Integral counterpart of Gromov-Witten invariants

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Gromov-Witten invariants in symplectic geometry

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• Let (X, ω) be a closed symplectic manifold of dimension 2n.

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 ω ∈ Ω²(X), dω = 0, for ∀x ∈ X, the restriction ω_x : T_xX ⊗ T_xX → ℝ is a skew-symmetric non-degenerate bilinear form.

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- ► $J : TX \to TX$ an ω -compatible almost complex structure: $J^2 = -Id, \ \omega(\cdot, \cdot) = \omega(J \cdot, J \cdot).$

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Given a (nodal) Riemann surface (Σ, j), a map u : Σ → X is J-holomorphic if

$$du \circ j = J \circ du$$
, equivalently $\overline{\partial}_J u = \frac{1}{2}(du + J \circ du \circ j) = 0$,

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e.g. algebraic curves in smooth projective varieties.

• Given $A \in H_2(X; \mathbb{Z})$, consider the moduli space

 $\overline{\mathcal{M}}_{g,k}(X,J,A) := \{ u : \Sigma \to X | (\Sigma,j) \in \overline{\mathcal{M}}_{g,k}, \overline{\partial}_J u, u \text{ stable} \}.$

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It is a singular space in general: non-triviality of autmorphism group ⇒ "orbifold" singularity; failure of transversality of ∂_J ⇒ non-smoothness.

Theorem (Fukaya–Ono, Li–Tian, Ruan, Siebert, Pardon...) The space $\overline{\mathcal{M}}_{g,k}(X, J, A)$ carries a \mathbb{Q} -valued virtual fundamental cycle $[\overline{\mathcal{M}}_{g,k}(X, J, A)]^{vir}$ of expected dimension.

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By pairing with classes in H^{*}(M_{g,k}; Q) and H^{*}(X; Q)^{⊗k} using st and ev, we obtain the so-called Gromov–Witten invariants. Background and results

Gromov-Witten invariants in symplectic geometry

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Moral reason: given a finite group Γ, the orbispace */Γ should be counted with weight 1/|Γ|.

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• Local model of
$$\overline{\mathcal{M}}_{g,k}(X, J, A) : s^{-1}(0)$$
 for $\pi \left(\int_{D}^{\infty} s^{s} \right)$, where D
is an orbifold, E is an orbi-bundle, s is an "equivariant" section.

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- Fukaya–Ono, Li–Tian: use multi-valued perturbation of s to achieve transverslity.
- ▶ Pardon: Poincaré duality for orbifolds holds only over Q.

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Suppose (X, ω) is a closed symplectic manifold and $A \in H_2(X; \mathbb{Z})$. Fix a non-negative integer k. Then there is a well-defined integral homology class

 $[\overline{\mathcal{M}}_{0,k}(X,J,A)]_{free}^{vir} \in H_*(\overline{\mathcal{M}}_{0,k} \times X^k;\mathbb{Z})$

defined by virtually "counting" J-holomorphic maps in $\overline{\mathcal{M}}_{0,k}(X, J, A)$ with trivial automorphism group.

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- Not true on the nose: we need to perturb the ∂_I -equation abstractly.
- This realizes a proposal of Fukaya–Ono back in the 1990s.
- Coincides with the ordinary fundamental class in the semi-positive case, which is known to be integral by Ruan-Tian. ▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● ● ●

Based on work in progress of Hirschi–Swaminathan, we can define higher genus Z-valued Gromov–Witten type invariants along the same line as well.

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- ► The same technique could be applied to define Hamiltonian Floer theory with Z coefficients, or Lagrangian Floer theory with Z/2 coefficients, modulo smoothness issues of (thickened) moduli spaces.
- In principle, such definitions would allow us to prove the Arnol'd conjecture over Z, improving the best result so far by Abouzaid–Blumberg (over F_p).

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$$\begin{array}{c} \overline{\mathcal{M}}_{g,k}(X,J,A) \\ \blacktriangleright & \text{Step 1: Show that} & \downarrow_{\mathsf{st}\times\mathsf{ev}} & \text{defines an element in} \\ & \overline{\mathcal{M}}_{g,k} \times X^k \\ & \overline{\Omega}^{\mathbb{C},\mathsf{der}}_*(\overline{\mathcal{M}}_{g,k} \times X^k) \Rightarrow \text{complex derived orbifold bordism.} \end{array}$$

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 $\overline{\Omega}^{\mathbb{C},\mathsf{der}}_*(\overline{\mathcal{M}}_{g,k}\times X^k)\Rightarrow \mathsf{complex} \text{ derived orbifold bordism}.$

Step 2: Construct natural transformations between generalized homology theories Ω^{C,der}_{*} → MU_{*}, KU_{*}, ℤ, ℚ... Refined curve-counting from bordism

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Moduli spaces as derived orbifolds

Definition

A *derived orbifold chart* is a triple (D, E, s) where D is a smooth orbifold, $E \to D$ is a smooth orbibundle and $s : D \to E$ is a smooth section. (D, E, s) is said to be compact if $s^{-1}(0)$ is compact.
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- ▶ The Kuranishi models of $\overline{\mathcal{M}}_{g,k}(X, J, A)$ present it locally as the zero locus of derived orbifold charts.
- ► It is possible to patch the local charts together to get a global derived orbifold chart for M_{g,k}(X, J, A) using some recent results of Pardon, but there is a shortcut to take.

Proposition (Abouzaid–McLean–Smith, 2021)

After choosing certain auxiliary data, there exists a smooth derived orbifold chart (D, E, s) along with a map

$$\widetilde{st} imes \widetilde{ev} : D o \overline{\mathcal{M}}_{0,k} imes X^k$$

such that the zero locus $s^{-1}(0)$ is isomorphic to $\overline{\mathcal{M}}_{0,k}(X, J, A)$ and the restriction of $\widetilde{st} \times \widetilde{ev}$ along $s^{-1}(0)$ coincides with the product of the stabilization map and the evaluation map.

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- Actually TD and E are complex vector bundles.
- The work in progress by Hirschi–Swaminathan generalizes this result to the higher genus moduli spaces.
- The quadruple (D, E, s, st × ev) is independent of various choices as an element in Ω^{C,der}_{*}(M_{0,k} × X^k).

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Introduce the following relations:

1. (*Restriction*) $(D, E, s, f) \sim (D', E', s', f')$ if $D' \subset D$ is an open subset with $s^{-1}(0) \subset D'$ and $E' = E|_{D'}$, $s' = s|_{D'}$, and $f' = f|_{D'}$.

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- 2. (Stabilization) $(D, E, s, f) \sim (D', E', s', f')$ if D' is the total space of a vector bundle $\pi_F : F \to D$, $E' = \pi_F^* E \oplus \pi_F^* F$, $s' = \pi_F^* s \oplus \tau_F$ where $\tau_F : F \to \pi_F^* F$ is the tautological section, and $f' = f \circ \pi_F$.

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- 3. (Cobordism) $(D, E, s, f) \sim (D', E', s', f')$ if there is a bordism between them.

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Definition

A stable complex structure on (D, E, s) is a lifting of TD - E from KO to the complex K-theory.

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The stable complex derived orbifold bordism of M, denoted by $\overline{\Omega}_*^{\mathbb{C},\text{der}}(Y)$, is defined to be the equivalence classes of (D, E, s, f) endowed with a stable complex structure modulo the relations introduced before.

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- This definition was first considered by Joyce and is developed further by Pardon.
- Abouzaid–McLean–Smith's result actually shows that $\overline{\mathcal{M}}_{0,k}(X, J, A)$ uniquely defines an element in $\overline{\Omega}^{\mathbb{C}, \text{der}}_{*}(\overline{\mathcal{M}}_{0,k} \times X^{k}).$

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Theorem (B–Xu, 2022) Denote by $\tilde{\Gamma}$ the set of isomorphism classes of finite groups.

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by "recording" the contribution to the Euler class by points with stabilizer in the class $[\gamma]$.

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Applying *FOP*_[γ] to the global chart of *M*_{g,k}(X, J, A), we obtain ℤ-valued Gromov–Witten type invariants.

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- Applying *FOP*_[γ] to the global chart of *M*_{g,k}(X, J, A), we obtain ℤ-valued Gromov–Witten type invariants.
- Work in progress: a decomposition of Q-valued invariants into a weighted sum of integers.

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Background and results

Gromov–Witten invariants in symplectic geometry Z-valued Gromov–Witten invariants

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Refined curve-counting from bordism

Moduli spaces as derived orbifolds Stable complex derived orbifold bordism

Discussion of the proof

Overview of the proof

Normally complex sections Difficulties of local-to-global

▶ To be more concrete, let us focus on a special case.

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- Suppose X is a compact effective almost complex orbifold: X is locally modeled on U/Γ, the Γ-action is faithful; ∃J : TX → TX such that J² = −Id.

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- $E \rightarrow X$ is a complex orbifold vector bundle.

Theorem (B-Xu)

Denote by $X^{\text{free}} \subset X$ the suborbifold consisting of points with trivial isotropy group. Then there exist normally complex smooth sections $s : X \to \mathcal{E}$ such that $s^{-1}(0) \cap X^{\text{free}}$ defines a pseudocycle. Moreover, given a pair of such sections s_1 and s_2 , the pseudocycles $s_1^{-1}(0) \cap X^{\text{free}}$ and $s_2^{-1}(0) \cap X^{\text{free}}$ are cobordant.

▶ $s^{-1}(0) \cap X^{\text{free}}$ is a pseudocycle $\Leftrightarrow s^{-1}(0) \cap X^{\text{free}} \subset X^{\text{free}}$ is a smooth submanifold and the boundary $\overline{s^{-1}(0) \cap X^{\text{free}}} \setminus (s^{-1}(0) \cap X^{\text{free}})$ could be covered by submanifolds with at least 2-dimensions lower.

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- s₁⁻¹(0) ∩ X^{free} and s₂⁻¹(0) ∩ X^{free} are cobordant ⇒ the homology class is an invariant of E → X. ⇒ "integral Euler class"
- We can drop the compactness of X by considering almost complex compact derived orbifold chart (D, E, s). The section s is perturbed in a neighborhood of s⁻¹(0).

Overview of the proof

Question Why could this sort of equivariant transversality be achieved?

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Lemma (Fukaya–Ono)

Given a finite group Γ , suppose V and W are finite dimensional complex Γ representations. If V is faithful, then there exists $d \gg 1$ such that for a generic $p \in \operatorname{Poly}_d^{\Gamma}(V, W)$, the zero locus $p^{-1}(0)$ is a smooth algebraic variety over \mathbb{C} .

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Proof.

For $d \gg 1$, W is a sub-representation of $\text{Sym}^d(V)$.

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Proof.

For $d \gg 1$, W is a sub-representation of $\text{Sym}^d(V)$.

▶ For p generic, the boundary of p⁻¹(0) is of real codimension at least 2.

• Our result is a globalization of Fukaya–Ono's lemma.

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- Warning: The genericity in Fukaya–Ono's result depends on the degree d and the group Γ, so much of the hard work is to remove such dependence.
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- Warning: The genericity in Fukaya–Ono's result depends on the degree d and the group Γ, so much of the hard work is to remove such dependence.
- To this end, we need to investigate Whitney stratifications on the universal zero locus

$$\mathcal{Z}_d^{\Gamma}(V,W) := \{(v,p) \in V \times \operatorname{Poly}_d^{\Gamma}(V,W) | p(v) = 0\}.$$

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 \mathbb{Z} -valued Gromov–Witten

Discussion of the proof

-Overview of the proof

Thanks for your attention!

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Thanks for your attention! The rest is a bonus, which will be discussed only if time permits.

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Normally complex sections

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- Assume $(V^{\Gamma'})^{\perp}$ is not $\{0\}$.
- Identifying Nbd₀ T_xV with Nbd_xV, a smooth Γ-equivariant map s : V → W near x could be written as

$$s = s_{inv} \oplus s_{\perp}$$

under the decomposition $W = W^{\Gamma'} \oplus (W^{\Gamma'})^{\perp}$.

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• By Γ' -equivariance, $s(V^{\Gamma'} \times \{0\}) \subset W^{\Gamma'}$.

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- If we have a map s_⊥ : Nbd_{V^{Γ'}}(V) → Poly^{Γ'}_d((V^{Γ'})[⊥], (W^{Γ'})[⊥]), we can construct a section s_⊥ : Nbd_{V^{Γ'}}(V) → (W^{Γ'})[⊥] by composing s_⊥ with the evaluation map. The map s_⊥ is called a complex polynomial lifting of s_⊥.

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- A Γ -equivariant map $s : V \to W$ is called a Fukaya–Ono–Parker map near x if s_{\perp} from the decomposition $s = s_{inv} \oplus s_{\perp}$ has a complex polynomial lifting.

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▶ In reality, under the decomposition $s = s_{inv} \oplus s_{\perp}$, we can take s_{inv} to be constant along the normal direction $(V^{\Gamma'})^{\perp}$.

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If d is sufficiently large, any generic equivariant map s admitting a complex polynomial lifting satisfies:

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$$s^{-1}(0) \cap V^{\text{free}}$$
 is smooth;

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- This is the local version of our statement.
- There is a parametric version of the above lemma dealing with cobordism invariance.

Difficulties of local-to-global

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- Fukaya–Ono's lemma is not sufficient to establish the full proof.
- The relevant definition of polynomial perturbation and transversality condition in their statement is not intrinsic enough: it depends on the cut-off degree d, the choice of tubular neighborhoods, and the choice of local uniformizer group of an orbifold chart.
- In other words, it was unclear about how to choose a complex polynomial section varying coherently along different strata indexed by isotorpy groups, and it was unclear if the transversality is open.

We overcome these problems by studying a "canonical" Whitney stratification on the universal zero locus

$$Z_d := \{ (v, P) \in (V^{\Gamma'})^{\perp} \times \operatorname{Poly}_d^{\Gamma'}((V^{\Gamma'})^{\perp}, (W^{\Gamma'})^{\perp}) | P(v) = 0 \}$$

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and study its behavior when varying d and Γ' .

- Certain aspects of the proof are inspired by an unpublished work of Brett Parker.
- Once the openness of a suitable transversality condition is established, a good perturbation and the relevant parametric statement follow by the usual arguments in differential topology.