# Deformation of curves on surfaces 

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- Is the moduli space of nodal plane curves of given degree and genus irreducible?
Solved affirmatively by Harris (1986).


## Related problem:

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Several positive answers are known:
- Any integral curve on Hirzebruch surfaces can be deformed to nodal (Harris)
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- Non-rational curves in very ample classes on K3 surfaces can be deformed to immersion (Dedieu-Sernesi)

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■ $S$ : surface, $K_{S}$ : ample, $C \in\left|p K_{S}\right|, p \geq 2$. If $C$ is nodal and $\boldsymbol{n}$ is small, then $\boldsymbol{V}_{\boldsymbol{C}, \boldsymbol{n}}$ is smooth of expected dimension at $\boldsymbol{C}$ (Chiantini-Sernesi)

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$■ S \subset \mathbb{P}^{3}$ : general surface, $n \leq \operatorname{dim}\left(\left|O_{S}(m)\right|\right)$, Then $V_{m, n}$ has at least one component of expected dimension (Chiantini-Ciliberto)

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■ Defect: there is no control on the geometry of deformed curves

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■ As a result, we will see that if $\varphi$ is semiregular, it will have good deformation theoretic property almost as optimal as possible
Here we call $\varphi$ semiregular if the natural map $\boldsymbol{H}^{\mathbf{0}}\left(\boldsymbol{S}, K_{S}\right) \rightarrow \boldsymbol{H}^{\mathbf{0}}\left(\boldsymbol{C}, \varphi^{*} K_{S}\right)$ is surjective

## Ideas and main theorem

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- These form a Čech 1-cocycle associated with the cover $\left\{\boldsymbol{U}_{\boldsymbol{i}}\right\}$, and $\varphi$ deforms if and only if the corresponding cohomology class in $\boldsymbol{H}^{\mathbf{1}}\left(\boldsymbol{C}, \boldsymbol{N}_{\varphi}\right)$ vanishes
$\mathcal{L}$ : line bundle on $\boldsymbol{C}$
$\left\{\xi_{i j}\right\}: \mathcal{L}$-valued Čech 1-cocycle assoc. with $\left\{\boldsymbol{U}_{i}\right\}$
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- Let $\left\{p_{\lambda}\right\}$ be the set of poles of these local sections and $\boldsymbol{r}_{\boldsymbol{p}_{\lambda}}$ the residues of them at $\boldsymbol{p}_{\boldsymbol{\lambda}}$
$\square$ The pairing $\left(\eta,\left\{\xi_{i j}\right\}\right)$ is given by

$$
\sum_{\lambda} r_{p_{\lambda}}
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$\varphi: C \rightarrow S:$ map from a smooth curve to a surface
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The normal sheaf $\boldsymbol{N}_{\varphi}$ lies in the exact sequence

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$■$ Its dual space is $H^{0}\left(C, \varphi^{*} K_{S}(Z)\right)$
■ We can apply the residue calculation to them


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Assume we have constructed an $N$-th order deformation $\varphi_{N}$ of $\varphi$

- In general, the obstruction to deforming $\varphi_{N}$ does not vanish
- It means that we cannot deform $\varphi_{N}$ no matter how hard we try

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$\square$ Moreover, as $N \rightarrow \infty, N^{\prime} \rightarrow \infty$, too
■ Eventually, we can construct a formal deformation of $\varphi$


## More details

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At $p_{i}$, the pull back of coordinates on $S$ can be written in the form

$$
\left(z_{i}, w_{i}\right)=\left(s^{a}, s^{b}+s^{b+1} g_{0}(s)\right)
$$

$\boldsymbol{s}$ : a parameter on $\boldsymbol{C}$ around $\boldsymbol{p}_{i}$
$g_{0}$ : a holomorphic function around $p_{i}$
$\boldsymbol{a}<\boldsymbol{b}$, assume $\boldsymbol{a} \nmid \boldsymbol{b}$ for simplicity

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$a-\mathbf{1}$ is the multiplicity of the singularity $\boldsymbol{p}_{i}$, that is, the coefficient of $p_{i}$ of the divisor $Z=(d \varphi)$

Its deformation can be written as

$$
\left(z_{i}, w_{i}\right)=\left(s^{a}+\sum_{j=1}^{k} \sum_{i=0}^{a-2} t^{j} c_{a-i, j} s^{i}, s^{b}+s^{b+1} g_{0}(s)+\sum_{j=1}^{k} t^{j} g_{j}(s)\right)
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It is convenient to consider deformations of the form
$\left(z_{i}, w_{i}\right)=\left(s^{a}+\sum_{i=0}^{a-2} c_{a-i} s^{i}, s^{b}+s^{b+1} g_{0}(s)+\sum_{j=1}^{k} t^{j} g_{j}(s)\right)$,
where $c_{a-i} \in t^{a-i} \mathbb{C}[[t]]$

Among such deformations, we consider those of the form

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\left(z_{i}, w_{i}\right)=\left(S^{a}, S^{b}+S^{b+1} g_{0}(S)\right)
$$

where $S=s\left(1+\sum_{i=1}^{\infty} \prod_{j=0}^{i-1}\left(\frac{1}{a}-j\right) \frac{1}{i!}\left(\sum_{k=2}^{a} \frac{c_{k}}{s^{k}} i^{i}\right)\right.$

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Note $S^{a}=s^{a}+\sum_{j=1}^{k} \sum_{i=0}^{a-2} c_{a-i} s^{i}$
$\square S$ is a reparameterization of $C$ on a punctured neighborhood of $\boldsymbol{p}_{\boldsymbol{i}}$
■ It gives the same image as the original

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\left(z_{i}, w_{i}\right)=\left(s^{a}, s^{b}+s^{b+1} g_{0}(s)\right)
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■ and extendable to $\boldsymbol{p}_{i}$ so long as $S^{b}+S^{b+1} g_{0}(S)$ does not have singular terms
$■$ At some order $t^{N}, S^{b}+S^{b+1} g_{0}(S)$ acquires singular terms, and it produces the obstruction
$\square$ We modify the value of $c_{i}$ so that the obstruction vanishes (this is where we use the transversality assumption of the polynomial system)

■ If the obstruction vanishes, then we can modify the curve in the form
$\left(z_{i}, w_{i}\right)=\left(S^{a}, S^{b}+S^{b+1} g_{0}(S)+H_{i}(s)\right)$, and continue the deformation
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■ As we mentioned earlier, this changes the map at the order lower than $t^{N}$
- We can check that the new map can be deformed beyond the order $\boldsymbol{t}^{N}$


## What is the system of polynomial equations?

What is the system of polynomial equations? Substituting $S=s\left(1+\sum_{i=1}^{\infty} \prod_{j=0}^{i-1}\left(\frac{1}{a}-j\right) \frac{1}{i!}\left(\sum_{k=2}^{a} \frac{c_{k}}{s^{k}}\right)^{i}\right)$ to $S^{b}+S^{b+1} g_{0}(S)$, we have

$$
\begin{aligned}
S^{b}+S^{b+1} g_{0}(S)= & s^{b}\left(1+\sum_{i=1}^{\infty} f_{i}^{(b)}\left(c_{2}, \ldots, c_{a}\right) \frac{1}{s^{i}}\right) \\
& +(\text { higher order terms })
\end{aligned}
$$

- $f_{i}^{(b)}$ is given by

$$
\begin{aligned}
& f_{b+j}^{(b)}\left(c_{2}, \ldots, c_{a}\right)= \\
& \sum_{\lambda \in \mathcal{P}(b+j ;[2, a])}\left(\begin{array}{l}
\frac{b}{a} \\
\lambda(2)
\end{array} \cdots \lambda(a) c_{2}^{\lambda(2)} \cdots c_{a}^{\lambda(a)}\right.
\end{aligned}
$$

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\cdots
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& f_{b+j}^{(b)}\left(c_{2}, \ldots, c_{a}\right)= \\
& \sum_{\lambda \in \mathcal{P}(b+j ;[2, a])}\left(\lambda(2) \stackrel{\frac{b}{a}}{a} \lambda(a)\right) c_{2}^{\lambda_{2}(2)} \cdots c_{a}^{\lambda(a)}
\end{aligned}
$$

$\square \mathcal{P}(b+j ;[2, a])$ is the set of partitions of $b+j$ using only the integers in the interval $[2, a]$

$$
\left(\begin{array}{cc}
\alpha & \\
\beta_{1} & \cdots
\end{array} \beta_{k}\right)=\frac{\prod_{i=0}^{\beta_{1}+\cdots+\beta_{k}-1}(\alpha-i)}{\beta_{1}!\cdots \beta_{k}!}
$$

$S^{b}+S^{b+1} g_{0}(S)=($ regular part $)+$

$$
\frac{f_{b+1}^{(b)}}{s}+\cdots+\frac{f_{b+a-1}^{(b)}}{s^{a-1}}+(\text { higher order terms })
$$

$$
\begin{aligned}
S^{b}+S^{b+1} g_{0}(S) & \underset{\left.\frac{f_{b+1}^{(b)}}{s}+\cdots+\frac{f_{b+a-1}^{(b)}}{s^{a-1}}+\text { (higher order terms }\right)}{=}
\end{aligned}
$$

- Roughly, the part $\frac{f_{b+1}^{(i)}}{s}+\cdots+\frac{f_{b+a-1}^{(i)}}{s^{a-1}}$ controls the obstruction
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$$
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- Roughly, the part $\frac{f_{b+1}^{(i)}}{s}+\cdots+\frac{f_{b+a-1}^{(i)}}{s^{a-1}}$ controls the obstruction
$■$ If $\boldsymbol{\eta} \in \boldsymbol{H}^{0}\left(\boldsymbol{C}, \varphi^{*} \boldsymbol{K}_{S}(\boldsymbol{Z})\right.$ ), then the pairing between the obstruction class and $\boldsymbol{\eta}$ has contribution from the singular point $p_{i}$, given by the residue of

$$
\left\langle\eta, \frac{f_{b+1}^{(b)}}{s}+\cdots+\frac{f_{b+a-1}^{(b)}}{s^{a-1}}\right\rangle
$$

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(G) The varieties defined by

$$
\left\{\begin{array}{l}
\bar{f}_{b+j}^{(b)}=0, \quad j \in[1, a-1] \backslash\{k\} \\
\bar{f}_{b+k}^{(b)}=C \neq 0
\end{array}\right.
$$

have transverse intersection at some point for each $k$
$\bar{f}_{b+j}^{(b)}$ are modified version of $f_{b+j}^{(b)}$

On the side of the dual space $\boldsymbol{H}^{0}\left(\boldsymbol{C}, \varphi^{*} \boldsymbol{K}_{S}(\boldsymbol{Z})\right)$, we introduce the following condition:

On the side of the dual space $\boldsymbol{H}^{\mathbf{0}}\left(\boldsymbol{C}, \varphi^{*} \boldsymbol{K}_{S}(\boldsymbol{Z})\right)$, we introduce the following condition:
A singular point $\boldsymbol{p}_{\boldsymbol{i}}$ of $\varphi$ satisfies the condition (D) if the inequality
$\operatorname{dim} H^{0}\left(C, \varphi^{*} \omega_{X}\left(\left(a_{i}-1\right) p\right)\right)<\operatorname{dim} H^{0}\left(C, \varphi^{*} \omega_{X}\right)+a_{i}-1$
holds, where $a_{i}$ is the coefficient of $p_{i}$ in $Z=(d \varphi)$

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holds, where $a_{i}$ is the coefficient of $p_{i}$ in $Z=(d \varphi)$
The singularity has $a_{i}-\mathbf{1}$ parameters $c_{2}, \ldots, c_{a_{i}}$ of deformations
So, roughly this condition says the expected dimension of local deformation is positive

## Main theorem

Assume $\varphi$ is semiregular. If the conditions (G) and (D) are satisfied at each $p_{i} \in\left\{p_{1} \ldots, p_{e}\right\}$, then there is a non-trivial deformation of $\varphi$.

## Main theorem

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## Main theorem

Assume $\varphi$ is semiregular. If the conditions (G) and (D) are satisfied at each $p_{i} \in\left\{p_{1} \ldots, p_{e}\right\}$, then there is a non-trivial deformation of $\varphi$.

■ After checking the condition (D), one can completely forget curves and surfaces
■ The problem reduces to studying a system of polynomial equations which depends only on two positive integers $\boldsymbol{a}$ and $\boldsymbol{b}$

Table:

| $\boldsymbol{a}=3$ | (G) holds for $\mathbf{4} \leq \boldsymbol{b} \leq \mathbf{3 0}$ |
| ---: | :--- |
| 4 | (G) holds for $\mathbf{5} \leq \boldsymbol{b} \leq \mathbf{3 0}$ except $\boldsymbol{b}=\mathbf{6}$ |
| 5 | (G) holds for $\mathbf{6} \leq \boldsymbol{b} \leq \mathbf{3 0}$ |
| 6 | (G) holds for $\mathbf{7} \leq \boldsymbol{b} \leq \mathbf{3 0}$ |
| 7 | (G) holds for $\mathbf{8} \leq \boldsymbol{b} \leq \mathbf{2 0}$ |
| 8 | (G) holds for $\mathbf{9} \leq \boldsymbol{b} \leq \mathbf{2 0}$ |
| 9 | (G) holds for $\mathbf{1 0} \leq \boldsymbol{b} \leq \mathbf{2 0}$ |
| 10 | (G) holds for $\mathbf{1 1} \leq \boldsymbol{b} \leq \mathbf{1 5}$ |

When $\boldsymbol{a}=\mathbf{2}$ (double point), a stronger assertion holds due to the simple form $f_{b+1}^{(b)}=c_{2}^{\frac{b+1}{2}}$

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## Theorem

$\varphi$ deforms if and only if at least one of the following conditions holds.

■ There is at least one $p_{i}$ such that there is no section of $H^{0}\left(C, \varphi^{*} \omega_{X}\left(p_{i}\right)\right) \backslash H^{0}\left(C, \varphi^{*} \omega_{X}\right)$.
■ The set $\boldsymbol{H}^{\mathbf{0}}\left(\boldsymbol{C}, \overline{\boldsymbol{N}}_{\varphi}\right)$ is not zero.

## For $\boldsymbol{a}=\mathbf{3}$, condition (G) is reduced to following.

For $\boldsymbol{a}=\mathbf{3}$, condition (G) is reduced to following.
For $\boldsymbol{b}=\mathbf{6} \boldsymbol{k}+\mathbf{1}$, set

$$
f(X)=\binom{2 k+\frac{1}{3}}{3 k+1} X^{k}+\binom{2 k+\frac{1}{3}}{3 k-2} X^{k-1}+\cdots+\left(\begin{array}{c}
2 k+\frac{1}{3} \\
1
\end{array} 2 k\right)
$$

$$
g(X)=\left(\begin{array}{cc}
2 k+\frac{1}{3} \\
3 k & 1
\end{array}\right) X^{k}+\binom{2 k+\frac{1}{3}}{3 k-3} X^{k-1}+\cdots+\left(\begin{array}{c}
2 k+\frac{1}{3} \\
0
\end{array} 2 k+1\right)
$$

$$
\left(\begin{array}{c}
\alpha \\
\beta_{1} \\
\beta_{2}
\end{array}\right)=\frac{\prod_{i=0}^{\beta_{1}+\beta_{2}}(\alpha-i)}{\beta_{1}!\beta_{2}!}
$$

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$\square$ there is a simple zero of $f$ which is not a multiple zero of $\boldsymbol{g}$, and
$■$ the same holds when we exchange $f$ and $g$.

