_{k-1}(n-2, n-4) + 2n 4 = F_{k-1}(n-2, n-5) + 2n 4 = F_k^*(n, n-3) (2n-5) + (2n-4) = F_k^*(n, n-3) + 1;$
- 2. If G is a k-saturated graph with $\Delta(G) \leq n-2$, then either $\Delta(G) = n-2$, and then $|E(G)| \geq F_k^*(n, n-2) = F_k^*(n, n-3) + 1 \geq F_k(n, n-3) + 1$, or $\Delta(G) \leq n-3$, and then $|E(G)| \geq F_k(n, n-3)$. \Box

An upper bound for $F_k^*(n, n-2)$ can be obtained by considering a complete (k-1)-partite graph $K^{n-2(k-2),2,\ldots,2}$ $(n \ge 2k-2)$, yielding

$$F_k^*(n, n-2) \le 2(k-2)n - (2k^2 - 6k + 4)$$
.

In Section 6 we will improve this bound slightly.

3 The structure of k-saturated graphs

This section extends the proofs of [5] for the case of general k. It contains some new ideas as well.

A hypergraph (set system) is a pair $\mathcal{H} = (V, \mathcal{E})$, where V is a finite ground set (the *vertex set*) and \mathcal{E} is a family of distinct subsets of V (the *edge set*). We will occasionally identify a hypergraph with its edge set.

Suppose G = (V, E) is a k-saturated graph and suppose $V_0 \subseteq V$ is such that $V \setminus V_0$ is independent in G. Then the number of edges in G can be computed using the following description of G:

- (i) a graph $G_0 = G[V_0];$
- (ii) a hypergraph \mathcal{H} on V_0 , whose edges H_1, \ldots, H_m are the neighbourhoods of the vertices in $V \setminus V_0$, listed without repetitions;
- (iii) an assignment of weights y_1, \ldots, y_m where y_i is the fraction of vertices of $V \setminus V_0$ with neighbourhood H_i , thus, $y_i \ge 0$ and $\sum_{i=1}^m y_i = 1$.

Then

$$|E(G)| = |E(G_0)| + |V \setminus V_0| \sum_{i=1}^m y_i |H_i|$$

Moreover, it can be easily checked, using the fact that G is k-saturated, that the pair (G_0, \mathcal{H}) satisfies the following conditions.

1. G_0 is K^k -free;

- 2. $G_0[H_i]$ is K^{k-1} -free for every $H_i \in \mathcal{H}$;
- 3. $H_i \cap H_j$ contains a K^{k-2} for every pair $H_i, H_j \in \mathcal{H}$;
- 4. for every edge $H_i \in \mathcal{H}$ and every vertex $x \in V_0 \setminus H_i$ the subset $H_i \cup \{x\}$ contains a K^{k-1} ;
- 5. if $(x, y) \notin E(G_0)$ then either there exists in G_0 a copy of K^{k-2} completely joined to x and y, or there exists a copy of K^{k-3} on the vertices $v_1, \ldots, v_{k-3} \in V_0$, completely joined to x and y, and an edge $H_i \in \mathcal{H}$ such that $\{x, y, v_1, \ldots, v_{k-3}\} \subseteq H_i$.

It turns out that such pairs (G_0, \mathcal{H}) play a crucial role in determining the functions $F_k(n, D)$, and therefore the following definition is very useful.

Definition 1 Let $G_0 = (V_0, E)$ be a graph and $\mathcal{H} = (V_0, \mathcal{E})$ be a hypergraph on some set V_0 . The pair (G_0, \mathcal{H}) is a k-core if it satisfies the above conditions (1)–(5).

Definition 2 (G_0, \mathcal{H}) is a k-pre-core if it satisfies conditions (1)–(4).

Note that the definition of a k-core generalizes that of a core (k = 3) given by Füredi and Seress. Observe also that if (G_0, \mathcal{H}) is a k-pre-core, then one can add, if necessary, edges to $E(G_0)$, obtaining a new graph G'_0 such that (G'_0, \mathcal{H}) is a k-core.

Given a k-core (G_0, \mathcal{H}) and weights $y_i \geq 0, 1 \leq i \leq m$, such that $\sum_{i=1}^m y_i = 1$, one can construct for n large enough a k-saturated graph G on n vertices as follows. Choose sets V_1, \ldots, V_m disjoint from each other and from V_0 such that $\lfloor y_i(n-|V_0|) \rfloor \leq |V_i| \leq \lceil y_i(n-|V_0|) \rceil$ and $\sum_{i=0}^m |V_i| = n$, and define $V = \bigcup_{i=0}^m V_i$. Two vertices $x, y \in V_0$ are adjacent in G if and only if they are adjacent in G_0 . The set $\bigcup_{i=1}^m V_i$ is independent in G. Finally, two vertices $x \in V_0$ and $y \in V_i, 1 \leq i \leq m$, are adjacent in G if and only if $x \in H_i$.

The degree of a vertex $x \in V_0$ in G is $n \sum_{i:x \in H_i} y_i + O(1)$, the number of edges in G is $n \sum_{i=1}^m y_i |H_i| + O(1)$. These observations lead us to the following linear programming formulation.

Definition 3 Given a hypergraph $\mathcal{H} = \{H_1, \ldots, H_m\}$ on a set V_0 and a real number c > 0, let $A(\mathcal{H}, c) = \min \sum_{i=1}^m |H_i| y_i$, under the restrictions

$$\sum_{x \in H_i} y_i \leq c \quad for \ all \ x \in V_0 \ , \tag{3}$$

$$y_i \geq 0 \quad \text{for all } 1 \leq i \leq m ,$$
 (4)

$$\sum_{i=1}^{m} y_i = 1.$$
 (5)

Recall that the fractional matching number $\nu^*(\mathcal{H})$ of a hypergraph $\mathcal{H} = (V_0, \mathcal{E})$, where $\mathcal{E} = \{H_1, \ldots, H_m\}$, is defined as $\nu^*(\mathcal{H}) = \max \sum_{i=1}^m f_i$ under the restrictions

$$\sum_{x \in H_i} f_i \leq 1 \quad \text{for all } x \in V_0 ,$$

$$f_i \geq 0 \quad \text{for all } 1 \leq i \leq m .$$

Clearly, $c \ge 1/\nu^*(\mathcal{H})$ is a necessary and sufficient condition for the feasibility of the conditions (3)-(5). Note also that if \mathcal{H} is *r*-uniform (that is, |H| = r for every $H \in \mathcal{H}$) and $c \ge 1/\nu^*(\mathcal{H})$ then $A(\mathcal{H}, c) = r$.

The following example shows that for every c > 0 there exists a k-core (G_0, \mathcal{H}) such that the conditions (3)–(5) are feasible for \mathcal{H} .

Example 1. Let q be a prime power. Define for every $k \geq 3$ a graph G_0^k and a hypergraph \mathcal{H}^k on a set V_0^k . The set V_0^k consists of k-2 copies of two disjoint sets of size $q^2 + q + 1$ each, denoted by $A_1, B_1, \ldots, A_{k-2}, B_{k-2}$. Elements of A_i are identified with the points of a projective plane PG(2,q), those of B_i with the lines of PG(2,q). For each line l, there is an edge $H \in \mathcal{H}^k$ consisting of all points of l in A_1, \ldots, A_{k-2} and all singletons $\{l\}$ in B_1, \ldots, B_{k-2} . Thus, \mathcal{H}^k has $q^2 + q + 1$ edges of size |H| = (k-2)(q+1) + k - 2 = (k-2)(q+2). In the graph G_0^k the union $\bigcup_{i=1}^{k-2} B_i$ is independent and each of the sets A_i is independent. Each A_i is completely joined to all $A_j, B_j, i \neq j$, and within (A_i, B_i) a vertex in B_i corresponding to a line l is joined to all vertices in A_i corresponding to the points of PG(2,q) not lying on l. It can rather easily be checked that the pair (G_0^k, \mathcal{H}^k) is a k-core for all $q \geq 2$, while for q = 1 (in this case PG(2, 1) denotes a triangle) it is a k-pre-core. Assigning $y_i = 1/(q^2 + q + 1)$ we get a feasible solution of (3)–(5) for every c satisfying $c \geq (q+1)/(q^2 + q + 1)$. This assignment implies also that $A(\mathcal{H}^k, c) = (k-2)(q+2)$ for these values of c.

We note that the above example is in fact a generalization of Example 3.1 of [5].

Definition 4 For every $0 < c \leq 1$ define $K_k(c) = \inf A(\mathcal{H}, c)$, where \mathcal{H} ranges over all hypergraphs with $\nu^*(\mathcal{H}) \geq 1/c$ such that, for a suitable graph G_0 , the pair (G_0, \mathcal{H}) is a k-core (or equivalently, a k-pre-core).

Claim 1 $K_k(c) \le 2(k-2)(1+1/c)$.

Proof. Let q be a prime satisfying $1/c \le q \le 2/c$. Then Example 1 gives a k-core (G_0^k, \mathcal{H}^k) for which $A(\mathcal{H}^k, c) = (k-2)(q+2) \le (k-2)(2/c+2) = 2(k-2)(1+1/c)$. \Box

Claim 2 In the definition of $K_k(c)$, the infimum may be taken over hypergraphs with at most $2(k-2)(1/c+1/c^2) + 1$ edges.

Proof. Let (G_0, \mathcal{H}) be a k-core such that $A(\mathcal{H}, c) \leq 2(k-2)(1+1/c)$. By Claim 1, it suffices to consider such k-cores in determining $K_k(c)$. Let $\mathcal{H} = \{H_1, \ldots, H_m\}$. The system of inequalities (3)–(5) defines a convex polytope P in \mathbb{R}^m . P is bounded and non-empty, and therefore the function $\sum_{i=1}^m |H_i|y_i$ attains its minimum at a vertex p of P. But every vertex of P is the intersection of at least m hyperplanes of the type: $\sum_{x \in H_i} y_i = c$ for some $x \in V_0$, or $y_i = 0$ for some $1 \leq i \leq m$, or $\sum_{i=1}^m y_i = 1$. Since

$$\sum_{x \in V_0} \sum_{x \in H_i} y_i = \sum_{i=1}^m |H_i| y_i = A(\mathcal{H}, c) \le 2(k-2)(1+\frac{1}{c}) ,$$

at most $2(k-2)(1/c+1/c^2)$ hyperplanes of the first type contain p. Therefore, for at least $m-2(k-2)(1/c+1/c^2)-1$ values of i, the equation $y_i = 0$ occurs at p. Denote by \mathcal{H}_1 the set of those edges H_i of \mathcal{H} for which $y_i \neq 0$ at p. Clearly, $A(\mathcal{H}_1, c) = A(\mathcal{H}, c)$ and $|\mathcal{H}_1| \leq 2(k-2)(1/c+1/c^2)+1$. Also, one can easily check that (G_0, \mathcal{H}_1) is a k-pre-core. Adding edges to $E(G_0)$, if necessary, we obtain a k-core (G_1, \mathcal{H}_1) , thus proving the assertion of the claim. \Box

The next step is to show that the number of vertices in the hypergraph for the definition of $K_k(c)$ can be bounded from above by a function of c as well. It seems that the corresponding proof of Füredi and Seress cannot be extended for the case of general k, therefore we present a different proof. Let us call a hypergraph $\mathcal{H} = (V, \mathcal{E})$ separated if for all $x \neq y \in V$ there exists an edge $H \in \mathcal{E}$ such that $|H \cap \{x, y\}| = 1$. This definition implies that for every pair $x \neq y \in V$, the sets of edges containing x and y, respectively, are different, and therefore the number of vertices in a separated hypergraph can be bounded from above by $|V| \leq 2^{|\mathcal{E}|}$. By identifying vertices, if necessary, we can obtain from every hypergraph \mathcal{H} a separated hypergraph \mathcal{H}_0 with the same number of edges and the same fractional matching number: $\nu^*(\mathcal{H}) = \nu^*(\mathcal{H}_0)$. If $V(\mathcal{H}_0) = \{x_1, \ldots, x_p\}$ and x_i is obtained by identifying a_i vertices of \mathcal{H} , we say that \mathcal{H} is an (a_1, \ldots, a_p) blow-up of \mathcal{H}_0 . We let

$$B(\mathcal{H}_0) = \{(a_1, \dots, a_p) \in N^p : \text{ the } (a_1, \dots, a_p) \text{ blow-up of } \mathcal{H}_0 \\ \text{forms a } k \text{-core with a suitable graph } G_0\}.$$

For a given c > 0, define a family of hypergraphs $\mathbf{H}(c)$ by

$$\mathbf{H}(c) = \{ \mathcal{H}_0 : \mathcal{H}_0 \text{ is separated}, \ \nu^*(\mathcal{H}_0) \ge 1/c, \ V(\mathcal{H}_0) = \{x_1, \dots, x_p\}, \\ \mathcal{E}(\mathcal{H}_0) = \{H_1, \dots, H_m\}, m \le 2(k-2)(1/c+1/c^2) + 1, B(\mathcal{H}_0) \ne \emptyset \}$$

The above observations imply that $\mathbf{H}(c)$ is non-empty and finite. Using the above definitions and Claim 2, the problem of determining $K_k(c)$ can be rewritten as

$$K_k(c) = \min_{\mathcal{H}_0 \in \mathbf{H}(c)} \inf_{(a_1, \dots, a_p) \in B(\mathcal{H}_0)} \min \sum_{i=1}^m \left(\sum_{x_j \in H_i} a_j \right) y_i$$

s.t.
$$\sum_{x_j \in H_i} y_i \leq c, \qquad j = 1, \dots, p ,$$
$$y_i \geq 0, \qquad i = 1, \dots, m ,$$
$$\sum_{i=1}^m y_i = 1 .$$

In the infimum of the above expression for $K_k(c)$ it suffices to consider only those (a_1, \ldots, a_p) that are minimal elements of $B(\mathcal{H}_0)$ in the natural partial order \prec of N^p $((a_1, \ldots, a_p) \prec (a'_1, \ldots, a'_p)$ iff $a_i \leq a'_i$ for every $1 \leq i \leq p$). Since the poset (N^p, \prec) has no infinite antichain, this enables us to restrict the choice of (a_1, \ldots, a_p) to a finite set. Hence we obtain the following result.

Claim 3 The infimum in the definition of $K_k(c)$ is attained. \Box

Theorem 1 The above defined function $K_k(c)$ is monotone nonincreasing, piecewise linear and right-continuous. The points of discontinuity are all rational and contained in a sequence $c_1 > c_2 > \ldots \rightarrow 0$.

Proof. We use Lemma 3.6 of [5], which states that for an arbitrary hypergraph \mathcal{H} the function $A(\mathcal{H}, c)$ is continuous, piecewise linear and monotone nonincreasing on the interval $[1/\nu^*(\mathcal{H}), \infty)$. It follows from the proof of Claim 3 that for every fixed $\gamma > 0$ the value of $K_k(c)$ is determined on $[\gamma, 1]$ by a finite number of blow-ups of separated hypergraphs whose number in turn can be bounded from above by a function of γ . Therefore $K_k(c)$ on $[\gamma, 1]$ is the minimum of finitely many functions $A(\mathcal{H}, c)$, and hence $K_k(c)$ is also monotone nonincreasing and piecewise linear. The only possible discontinuities are left-discontinuities at points of the form $1/\nu^*(\mathcal{H})$ for some hypergraph \mathcal{H} from this finite collection; in particular, there are finitely many discontinuities in $[\gamma, 1]$ and they are all rational. \Box

The following theorem, whose proof is shaped after Lemma 4.2 of [5], shows that every k-saturated graph with few edges is built on a k-core with a small number of vertices. (All logarithms are base two.) **Theorem 2** Let an integer $k \ge 3$ and a real C be fixed. Then there exists an integer n_0 such that for every $n > n_0$, if G = (V, E) is a k-saturated graph on n vertices with $\le Cn$ edges, then there exists a subset $V_0 \subset V$ such that

- (a) $|V_0| \le (2C+1)n/\log\log n;$
- (b) $V \setminus V_0$ is independent in G;
- (c) For every $x \in V \setminus V_0$ let $H(x) = \{y \in V_0 : (x, y) \in E(G)\}$. Let \mathcal{H} be a hypergraph on V_0 with edge set $\{H(x) : x \in V \setminus V_0\}$ and let $G_0 = G[V_0]$. Then (G_0, \mathcal{H}) is a k-core.

Proof. Let G = (V, E) be a k-saturated graph on n vertices with at most Cn edges. Let $X = \{x \in V : d(x) \ge \log \log n\}$. Then $|X| \log \log n \le \sum_{x \in V} d(x) \le 2Cn$, and therefore

$$|X| \le \frac{2Cn}{\log\log n} \ . \tag{6}$$

For every $y \in V \setminus X$ let $H(y) = \{x \in X : (x, y) \in E(G)\}$. Clearly, $|H(y)| < \log \log n$ for all $y \in V \setminus X$. Define $Y = \{y \in V \setminus X : \exists z \in V \setminus X \text{ such that } H(y) \cap$ H(z) does not contain a copy of $K^{k-2}\}$. We claim that the set $V_0 = X \cup Y$ satisfies the requirements of the theorem. Indeed, if $u_1, u_2 \in V \setminus V_0$, then $K^{k-2} \subseteq H(u_1) \cap H(u_2)$, but G is K^k -free, therefore $(u_1, u_2) \notin E(G)$, and hence $V \setminus V_0$ is independent and (b) holds. As observed earlier in this section, in a k-saturated graph (b) implies (c). Therefore, in view of (6) it remains to prove that $|Y| \leq n/\log \log n$, provided that n is sufficiently large.

A hypergraph $\mathcal{H} = (V, \mathcal{E})$ is a sunflower if $H_i \cap H_j = \bigcap_{H \in \mathcal{E}} H$ for all $H_i \neq H_j \in \mathcal{E}$. The sets $H_i \setminus \bigcap_{H \in \mathcal{E}} H$ are called petals. Let us prove now that the hypergraph $\{H(y) : y \in Y\}$ does not contain a sunflower with more than $\log \log^2 n + \log \log n$ petals. To show this, suppose that $\{H(y_i) : 0 \leq i \leq \lfloor \log \log^2 n + \log \log n \rfloor\}$ is a sunflower for some $y_i \in Y$, and let $U = \bigcap_i H(y_i)$. By the definition of Y, there exists a vertex $z \in Y$ such that $K^{k-2} \not\subseteq H(y_0) \cap H(z)$. Since all vertices in $V \setminus X$ have degree $< \log \log n$, less than $\log \log^2 n$ of the y_i are of distance at most two from z in $G \setminus X$. Therefore for more than $\log \log n$ of the vertices y_i the distance between y_i and z in $G \setminus X$ is more than two. Hence (recalling that G is k-saturated), there exists a copy of K^{k-2} (we denote it by T_i), contained in X and completely joined to both y_i and z. Clearly, $V(T_i) \not\subseteq U$ for every such y_i (otherwise $K^{k-2} \subseteq H(y_0) \cap H(z)$), and hence there exists a point $x_i \in V(T_i)$ such that $x_i \notin H(y_j)$ for every $j \neq i$. All x_i are different and belong to H(z), thus yielding $|H(z)| > \log \log n$, a contradiction. Now, by a theorem of Erdős and Rado ([4]) if a hypergraph has more than $r!m^r$ edges of size at most r, then some subhypergraph is a sunflower with m + 1 petals. This implies that the set system $\{H(y) : y \in Y\}$ has at most $(\log \log n)!(\log \log^2 n + \log \log n)^{\log \log n} < n/\log \log^3 n$ members (here we use the assumption that n is sufficiently large). Finally, for each $H \subseteq X$ we have $|\{y \in Y : H(y) = H\}| \le \log \log^2 n$, because these y must be of distance at most two in $G \setminus X$ from the vertex $z \in Y$ for which $H(z) \cap H$ does not contain a K^{k-2} . \Box

Theorem 3 If $K_k(c)$ is continuous at c, then $\lim_{n\to\infty} F_k(n, cn)/n = K_k(c)$.

Proof. Let us prove first that $\limsup_{n\to\infty} F_k(n,cn)/n \leq K_k(c)$. Suppose to the contrary that $\limsup_{n\to\infty} F_k(n,cn)/n \geq K_k(c) + \epsilon$ for some positive constant ϵ . Since $K_k(c)$ is continuous at c, there exists a constant $\delta > 0$ such that $K_k(c-\delta) < K_k(c) + \epsilon$. It follows from Claim 3, that there exists a k-core (G_0, \mathcal{H}) on a set V_0 and a weight function w on the edges of \mathcal{H} such that w is a feasible solution of (3)-(5) for $c-\delta$ and $A(\mathcal{H}, c-\delta) = \sum_{H \in \mathcal{H}} |H| w(H) = K_k(c-\delta)$. As explained in the beginning of this section, we can use this k-core and weight function to construct, for sufficiently large n, a k-saturated graph G on n vertices with $\Delta(G) \leq (c-\delta)n + O(1)$ and $|E(G)| \leq K_k(c-\delta)n + O(1)$. Therefore for sufficiently large n we have

$$\frac{F_k(n, cn)}{n} \le \frac{F_k(n, (c-\delta)n + O(1))}{n} \le K_k(c-\delta) + o(1) < K_k(c) + \epsilon ,$$

a contradiction.

Now we prove that $\liminf_{n\to\infty} F_k(n,cn)/n \geq K_k(c)$. Suppose to the contrary that $\liminf_{n\to\infty} F_k(n,cn)/n \leq K_k(c) - \epsilon$ for some constant $\epsilon > 0$. This means that there exists an infinite increasing sequence $\{n_i\}$ such that $F_k(n_i,cn_i)/n_i \leq K_k(c) - \epsilon$; that is, there exists a sequence of graphs $\{G^i\}$ such that every G^i is k-saturated with $|V(G^i)| =$ $n_i, \Delta(G^i) \leq cn_i, |E(G^i)| \leq (K_k(c) - \epsilon)n_i$. The function $K_k(c)$ is right-continuous (the argument in this direction does not depend on the assumption of continuity at c), and therefore there exists a positive constant δ such that $K_k(c+\delta) > K_k(c) - \epsilon$. For isufficiently large, according to Theorem 2, there exists a subset $V_0^i \subset V(G^i)$ and a k-core (G_0^i, \mathcal{H}^i) satisfying (a)-(c). Recalling the notation of Theorem 2, we define the weight function w on $\mathcal{E}(\mathcal{H}^i)$ by

$$w(H) = \frac{|\{x \in V(G^i) \setminus V_0^i : H(x) = H\}|}{|V(G^i) \setminus V_0^i|}$$

Clearly, $\sum_{H \in \mathcal{E}(\mathcal{H}^i)} w(H) = 1$. For $z \in V_0^i$, using the fact that $d_{G^i}(z) \leq cn_i$, we obtain

$$\sum_{z \in H} w(H) \le \frac{cn_i}{|V(G^i) \setminus V_0^i|} \le c + \delta$$

for sufficiently large *i* (the last inequality holds because $|V_0^i| = o(n_i)$). This implies that *w* is a feasible solution of the problem (3)–(5) for $c + \delta$, and then according to the definition of $K_k(c)$ we have $\sum_{H \in \mathcal{E}(\mathcal{H}^i)} |H| w(H) \ge K_k(c + \delta)$. But $|E(G^i)| =$ $|E(G_0^i)| + |V(G^i) \setminus V_0^i| \sum_{H \in \mathcal{E}(\mathcal{H}^i)} w(H)|H|$ and we obtain

$$|E(G^i)| \ge |V(G^i) \setminus V_0^i| K_k(c+\delta) > (K_k(c)-\epsilon)n_i$$

for i sufficiently large, thus obtaining a contradiction.

The exact determination of $K_k(c)$ seems to be hopeless in general. However, we can determine its asymptotic behaviour for $c \to 0$.

Theorem 4 Let G be a k-saturated graph on n vertices with $\delta(G) = \delta$ and $\Delta(G) = \Delta$. Then

$$\delta \ge \frac{(k-2)(n-1)}{\Delta + k - 3}$$

Proof. Let x be a vertex with $d(x) = \delta$. Denote $A = \{y : (x, y) \in E(G)\}, B = V \setminus (A \cup \{x\})$, then $|A| = \delta$, $|B| = n - \delta - 1$. Since the addition of the edge (x, z) for $z \in B$ yields a copy of K^k in G, every $z \in B$ has at least k - 2 neighbours in A, and therefore the number of edges between A and B is at least $(k-2)|B| = (k-2)(n-\delta-1)$. On the other hand, this number of edges does not exceed $|A|(\Delta - 1) = \delta(\Delta - 1)$, and we conclude that $(k-2)(n-\delta-1) \leq \delta(\Delta - 1)$, or

$$\delta \ge \frac{(k-2)(n-1)}{\Delta + k - 3} . \qquad \Box$$

Theorem 5 $\frac{k-2}{c} \leq K_k(c) \leq \frac{k-2+o(1)}{c}$ (here the o(1) term tends to 0 as c tends to 0).

Proof. The lower bound can be deduced from Theorem 4 (with the help of the previous theorems and some technicalities), but we give here a direct proof. Let $\mathcal{H} = \{H_1, \ldots, H_m\}$ be a hypergraph which forms a k-core with a suitable graph, and let y_1, \ldots, y_m be a feasible solution of (3)–(5). Then for each $H_i \in \mathcal{H}$ we have

$$|H_i|c \ge \sum_{x \in H_i} \sum_{x \in H_j} y_j = \sum_{j=1}^m |H_i \cap H_j|y_j \ge (k-2)\sum_{j=1}^m y_j = k-2$$

(using the third condition in the definition of a k-core). It follows that $|H_i| \ge (k-2)/c$, and therefore $A(\mathcal{H}, c) \ge (k-2)/c$, which proves the lower bound.

To prove the upper bound, we return to Example 1 and take a prime q satisfying $1/c \leq q \leq 1/c + (1/c)^{7/12}$. (Such a prime exists for all sufficiently small c, since by a theorem of Huxley [9], there always exists a prime between n and $n + n^{7/12}$ for n sufficiently large.) Then we obtain a k-core (G_0^k, \mathcal{H}^k) for which $A(\mathcal{H}^k, c) = (k-2)(q+2) \leq (k-2)(1/c + (1/c)^{7/12} + 2)$. Therefore it follows from the definition of $K_k(c)$ that

$$K_k(c) \le A(\mathcal{H}^k, c) \le \frac{k-2}{c}(1+c^{5/12}+2c) = \frac{k-2}{c}(1+o(1))$$
.

4 Graphs with maximal degree o(n)

To construct k-saturated graphs with maximal degree o(n) we use the following k-core (which for k = 3 coincides with Example 2.2 of [5]).

Example 2. Let $q \ge k - 1$ $(q \ge 3$ for the case k = 3) be a prime power. Enumerate the points p_0, \ldots, p_{q^2+q} and the lines l_0, \ldots, l_{q^2+q} of a projective plane PG(2,q) in such a way that $p_{q^2+q} \in l_0, \ldots, l_q$, and $p_{iq+j} \in l_i$ for every $0 \le i \le q, 0 \le j \le q-1$. For a point $p = p_{iq+j}$ we call *i* the *level* of *p* and *j* the *place* of *p*. Deleting the point p_{q^2+q} and the lines l_0, \ldots, l_q we obtain a truncated projective plane of order q. We describe now a set V_0^k and a k-core (G_0^k, \mathcal{H}^k) on it. V_0^k consists of k-1 copies of T^k , where T^k is obtained from a truncated projective plane of order q by replacing each point p by k-2 points x^0, \ldots, x^{k-3} , where we refer to t as the type of x^t . Thus, each point of V_0^k has four coordinates: its level $0 \le i \le q$, its place $0 \le j \le q - 1$, its type $0 \le t \le k - 3$ and the copy $0 \le s \le k-2$ of T^k it belongs to. For each line l_r in the truncated plane, there is an edge $H_{r-q} \in \mathcal{E}(\mathcal{H}^k)$, consisting of all points of l_r (in all k-1 copies, of all k-2 types). The edges of G_0^k are as follows. Within each level, two vertices are joined if and only if they are in distinct copies and have either distinct places or distinct types. In the case $k \ge 4$, a point x in level i is joined to a point y in level i', where i < i', if and only if the type of y succeeds that of x (in Z_{k-2}) and the place of y is one of the k-2 successors of the place of x (in Z_q). Then (G_0^k, \mathcal{H}^k) is a k-core. The verification of this assertion is technical and rather tedious. Let us prove, for example, that G_0^k is K^k -free. Suppose to the contrary that $G_0^k[\{v_1,\ldots,v_k\}] \cong K^k$. It is easy to see that if x, y, z form a triangle in G_0^k , then the points x, y, z belong to at most two different levels. Therefore the points v_1, \ldots, v_k belong to at most two different levels i_1 and i_2 . Suppose $i_1 < i_2$. Let v_1, \ldots, v_r belong to level i_1 and v_{r+1}, \ldots, v_k belong to level i_2 . Since there are k-1 copies and two vertices from the same copy and the same level are non-adjacent, we obtain that $1 \leq r \leq k-1$. Therefore the type of each of the points v_{r+1},\ldots,v_k succeeds the type of each of the points v_1,\ldots,v_r . Hence v_1,\ldots,v_r have the

same type and also v_{r+1}, \ldots, v_k have the same type. Thus the places of v_1, \ldots, v_r are all distinct, and the same holds for v_{r+1}, \ldots, v_k . The place of each v_h , $r+1 \le h \le k$, is among the k-2 successors of the place of each $v_{h'}$, $1 \le h' \le r$. But now one can easily check that r distinct intervals of length k-2 in Z_q (recall $q \ge k-1$) have at most k-1-r points in common, and we obtain a contradiction.

Based on the above described k-core, (G_0^k, \mathcal{H}^k) , we can build a k-saturated graph G^k as follows. Let $n \ge (k-1)(k-2)(q^2+q)+q^2$. For $1 \le i \le q^2$, we choose sets V_i disjoint from each other and from V_0^k such that $\lfloor (n-(k-1)(k-2)(q^2+q))/q^2 \rfloor \le |V_i| \le \lceil (n-(k-1)(k-2)(q^2+q))/q^2 \rceil$ and $|V_0^k| + \sum_{i=1}^{q^2} |V_i| = n$. Note that, by our assumption about n, all V_i are non-empty. Define $V(G^k) = V_0^k \cup \bigcup_{i=1}^{q^2} V_i$. Two vertices $x, y \in V_0^k$ are adjacent in G^k if and only if they are adjacent in G_0^k . The set $\bigcup_{i=1}^{q^2} V_i$ is independent in G^k . Finally, $x \in V_0^k$ and $y \in V_i$ are adjacent if and only if $x \in H_i$. Then G^k is k-saturated. If $x \in \bigcup_{i=1}^{q^2} V_i$, then d(x) = (k-1)(k-2)(q+1). If $x \in V_0^k$ then

$$d(x) \leq q(\lfloor (n - (k - 1)(k - 2)(q^2 + q))/q^2 \rfloor + 1) + (k - 2)((k - 2)(q - 1) + (k - 3)) + (k - 1)(k - 2)q \leq n/q + ((k - 2)^2 + 1)q.$$

Finally,

$$\begin{aligned} |E(G^k)| &\leq (k-1)(k-2)(q+1)(n-(k-1)(k-2)(q^2+q)) \\ &+ (k-2)(2k-3)q(k-1)(k-2)(q^2+q)/2 \\ &< (k-1)(k-2)(q+1)n . \end{aligned}$$

Theorem 6 For all $1/2 < \epsilon < 1$ and all c > 0

$$\left(\frac{k-2}{2c} - o(1)\right) n^{2-\epsilon} \le F_k(n, cn^{\epsilon}) \le \left(\frac{(k-1)(k-2)}{c} + o(1)\right) n^{2-\epsilon}.$$

(Here k is fixed and o(1) tends to zero as n tends to infinity.)

Proof. If G is k-saturated with $\Delta(G) \leq cn^{\epsilon}$, then according to Theorem 4

$$|E(G)| \ge n\delta(G)/2 \ge \frac{(k-2)(n-1)n}{2(\Delta+k-3)} \ge \frac{(k-2)(n-1)n}{2(cn^{\epsilon}+k-3)} = \frac{k-2}{2c}n^{2-\epsilon}(1-o(1)) ,$$

thus proving the lower bound for $F_k(n, cn^{\epsilon})$. To prove the upper bound, choose a constant b such that $b > 2((k-2)^2 + 1)/c^3$ and let $a = n^{1-\epsilon}/c + bn^{2-3\epsilon}$. Let q be a

prime satisfying $a \leq q \leq a + a^{7/12}$ (such a prime exists if n is large enough). Observe that $q = (1/c)n^{1-\epsilon}(1+o(1))$. Now, Example 2 gives a k-saturated graph, G^k , on n vertices with

$$\begin{aligned} \Delta(G^k) &\leq \frac{n}{q} + ((k-2)^2 + 1)q \leq \frac{n}{a} + ((k-2)^2 + 1)q \\ &\leq cn^{\epsilon} - \frac{bc^2}{2}n^{1-\epsilon} + ((k-2)^2 + 1)q < cn^{\epsilon} \end{aligned}$$

for n sufficiently large. Also,

$$|E(G^k)| < (k-1)(k-2)(q+1)n = \frac{(k-1)(k-2)}{c} n^{2-\epsilon} (1+o(1)) .$$

Theorem 7 For every $k \geq 3$ there exists a k-saturated graph G^k on n vertices with

$$\Delta(G^k) \le \left(\frac{(k-2)(2k-3)+1}{\sqrt{(k-1)(k-2)+1}} + o(1)\right)\sqrt{n} \ .$$

(Here k is fixed and o(1) tends to zero as n tends to infinity.)

Proof. Turning again to Example 2, we denote $a = (n/((k-1)(k-2)+1))^{1/2} - n^{1/3}$ and choose a prime q satisfying $a - n^{1/3} \le a - a^{7/12} \le q \le a$. Then

$$\begin{aligned} & ((k-1)(k-2)+1)q^2 + (k-1)(k-2)q \\ & \leq \quad ((k-1)(k-2)+1) \left(\left(\frac{n}{(k-1)(k-2)+1}\right)^{1/2} - n^{1/3} \right)^2 + (k-1)(k-2)n^{1/2} \\ & \leq \quad n - \frac{2n^{5/6}}{((k-1)(k-2)+1)^{1/2}} + n^{2/3} + (k-1)(k-2)n^{1/2} \\ & \leq \quad n \;, \end{aligned}$$

and therefore we can substitute q in Example 2. Also,

$$\begin{aligned} r &:= n - ((k-1)(k-2)+1)q^2 - (k-1)(k-2)q \\ &\leq n - ((k-1)(k-2)+1) \left(\frac{n^{1/2}}{((k-1)(k-2)+1)^{1/2}} - 2n^{1/3}\right)^2 \\ &- (k-1)(k-2) \left(\frac{n^{1/2}}{((k-1)(k-2)+1)^{1/2}} - 2n^{1/3}\right) \\ &= O(n^{5/6}) \;. \end{aligned}$$

Now, as in [5] we use the fact (see, e.g., [8]) that the sizes of the sets V_i can be chosen in such a way that $1 + \lfloor r/q^2 \rfloor \leq |V_i| \leq 1 + \lceil r/q^2 \rceil$, and each vertex from V_0^k has degree at most $((k-2)(2k-3)+1)q + 2\lceil r/q \rceil$. Then

$$\begin{aligned} \Delta(G^k) &\leq ((k-2)(2k-3)+1)q + 2\left\lceil \frac{r}{q} \right\rceil \\ &= \left(\frac{(k-2)(2k-3)+1}{\sqrt{(k-1)(k-2)+1}} + o(1)\right)\sqrt{n} \ . \end{aligned}$$

This result improves significantly an upper bound, given by Hanson and Seyffarth ([7]). Our coefficient is asymptotically 2k as $k \to \infty$. Hanson and Seyffarth proved a lower bound of $\sqrt{(k-2)n} - O(1)$ for the lowest possible maximal degree (this can be deduced immediately from our Theorem 4). The existence of a constant c_k such that the lowest possible maximal degree in a k-saturated graph on n vertices is asymptotically $c_k\sqrt{n}$ as $n \to \infty$, conjectured by Hanson and Seyffarth, remains open (but we know that such c_k , if it exists, must satisfy $\sqrt{k-2} \leq c_k \leq 2k$).

5 More on 4-saturated graphs

We begin by noting the following construction of 4-saturated graphs.

Example 3. Let $n \ge 9$ and let $\lfloor \frac{2n-1}{3} \rfloor \le D \le n-4$. Let G_0 be the graph $\overline{C^6}$. Let \mathcal{H} be the hypergraph with edges H_1, H_2, H_3 of size four, each obtained by deleting a pair of antipodal vertices of the cycle. Then (G_0, \mathcal{H}) is a 4-core. We add n-6 vertices, split into non-empty blocks V_1, V_2, V_3 , and join every vertex in V_i to each vertex in H_i , i = 1, 2, 3. We obtain a 4-saturated graph G on n vertices with 4n - 15 edges. This graph has $\delta(G) = 4$, and the sizes of the blocks V_i can be chosen so as to have $\Delta(G) = D$, for any D in the indicated range.

The main result of this section is the optimality of this construction. The fact that every 4-saturated graph on n vertices with no conical vertex has at least 4n - o(n)edges can be shown as follows. Hajnal [6] proved that if G is k-saturated and has no conical vertex then $\delta(G) \ge 2(k-2)$. (The case k = 4 of this is easy to prove.) Thus, every vertex in our graph has degree at least four. By Theorem 2 we may assume that the graph contains an independent set of vertices of size n - o(n). These vertices are incident to at least 4n - o(n) edges.

However, in order to replace o(n) by a sharp estimate we have to work harder. The following definition and lemma will be required. A graph G = (V, E) is 4-partite 4-saturated with respect to the partition V_1, V_2, V_3, V_4 of V, if each V_i is independent in G, no copy of K^4 is contained in G, but adding any legal edge (with endpoints in distinct V_i 's) will create a K^4 .

Lemma 1 If G is 4-partite 4-saturated with respect to the partition V_1, V_2, V_3, V_4 of V(G), where |V(G)| = n, and at most one of the V_i 's is empty, then $|E(G)| \ge 2n - 3$.

Proof. We proceed by induction on n. If one of the V_i 's, say V_4 , is empty, then G must be a complete tripartite graph with three non-empty parts V_1, V_2, V_3 . The number of edges is minimum when two parts consist of one vertex each, in which case |E(G)| = 2n - 3. Thus, we may assume that all parts are non-empty.

We may also assume that $\delta(G)$ is 2 or 3. Indeed, it is easy to check that there cannot be vertices of degree zero or one. If $\delta(G) \ge 4$ then $|E(G)| \ge 2n$.

Let x be a vertex with $d(x) = \delta(G)$. Then the graph $G \setminus \{x\}$ satisfies the assumptions of the lemma, except that it might be possible to add a legal edge to $G \setminus \{x\}$ without creating a K^4 . This may happen only if adding the same edge to G creates a K^4 containing x. We distinguish two cases.

Case 1. d(x) = 2.

In this case, x does not participate in a K^4 after adding an edge not containing x. Hence we may apply the induction hypothesis to $G \setminus \{x\}$. This yields $|E(G \setminus \{x\})| \ge 2n - 5$, and therefore $|E(G)| \ge 2n - 3$. Case 2. d(x) = 3.

The only way to add an edge e to $G \setminus \{x\}$, which creates a K^4 in G containing x, is for e to join two neighbours of x, say y and z. Moreover, y and z must both be joined in G to the remaining neighbour of x, and hence e is unique. Thus, either $G \setminus \{x\}$ or $(G \setminus \{x\}) + e$ satisfies the assumptions of the lemma. In either case, induction yields $|E(G)| \ge 2n - 3$. \Box

Theorem 8 If G is a 4-saturated graph with no conical vertex, |V(G)| = n and $\delta(G) = 4$, then $|E(G)| \ge 4n - 15$.

Proof. We proceed by induction on n. We may assume that $n \ge 8$, since $\delta(G) = 4$ and so for $n \le 7$ we have $|E(G)| \ge 2n > 4n - 15$. Furthermore, by Corollary 1 we may assume that $\Delta(G) \le n - 4$.

The following observation will be useful. Suppose that the vertices x and y of G are *twins*, i.e., they have the same neighbours. It is easy to see that in this case $G \setminus \{x\}$ is also 4-saturated and has no conical vertex. By Hajnal's result, $\delta(G \setminus \{x\}) \ge 4$. Since d(x) = d(y), it follows that $\delta(G \setminus \{x\}) = 4$. Hence we can apply the induction

hypothesis to get $|E(G \setminus \{x\})| \ge 4n - 19$ and therefore $|E(G)| \ge 4n - 15$. Thus we may assume that G has no pairs of twins.

For a vertex $x \in V(G)$, we denote by N(x) the open neighbourhood of x and by N[x] the closed neighbourhood of x (i.e., $N[x] = N(x) \cup \{x\}$). We shall make repeated use of the fact that in a 4-saturated graph two vertices x and y are adjacent if and only if $N(x) \cap N(y)$ contains no edge.

Let x be a vertex of degree four, fixed for the rest of the proof. Let $N(x) = \{x_1, x_2, x_3, x_4\}$. For every vertex $y \in V(G) \setminus N[x]$, since y is not adjacent to x, there must be an edge in $N(y) \cap N(x)$. It follows that we can write $V(G) \setminus N[x]$ as the disjoint union

$$V(G) \setminus N[x] = \bigcup_{S} V_S$$
,

where S varies over the subsets of N(x) which contain an edge, and

$$V_S = \{ y \in V(G) \setminus N[x] : N(y) \cap N(x) = S \} .$$

Each V_S is an independent set, because the neighbourhoods of any two vertices in V_S have an edge in common. Moreover, if $S \cap T$ contains an edge then, for the same reason, $V_S \cup V_T$ is independent. In particular, if $y \in V_{N(x)}$ then N(y) = N(x), contradicting the absence of twins. Hence $V_{N(x)} = \emptyset$. To simplify notation, we write, for example, V_{12} for $V_{\{x_1,x_2\}}$. We also write $V_S \sim V_T$, meaning that every vertex in V_S is adjacent to every vertex in V_T , and $V_S \not\sim V_T$, meaning $V_S \cup V_T$ is independent.

The graph G[N(x)] has the following property: for every vertex x_i there is an edge which does not contain x_i . Indeed, if all edges of G[N(x)] contained x_i , the degree of x_i in G would be at least n-3, contradicting $\Delta(G) \leq n-4$. The graph G[N(x)] is also triangle-free, because G is K^4 -free. It follows that G[N(x)] can be, up to isomorphism, one of three graphs: $2K^2$ (two disjoint edges), P^4 (a path on 4 vertices) or C^4 (a 4-cycle).

Case 1. $G[N(x)] = 2K^2$.

Without loss of generality, we assume that (x_1, x_2) and (x_3, x_4) are the two edges. By the above remarks, the graph $G \setminus N[x]$ is bipartite, with parts

$$A = V_{12} \cup V_{123} \cup V_{124} ,$$

$$B = V_{34} \cup V_{134} \cup V_{234} .$$

If $y \in V_{12}$ then, since y is not adjacent to x_4 , $N(y) \cap N(x_4)$ must contain an edge. But $N(y) \cap N(x_4) \subseteq B$, so this is impossible. Thus $V_{12} = \emptyset$, and similarly $V_{34} = \emptyset$. Next, we claim that $G \setminus N[x]$ is a complete bipartite graph on A, B. Indeed if, for example, $y \in V_{123}$ were not adjacent to $z \in V_{134}$, then $N(y) \cap N(z)$ would have to contain an

edge, which is not the case, since $N(y) \cap N(z) = \{x_1, x_3\}$. It follows that each of the sets V_{ijk} is a set of twins, and hence $|V_{ijk}| \leq 1$. Since $\Delta(G) \leq n - 4$, each V_{ijk} is non-empty. By now, the graph G is fully determined. It has 9 vertices and 22 edges, so |E(G)| > 4n - 15.

Case 2. $G[N(x)] = P^4$.

We assume that (x_1, x_2) , (x_2, x_3) , (x_3, x_4) are the edges. Now, in addition to the sets of Case 1, we also have V_{23} . Arguments similar to those given in Case 1 show that $V_{12} = V_{34} = \emptyset$, and the following relations hold between V_{134} and the remaining sets V_{S} :

$$V_{134} \not\sim V_{234}, V_{134} \sim V_{123}, V_{134} \sim V_{124}, V_{134} \sim V_{23}$$
.

Hence V_{134} is a set of twins, and therefore $|V_{134}| \leq 1$. But this means that $d(x_2) \geq n-3$, contradicting $\Delta(G) \leq n-4$. Case 3. $G[N(x)] = C^4$.

We assume that (x_1, x_2) , (x_2, x_3) , (x_3, x_4) , (x_4, x_1) are the edges. The sets V_S involved in this case are $V_{12}, V_{23}, V_{34}, V_{41}, V_{234}, V_{134}, V_{124}, V_{123}$. Arguments as above show that the following relations hold: if S is an edge and T is a triple, then $V_S \not\sim V_T$ or $V_S \sim V_T$ according as $S \subseteq T$ or $S \not\subseteq T$; if T and T' are triples, then $V_T \not\sim V_{T'}$ or $V_T \sim V_{T'}$ according as $T \cap T'$ is an edge on the total for each triple T, the set V_T consists of twins, and therefore $|V_T| \leq 1$.

Let $U = V_{12} \cup V_{23} \cup V_{34} \cup V_{41}$. Then G[U] is 4-partite 4-saturated with respect to this partition. To see this, suppose for example that $y \in V_{12}, z \in V_{23}$ and $(y, z) \notin E(G)$. Then $N(y) \cap N(z)$ must contain an edge. But $N(y) \cap N(z) \subseteq \{x_2\} \cup V_{134} \cup V_{34} \cup V_{41}$, and since x_2 and V_{134} are isolated in the latter, the edge must be found in $V_{34} \cup V_{41}$. The argument is similar if y and z come from other pairs of sets.

Suppose that at most one of the sets V_S in the partition of U is empty. Then it follows from Lemma 1 that $|E(G[U])| \ge 2|U| - 3$. Letting $W = U \cup N[x]$ we obtain $|E(G[W])| \ge 4|W| - 15$. Each of the singletons V_T , |T| = 3, if present, adds at least four new edges (three joining it to the vertices in T and at least one to U). Thus, regardless of how many V_T are non-empty, we have $|E(G)| \ge 4n - 15$.

If, on the other hand, two or more of the sets V_S in the partition of U are empty, then those which are non-empty must be singletons and joined to each other. From the fact that $d(x_i) \leq n - 4$, it can be seen that we must have two singletons V_S and $V_{S'}$, where S and S' are disjoint, as well as all singletons V_T , |T| = 3. The graph G is fully determined, it has 11 vertices and 31 edges, so |E(G)| > 4n - 15. \Box .

Corollary 2 There exists an integer n_0 such that if G is a 4-saturated graph with no conical vertex and $|V(G)| = n > n_0$, then $|E(G)| \ge 4n - 15$.

Proof. By Hajnal's result, $\delta(G) \ge 4$. If $\delta(G) \ge 5$, then Theorem 2 gives at least 5n - o(n) edges, which exceeds 4n - 15 for large n. If $\delta(G) = 4$, we apply Theorem 8. \Box

Together with Example 3, the corollary establishes that $F_4(n, D) = 4n - 15$ for $n > n_0$ and $\lfloor \frac{2n-1}{3} \rfloor \le D \le n-2$.

Corollary 3 If G is a 4-saturated graph, |V(G)| = n and $\delta(G) = 4$, then $|E(G)| \ge 4n - 19$. The lower bound is sharp for n > 11.

Proof. If G has no conical vertex, we apply Theorem 8. Assume then that x is a conical vertex. Then G has the properties stated if and only if $G \setminus \{x\}$ is a 3-saturated graph, $|V(G \setminus \{x\})| = n - 1$ and $\delta(G \setminus \{x\}) = 3$. By a result of Duffus and Hanson [1], the graph $G \setminus \{x\}$ must have at least 3(n - 1) - 15 edges, and the lower bound is sharp for $n - 1 \ge 10$. Adding the n - 1 edges containing x, we get the desired result. \Box

We recall that Duffus and Hanson ([1]) investigated the function $E(n, k, \delta)$, defined as the minimal number of edges in a k-saturated graph on n vertices having minimal degree δ . For the case $k = \delta = 4$, they showed that $E(n, 4, 4) \leq 4n - 14$ for $n \geq 7$, with equality for n = 7. Our Corollary 3 establishes that E(n, 4, 4) = 4n - 19 for $n \geq 11$. Example 3 shows that $E(n, 4, 4) \leq 4n - 15$ for $n \geq 9$. The proof of Corollary 3 and the fact that E(8, 3, 3) = 12, shown by Duffus and Hanson, imply that E(9, 4, 4) = 20.

When D goes below $\lfloor \frac{2n-1}{3} \rfloor$, we do not know the exact behaviour of $F_4(n, D)$, but we do have the following construction.

Example 4. Let V_0 consist of 12 vertices, denoted x_{ij} , $0 \le i \le 3$, $1 \le j \le 3$. Let $V^i = \{x_{ij} : 1 \le j \le 3\}$ for $0 \le i \le 3$. Let G_0 be the 4-partite graph on V_0 with partition V^0, V^1, V^2, V^3 obtained by joining each x_{ij} to all vertices of $V^{i+j \pmod{4}}$. Let \mathcal{H} be the hypergraph on V_0 with edges $V^l \cup \{x_{ij} : i+j \equiv l \pmod{4}\}$ for $0 \le l \le 3$. Then (G_0, \mathcal{H}) is a 4-pre-core. Assigning a weight of 1/4 to each edge of \mathcal{H} , we get $A(\mathcal{H}, 1/2) = 6$.

This construction gives the estimate $K_4(c) \leq 6$ for $c \geq 1/2$. Below that, we have the estimate $K_4(c) \leq 8$ for $c \geq 3/7$, derived from the case k = 4, q = 2 of Example 1.

6 More on k-saturated graphs, k > 4

If G is a k-saturated graph on n vertices with no conical vertex, combining Hajnal's bound $\delta(G) \ge 2(k-2)$ and Theorem 2, we see that $|E(G)| \ge 2(k-2)n - o(n)$. In

Section 2 we showed that there exist such graphs with 2(k-2)n - O(1) edges and maximal degree n-2 and n-3. But does there exist a k-saturated graph G on n vertices with |E(G)| = 2(k-2)n(1+o(1)) and $\Delta(G) \leq cn$ for some constant 0 < c < 1? We conjecture that the answer to this question is positive for every $k \geq 4$, that is,

Conjecture 1 For every $k \ge 4$ there exists a constant $0 < c_k < 1$ such that $K_k(c) = 2(k-2)$ for every $c_k \le c < 1$.

Note that the above conjecture fails to be true for k = 3, as shown by Füredi and Seress. For $k \ge 4$, the case q = 1 of Example 1 yields $K_k(c) \le 3(k-2)$ for $c \ge 2/3$, but we have better examples for infinitely many values of k, as shown by the following theorem.

Theorem 9 Conjecture 1 holds true in the following cases (with the indicated values of c_k):

- (*i*) $k \equiv 0 \pmod{2}, \quad c_k = \frac{k-2}{k-1};$
- (*ii*) $k \equiv 2 \pmod{3}, \quad c_k = \frac{2k-4}{2k-1};$
- (*iii*) k = 5, $c_5 = \frac{3}{5}$;
- (*iv*) k = 7, $c_7 = \frac{2}{3}$;

(v)
$$k = 17$$
, $c_{17} = \frac{6}{7}$.

Proof. In each of the above cases we describe a k-core (G_0, \mathcal{H}) , yielding the cited result for $K_k(c)$ with a uniform weight assignment. The verification of the required properties is left to the reader.

(i) $G_0 = \overline{C^{2(k-1)}}, H \in \mathcal{H}$ are obtained by omitting from $V(G_0)$ a pair of antipodal vertices (this generalizes the 4-core of Example 3);

(ii) $G_0 = \overline{C}^{2k-1}$, $H \in \mathcal{H}$ are obtained by omitting from $V(G_0)$ three equally spaced vertices;

(iii) $G_0 = \overline{P}$ (where P denotes the Petersen graph), $H \in \mathcal{H}$ are the complements of the 4-element independent sets of P;

(iv) G_0 is obtained from the graph $\overline{C^{15}}$ on the vertices $\{0, 1, \ldots, 14\}$ by deleting the edges $(0,7), (1,8), (5,12), (6,13), (10,2), (11,3); H \in \mathcal{H}$ are obtained by omitting from $V(G_0)$ five equally spaced vertices;

(v) G_0 is obtained from $\overline{C^{35}}$ on the vertices $\{0, 1, \ldots, 34\}$ by deleting the edges $(0, 13), (5, 18), (10, 23), (15, 28), (20, 33), (25, 3), (30, 8); H \in \mathcal{H}$ are obtained by omitting from $V(G_0)$ five equally spaced vertices. \Box

Note that the conjecture remains open for $k \equiv 1, 3 \pmod{6}$, $k \neq 7$. The values k = 5, 17 are covered already by (ii), but the corresponding values of c_k are improved in (iii), (v).

Finally, we return to the investigation of $F_k^*(n, n-2)$ and $F_k^*(n, n-3)$. We can now state sharper bounds, using the results of Sections 5 and 6. In view of Proposition 4 it suffices to state them for $F_k^*(n, n-2)$.

Theorem 10 (a) $F_5^*(n, n-2) \le 6n - 27$ for $n \ge 11$ and we have equality for $n > n_0$; (b) $F_k^*(n, n-2) \le 2(k-2)n - (2k^2 - 5k + 4)$ for $k \ge 6$, $n \ge 2k + 5$.

Proof. (a) Proposition 3 states that $F_5^*(n, n-2) = F_4(n-2, n-4) + 2n-4$, while the results of Section 5 assert that $F_4(n, n-2) \leq 4n-15$ for $n \geq 9$ and $F_4(n, n-2) = 4n-15$ for sufficiently large n. Combining these two facts we obtain

$$F_5^*(n, n-2) = F_4(n-2, n-4) + 2n - 4 \le 4(n-2) - 15 + 2n - 4 = 6n - 27$$

for $n \ge 11$, with equality for $n > n_0$.

(b) By induction on $k \ge 6$. For the case k = 6, we use the 5-core (G_0, \mathcal{H}) from the proof of case (iii) of the previous theorem to build a 5-saturated graph G on $n \ge 15$ vertices with no conical vertex and with |E(G)| = 6n - 30, thus obtaining $F_5(n, n-2) \le 6n - 30$. Then Proposition 3 gives

$$F_6^*(n, n-2) = F_5(n-2, n-4) + 2n - 4 \le 6(n-2) - 30 + 2n - 4 = 8n - 46$$

for $n \ge 17$. For k > 6, using induction and Proposition 4, we obtain

$$F_k^*(n, n-2) = F_{k-1}(n-2, n-4) + 2n - 4 \le F_{k-1}^*(n-2, n-5) + 2n - 4$$

= $F_{k-1}^*(n-2, n-4) - 1 + 2n - 4$
 $\le 2(k-3)(n-2) - (2(k-1)^2 - 5(k-1) + 4) + 2n - 5$
= $2(k-2)n - (2k^2 - 5k + 4)$.

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