

Surfaces of section, Anosov Reeb flows, and the C^2 -stability conjecture for geodesic flows

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Joint work with Gonzalo Contreras

Surfaces of section

(N, λ) closed contact 3-manifold

X Reeb vector field

$\phi_t : N \rightarrow N$ Reeb flow

$(\lambda \wedge d\lambda$ nowhere zero)

$(d\lambda(X, \cdot) \equiv 0, \lambda(X) \equiv 1)$

Surfaces of section

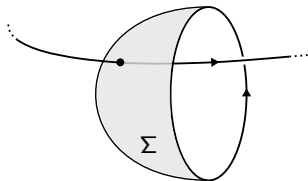
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A **surface of section** is a compact immersed surface $\Sigma \looparrowright N$ such that:

- ▶ $\partial\Sigma$ is tangent to X ,
- ▶ $\text{int}(\Sigma)$ is embedded in $N \setminus \partial\Sigma$ and transverse to X ,



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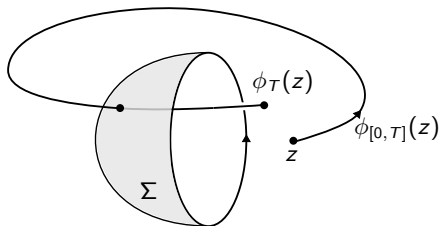
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A **global surface of section** is a compact immersed surface $\Sigma \looparrowright N$ such that:

- ▶ $\partial\Sigma$ is tangent to X ,
- ▶ $\text{int}(\Sigma)$ is embedded in $N \setminus \partial\Sigma$ and transverse to X ,
- ▶ for some $T > 0$, any orbit segment $\phi_{[0, T]}(z)$ intersects Σ .



Surfaces of section

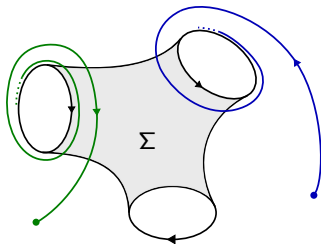
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A **almost global surface of section** is a compact immersed surface $\Sigma \looparrowright N$ such that:

- ▶ $\partial\Sigma$ is tangent to X ,
- ▶ $\text{int}(\Sigma)$ is embedded in $N \setminus \partial\Sigma$ and transverse to X ,
- ▶ Every orbit intersects Σ .
- ▶ Half-orbits not intersecting Σ in the **future** or in the **past** are asymptotic to a hyperbolic component of $\partial\Sigma$.



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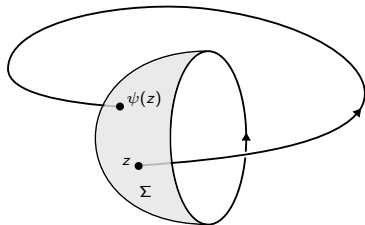
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Return map: $\psi : U \rightarrow \text{int}(\Sigma), \quad U \subset \text{int}(\Sigma)$ open
 $z \mapsto \phi_{\tau(z)}(z)$



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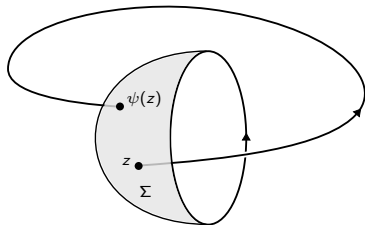
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- (Poincaré recurrence) U has full measure in Σ .

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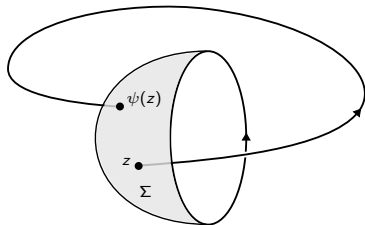
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- ▶ ψ preserves the area form $d\lambda|_{\Sigma}$

Global surfaces of section – some history

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- ▶ ([Hofer-Wysocky-Zehnder, 2003](#)) Existence of global surfaces of sections for the Reeb flows of non-degenerate [dynamically convex](#) tight 3-spheres.

The Kupka-Smale condition

The Reeb vector field X is **Kupka-Smale** when:

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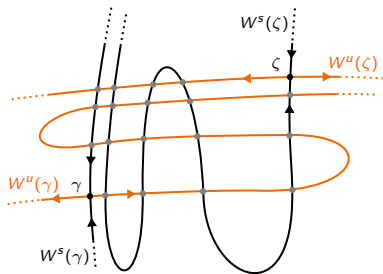
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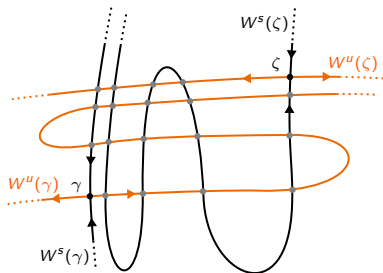
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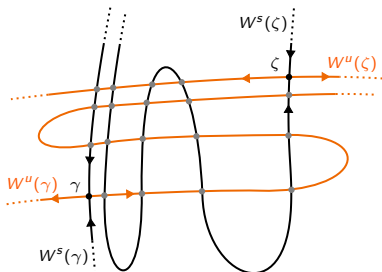
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- ▶ (Robinson) the Reeb vector field of a C^∞ generic contact form on a closed 3-manifold;
- ▶ (Contreras-Paternain) the geodesic vector field of a C^∞ generic Riemannian metric on a closed surface.

Main theorem

Theorem (Contreras-Mazzucchelli). *Any Kupka-Smale Reeb vector field on a closed 3-manifold admits a global surface of section.*

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An alternative generic existence result:

Theorem (Colin-Dehornoy-Hryniewicz-Rechtman). *Any non-degenerate Reeb vector field on a closed 3-manifold with equidistributed closed orbits admits a global surface of section.*

Towards global surfaces of section

(N, λ) non-degenerate closed contact 3-manifold

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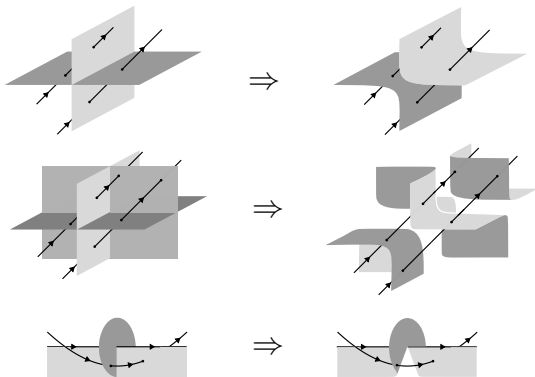
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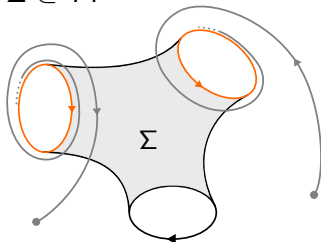
- ▶ (Hutchings, 2010) There exists an immersed surface of section $\Sigma \subset Y$ containing any given generic point $z \in Y$.
- ▶ (Colin-Dehorno-Rechtman, 2020) Resolve self-intersections by means of Fried's surgery:



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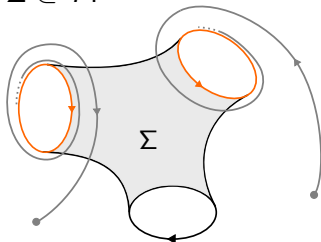
- ▶ (Hutchings, 2010) There exists an immersed surface of section $\Sigma \subset Y$ containing any given generic point $z \in Y$.
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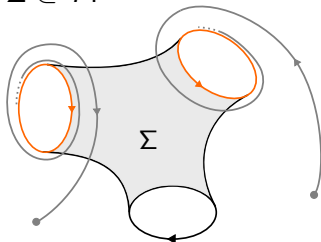
$\partial_\infty \Sigma \subset \partial \Sigma$ limits of non-returning orbits

(If $\partial_\infty \Sigma = \emptyset$ then Σ is a global surface of section)

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Remark. Colin-Dehornoy-Rechtman employed such Σ to construct **broken book decompositions** of N .

From almost global to global

(N, λ) non-degenerate

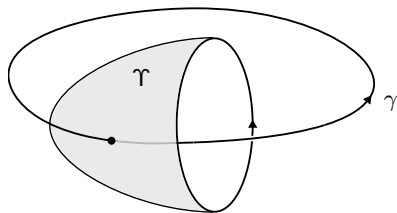
$\Sigma \subset N$ almost global surface of section

From almost global to global

(N, λ) non-degenerate

$\Sigma \subset N$ almost global surface of section

Lemma (Colin-Dehorno-Rechtman) *If there exists a surface of section Υ such that $\partial\Upsilon \cap \partial\Sigma = \emptyset$ and $\text{int}(\Upsilon) \cap \gamma \neq \emptyset$ for some $\gamma \subset \partial_\infty\Sigma$, then there exists an almost global surface of section $\Sigma' = \Sigma \# \Upsilon$ with $\partial_\infty\Sigma' = \partial_\infty\Sigma \setminus \{\gamma\}$.*



From almost global to global

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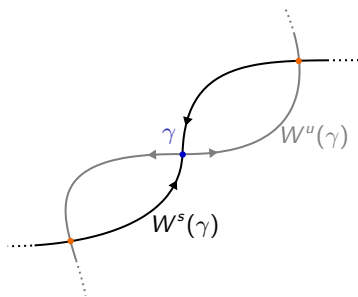
Lemma (Colin-Dehornoy-Rechtman, Fried) *If there exists $\gamma \subset \partial_\infty \Sigma$ having **transverse homoclinics in all separatrices**, then there exists a surface of section Υ such that $\partial \Upsilon \cap \partial \Sigma = \emptyset$ and $\text{int}(\Upsilon) \cap \gamma \neq \emptyset$.*

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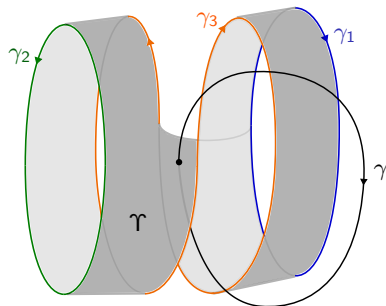
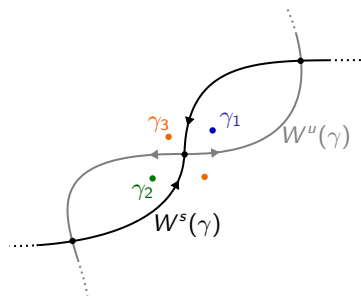


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Lemmas.

- ▶ *If there exists a surface of section Υ such that $\partial\Upsilon \cap \partial\Sigma = \emptyset$ and $\text{int}(\Upsilon) \cap \gamma \neq \emptyset$ for some $\gamma \subset \partial_\infty\Sigma$, then there exists an almost global surface of section $\Sigma' = \Sigma \# \Upsilon$ with $\partial_\infty\Sigma' = \partial_\infty\Sigma \setminus \{\gamma\}$.*
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- ▶ If there exists $\gamma \subset \partial_\infty\Sigma$ having *transverse homoclinics in all separatrices*, then there exists a surface of section Υ such that $\partial\Upsilon \cap \partial\Sigma = \emptyset$ and $\text{int}(\Upsilon) \cap \gamma \neq \emptyset$.

In order to find a **global** surface of section, we have to show that:

There is always some $\gamma \subset \partial_\infty\Sigma$ with transverse homoclinics in all separatrices.

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(N, λ) Kupka-Smale, $\Sigma \subset N$ almost global surface of section

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Theorem (Contreras-Mazzucchelli) *Any $\gamma \subset \partial_\infty \Sigma$ satisfies $\overline{W^s(\gamma)} = \overline{W^u(\gamma)}$ and has homoclinics in all separatrices.*

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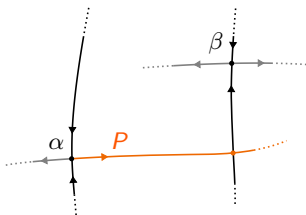
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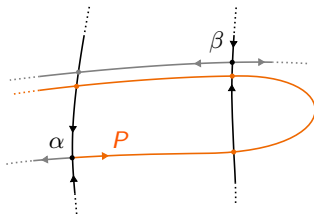
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i.e. γ has homoclinics

From almost global to global

(N, λ) Kupka-Smale, $\Sigma \subset N$ almost global surface of section

Theorem (Contreras-Mazzucchelli) Any $\gamma \in \partial_\infty \Sigma$ satisfies $\overline{W^s(\gamma)} = \overline{W^u(\gamma)}$ and has homoclinics in all separatrices.

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Applications

Application: Anosov Reeb flows

(N, λ) closed contact 3-manifold

X Reeb vector field

$\phi_t : N \rightarrow N$ Reeb flow

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Applications: Riemannian geodesic flows

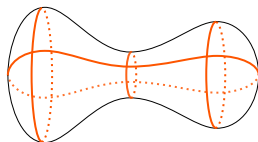
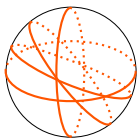
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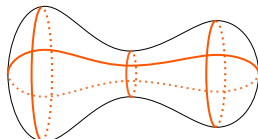
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Remark. For Hamiltonian flows, and in particular for Finsler geodesic flows, the analogous theorem was established by **Newhouse**.

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Theorem (Contreras-Mazzucchelli). *Let (N, λ) be a closed contact 3-manifold such that:*

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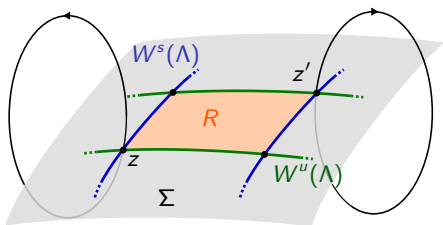
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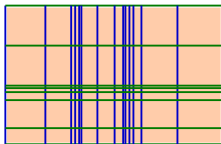
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$$z, z' \in \text{Per}(X) \cap \Lambda$$

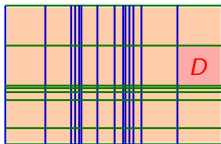
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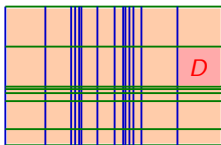
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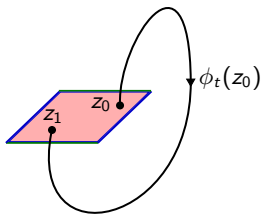
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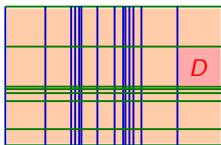


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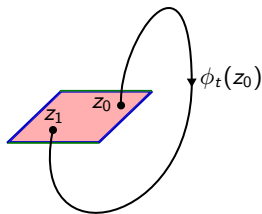


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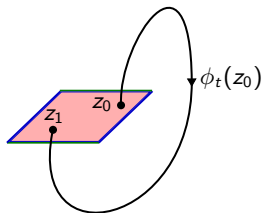
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- ▶ Extend $z_0 \mapsto z_1$ to a smooth return map $\psi : \text{int}(\Sigma) \rightarrow \text{int}(\Sigma)$.

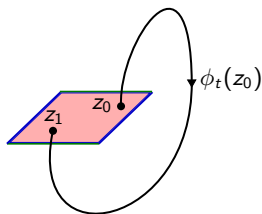
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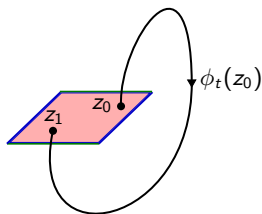
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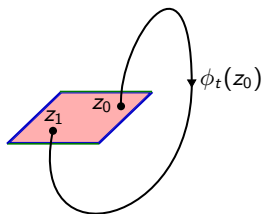
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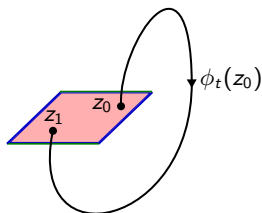
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- ▶ Thus $z \in D \cap \text{Per}(X)$. But $D \cap \text{Per}(X) \subset D \cap \Lambda = \emptyset$. □

Thank you for your attention!

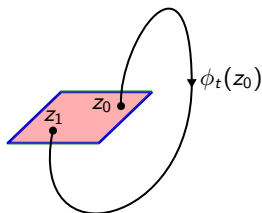
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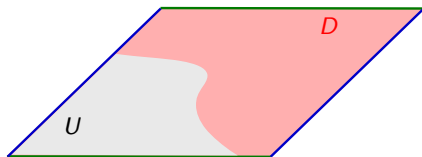
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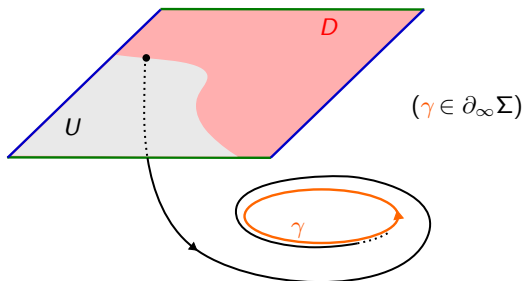
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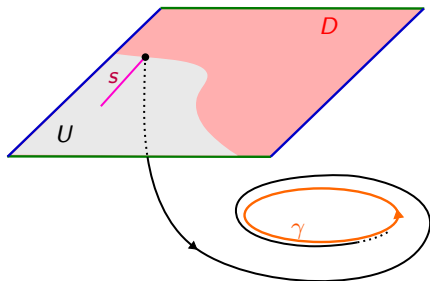
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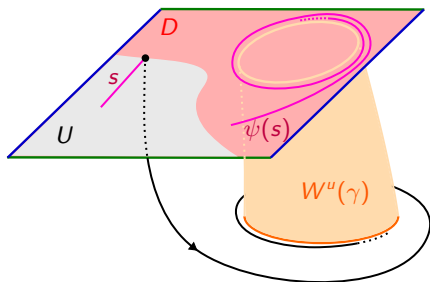
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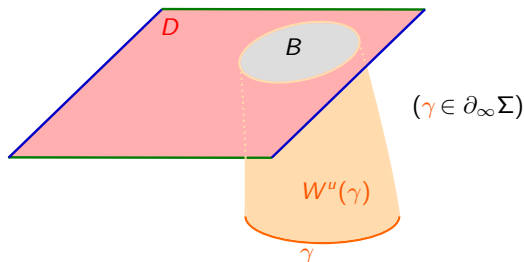
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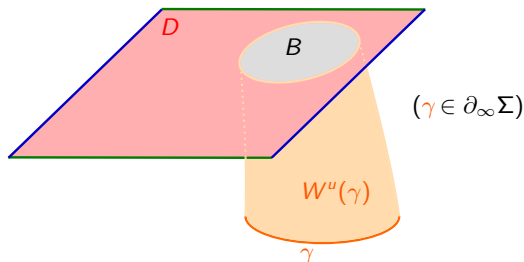
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- ▶ $\text{area}(D) = \int_D d\lambda \geq \int_B d\lambda = \int_\gamma \lambda \geq \min_{\zeta \subset \partial \Sigma} \int_\zeta \lambda.$

